PORT OF TACOMA TACOMA, WASHINGTON REPLACEMENT OF RAILWAY TRACK AND SPECIAL TRACKWORK AT VARIOUS LOCATIONS

PROJECT NO. 101081.01 CONTRACT NO. 070531

Jane Vandenberg, P.E.

Director, Engineering

Pedro Reyes, P.E.

Project Manager

END OF PROJECT TITLE PAGE

PORT OF TACOMA REPLACEMENT OF RAILWAY TRACK AND SPECIAL TRACKWORK AT VARIOUS LOCATIONS

The undersigned Engineer of Record hereby certifies that the Technical Specifications for the following portions of this project for the Bid Submittal of the Port of Tacoma Replacement of Railway Track and Special Trackwork at Various Locations Project were written by me, or under my direct supervision, and that I am duly registered under the laws of the State of Washington, and hereby affix my Professional Seal and signature. Those sections prepared under my direct supervision and being certified by my seal and signature below are as follows:

•	Section 02 41 13	Selective Site Demolition
•	Section 31 00 00	Earthwork
•	Section 32 11 23	Aggregate Base Courses
•	Section 32 12 16	Asphalt Paving
•	Section 34 05 17	Railroad Work
•	Section 34 11 16	Field Welding
•	Section 34 11 23	Special Trackwork
•	Section 34 11 32	Timber Ties



PROCUREMENT AND CONTRACTING REQUIREMENTS

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END OF SECTION

PART 1 - GENERAL

1.01 SUMMARY

A. Contract Drawings: The following drawings are a part of the Contract Documents:

Sheet	Sheet	Sheet Title
No.	Designation	
1	G1	Cover Sheet
2	G2	Sequencing Plan WYE Turnout
3	G3	Sequencing Plan Transfer Yard
4	G4	Sequencing Plan Lincoln Ave Overpass
5	G5	Sequencing Plan Bubble Track 1
6	G6	Sequencing Plan Bubble Track 2
7	R1-T	WYE Turnout Site Plan
8	R2-T	Transfer Yard Site Plan
9	R3-T	Rail Details
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11	R5-T	Railroad Notes
12	R6-T	Rail Details
13	R7-T	Civil/Site Details
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15	R2-B	Bubble Track 2 Site Plan
16	R3-B	Rail Details
17	R4-B	No. 9 Turnout Plan
18	R5-B	Railroad notes
19	R6-B	No. 9 Crossover Plan
20	R7-B	Rail Details
21	R8-B	Civil/Site Details
22	R1-L	Lincoln Ave Overpass Site Plan

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF LIST OF DRAWINGS

THE PORT OF TACOMA IS CURRENTLY ACCEPTING SEALED BIDS FOR CONSTRUCTION OF THE FOLLOWING:

REPLACEMENT OF RAILWAY TRACK AND SPECIAL TRACKWORK AT VARIOUS LOCATIONS PROJECT NO. 101081.01 | CONTRACT NO. 070531

Scope of Work: The work required for this project includes: Removal and

replacement of track turnouts, trackwork, and at grade railroad crossings. Work also includes demolition, earthwork, asphalt paving, and the surface, line and dress of existing track.

Bid Estimate: Estimated cost is \$2,400,000 to \$2,550,000, plus Washington State

Sales Tax (WSST).

Sealed Bid Date/Time/Location:

Bids will be received at the Front Reception Desk, Port Administration Office, One Sitcum Plaza, Tacoma, Washington until **2:00 P.M. on Thursday, July 13, 2017,** at which time they will be publicly opened

and read aloud.

Pre-bid Conference and Site Tour: A pre-bid conference and site visit have been set for Thursday, July 6, 2017 at 10:00 A.M. The site visit will convene at the Port Administration Office, One Sitcum Plaza, Tacoma, Washington.

Bidding Security: Each bid must be accompanied by a Certified Check or Bid

Security Bond in an amount equal to five (5) percent of the bid.

Contact Information:

All questions are to be put into writing to the Port at procurement@portoftacoma.com. No oral answers will be binding by the Port.

Bidding Documents:

Plans, Specifications, Addenda, and Plan Holders List for this project are available on-line through The Port of Tacoma's Website www.portoftacoma.com. Click on "Contracts"; "Procurement", and then the Procurement Number 070531. Bidders must subscribe to the Holder's List on the right hand side of the screen in order to receive automatic email notification of future addenda and to be placed on the Holder's List.

Contact procurement@portoftacoma.com with questions. Holder's Lists will be updated regularly. Additional Instructions available in 00 21 00 - Instructions to Bidders.

END OF SECTION

PART 1 - SUMMARY

1.01 DEFINITIONS

All definitions set forth in the Agreement, the General Conditions of the Contract for Construction and in other Contract Documents are applicable to the Bidding Documents.

- A. "Addenda" are written or graphic instruments issued prior to the execution of the Contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections. The contents of an Addendum are issued in no particular order and therefore should be carefully and completely reviewed.
- B. "Award" means the formal decision by the Port of Tacoma ("Port") notifying a Responsible Bidder with the lowest responsive Bid of the Port's acceptance of the Bid and intent to enter into a Contract with the Bidder.
- C. The "Award Requirements" include the statutory requirements as a condition precedent to Award.
- D. The "Base Bid" is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base to which work may be added or from which work may be deleted for sums stated in Alternate Bids.
- E. A "Bid" is a complete and properly signed proposal to do the Work, submitted in accordance with the Bidding Documents, for the sums therein stipulated and supported by any data called for by the Bidding Documents.
- F. The "Bid Date" is the day and hour specified in the Bidding Documents, as may be changed through an Addendum, by which Bidders are required to submit Bids to the Port.
- G. The "Bid Form" is the form(s) included with the Bidding Documents, with Specification Section 00 41 00, through which a Bidder submits a Bid.
- H. A "Bidder" is a person or entity who submits a Bid.
- I. The "Bidding Documents" include the Advertisement or Invitation to Bid, Instructions to Bidders, the Bid Form, any other sample bidding and contract forms, the Bid Bond, and the proposed Contract Documents, including any Addenda issued prior to the Bid Date.
- J. The "Contract Documents" proposed for the Work consist of the Agreement, the General Conditions of the Contract (as well as any Supplemental, Special or other Conditions included in the project manual), the Drawings, the Specifications, and all Addenda issued prior to, and all modifications issued after, execution of the Contract.
- K. The "Schedule of Unit Prices" is a separate schedule on the Bid Form for Unit Pricing as an all-inclusive price per unit of measurement for materials, equipment or services as described in the Bidding Documents or in the proposed Contract Documents for the optional use of the Port. Quantities are not predictions of amounts anticipated. The Port may but is not obligated to accept a Schedule of Unit Price if it accepts the Base Bid. The Schedule of Unit Prices are not factored into the evaluation of determining the low bid amount and are not included as part of the bid award amount.
- L. A "Sub-Bidder" is a person or entity of any tier who submits a bid or proposal to or through the Bidder for materials, equipment or labor for a portion of the Work.

1.02 BIDDER'S REPRESENTATIONS

By making its Bid, each Bidder represents that:

- A. BIDDING DOCUMENTS. The Bidder has read and understands the Bidding Documents, and its Bid is made in accordance with them.
- B. PRE-BID MEETING. The Bidder has attended pre-Bid meeting(s) required by the Bidding Documents. Attendance at a mandatory meeting or training session means that, in the sole opinion of the Port, a Project representative of a prospective Bidder has attended all or substantially all of such meeting or session.
- C. BASIS. Its Bid is based upon the materials, systems, services, and equipment required by the Bidding Documents, and is made without exception.
- D. EXAMINATION. The Bidder has carefully examined and understands the Bidding Documents, the Contract Documents (including, but not limited to, any liquidated damages and insurance provisions), and the Project site, including any existing buildings, it has familiarized itself with the local conditions under which the Work is to be performed and has correlated its observations with the requirements of the proposed Contract Documents and it has satisfied itself as to the nature, location, character, quality and quantity of the Work, the labor, materials, equipment, goods, supplies, work, services and other items to be furnished, and all other requirements of the Contract Documents. The Bidder has also satisfied itself as to the conditions and other matters that may be encountered at the Project site or affect performance of the Work or the cost or difficulty thereof, including but not limited to those conditions and matters affecting: transportation, access, disposal, handling and storage of materials, equipment and other items; availability and quality of labor, water, electric power and utilities; availability and condition of roads; climatic conditions and seasons; physical conditions at the Project site and the surrounding locality; topography and ground surface conditions; and equipment and facilities needed preliminary to and at all times during the performance of the Work. The failure of the Bidder fully to acquaint itself with any applicable condition or matter shall not in any way relieve the Bidder from the responsibility for performing the Work in accordance with, and for the Contract Sum and within the Contract Time provided for in, the Contract Documents.
- E. PROJECT MANUAL. The Bidder has checked its copies of the project manual (if any) with the table of contents bound therein to ensure the project manual is complete.
- F. SEPARATE WORK. The Bidder has examined and coordinated all Drawings, Contract Documents, and Specifications with any other contracts to be awarded separately from, but in connection with, the Work being Bid upon, so that the Bidder is fully informed as to conditions affecting the Work under the Contract being Bid upon.
- G. LICENSE REQUIREMENTS. Bidders and Sub-Bidders shall be registered and shall hold such licenses as may be required by the laws of Washington, including a certificate of registration in compliance with RCW 18.27, for the performance of the Work specified in the Contract Documents.
- H. NO EXCEPTIONS. Bids must be based upon the materials, systems and equipment described and required by the Bidding Documents, without exception.

1.03 BIDDING DOCUMENTS

A. COPIES

- 1. Bidders may obtain complete sets of the Bidding Documents from The Port of Tacoma's Website www.portoftacoma.com. Click on "Contracts" then "Procurement".
- 2. Complete Sets. Bidders shall use complete sets of Bidding Documents in preparing Bids and are solely responsible for obtaining updated information. The Port does not assume

- any responsibility for errors or misinterpretations resulting from the use of incomplete and/or superseded sets of Bidding Documents.
- 3. Conditions. The Port makes copies of the Bidding Documents available only for the purpose of obtaining Bids on the Work and does not confer a license or grant permission for any other use.
- 4. Legible Documents. To the extent any Drawings, Specifications, or other Bidding Documents are not legible, it is the Bidder's responsibility to obtain legible documents.

B. INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS

- Format. The Contract Documents are divided into parts, divisions, and sections for convenient organization and reference. Generally, there has been no attempt to divide the Specification sections into Work performed by the various building trades, any Work by separate contractors, or any Work required for separate facilities in or phases of the Project.
- Duty to Notify. Bidders shall promptly notify the Port in writing of any ambiguity, inconsistency, or error that they may discover upon examination of the Bidding Documents or of the site and local conditions.
- 3. Products and Installation. All Bidders shall thoroughly familiarize themselves with specified products and installation procedures and submit to the Port any objections (in writing) no later than seven (7) days prior to the Bid Date. The submittal of the Bid constitutes acceptance of products and procedures specified as sufficient, adequate, and satisfactory for completion of the Contract.
- 4. Written Request. Bidders requiring clarification or interpretation of the Bidding Documents shall make a written email request to procurement@portoftacoma.com at least seven (7) days prior to the Bid Date.
- 5. Request to Modify Responsibility Criteria. No later than seven (7) days prior to the Bid Date, a potential Bidder may request in writing that the Port modify the Responsibility Criteria. The Port will evaluate the information submitted by the potential Bidder and respond before the Bid Date. If the evaluation results in a change of the Criteria, the Port will issue an Addendum identifying the new Criteria.
- 6. Addenda. The Bidder shall not rely on oral information provided at any pre-Bid meetings or during site visits. Verbal statements made by representatives of the Port are for informational purposes only. Any interpretation, correction or change of the Bidding Documents will be made solely by written Addendum. Interpretations, corrections or changes of the Bidding Documents made in any manner other than by written Addendum, including but not limited to oral statements, will not be binding, and Bidders shall not rely upon such statements, interpretations, corrections or changes. The Port is not responsible for explanations or interpretations of the Bidding Documents other than in a written Addendum.
- 7. Site Visits. Any site visits are provided as a courtesy to potential Bidders to assist them in becoming familiar with the Project site conditions. However, only the Bidding Documents, including any issued Addenda, may be relied upon by Bidders.
- 8. Singular References. Reference in the singular to an article, device, or piece of equipment shall include as many of such articles, devices, or pieces as are indicated in the Contract Documents or as are required to complete the installation.

9. Utilities and Runs. The Bidder should assume that the exact locations of any underground or hidden utilities, underground fuel tanks, and plumbing and electrical runs may be somewhat different from any location indicated in the surveys or Contract Documents.

C. SUBSTITUTIONS

1. For substitutions during bidding, refer to Section 00 26 00 – Substitution Procedures During Bidding.

D. ADDENDA

- Distribution. All Addenda will be written and will be distributed by Builders Exchange of Washington or any other source specified by the Port for the Project.
- 2. Copies. Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.
- 3. Verification and Acknowledgment of Receipt. Prior to submitting a Bid, each Bidder shall ascertain that it has received all Addenda issued. Each Bidder shall acknowledge its receipt and consideration of all Addenda in its Bid.

1.04 BIDDING PROCEDURE

A. FORM AND STYLE OF BIDS

- Form. Bids (including required attachments) shall be submitted on forms identical to the Bid Form included with the Bidding Documents. No oral, email, or telephonic responses or modifications will be considered.
- 2. Entries on the Bid Form. All blanks on the Bid Form shall be filled in by typewriter, printer, or manually in ink.
- 3. Figures. All sums shall be expressed in figures, not words. Portions of the Bid Form may require the addition or multiplication of components bids to a total or the identification of component amounts within a total. In case of discrepancy between unit prices listed and their sum(s), the unit prices listed shall govern (rather than the sum).
- 4. Initial Changes. Any interlineation, alteration or erasure shall be initialed by an authorized representative of the Bidder.
- 5. Bid Breakdown. The Bid Form may contain, for the Port's accounting purposes only, a breakdown of some or all of the components included in the Base Bid.
 - a. For lump sum bids the total Contract Sum shall be submitted.
 - b. For unit price bids a price shall be submitted for each item of the Work, an extension thereof, and, if requested, the total Contract Sum.
- 6. Schedule of Unit Prices. All Unit Prices under this schedule shall be bid. The Port reserves the right, but is not obligated to, reject any Bid on which all requested Schedule of Unit Prices are not bid.
- 7. No Conditions. The Bidder shall make no conditions or stipulations on the Bid Form nor qualify its Bid in any manner.
- 8. Identity of Bidder. The Bidder shall include in the specified location on the Bid Form the legal name of the Bidder and, if requested, a description of the Bidder as a sole proprietor, a partnership, a joint venture, a corporation, or another described form of legal entity. The Bid shall be signed by the person or persons legally authorized to bind the Bidder to a contract. The Port verifies signature authority on the Labor and Industries website

- https://fortress.wa.gov/lni/bbip/Search.aspx under the contractor registration business owner information. If the business owner information is not current the bidder shall show proof of authority to sign at the request of the Port. A Bid submitted by an agent shall have a current power of attorney attached certifying the agent's authority to bind the Bidder
- 9. Bid Amounts Do Not Include Sales Tax. The Work to be performed constitutes a "retail sale" as this term is defined in RCW 82.04.050. Thus, the Base Bid amount shall include in the sum stated all taxes imposed by law, EXCEPT WASHINGTON STATE AND LOCAL SALES TAX. The engaged Contractor will pay retail sales tax on all consumables used during the performance of the Work and on all items that are not incorporated into the final Work; this tax shall be included in the Base Bid price and in any other prices set forth on the Bid Form. The Port will pay state and local retail sales tax on each progress payment and final payment to the engaged Contractor for transmittal by the Contractor to the Washington State Department of Revenue or to the applicable local government.

B. POTENTIAL LISTING OF SUB-BIDDERS (SUBCONTRACTORS)

- 1. Procedure. On certain projects of the Port, the Bid Form includes a requirement that certain Sub-Bidders be listed, in which case the Bidder must complete the required list. In these circumstances, and regardless of the anticipated cost of the Project, the Bidder must name the Sub-Bidder or Sub-Bidders with whom the Bidder, if Awarded the Contract, will subcontract directly (i.e., not lower-tier Sub-Bidders) for performance of the Work of:
 - a. HVAC (heating, ventilation and air conditioning) Work,
 - b. plumbing Work as described in RCW 18.106,
 - c. electrical Work as described in RCW 19.28, and
 - d. any other categories of Work listed on the Sub-Bidder listing form and/or Bid Form.
- 2. Self-Performance: If the Bidder intends to self-perform any of these categories of Work, it must name itself for each such category of Work.
- 3. Multiple Entries: The Bidder shall not list more than one (1) entity for a particular category of Work identified, unless a Sub-Bidder will vary based on an Alternate Bid, in which case the Bidder shall identify the Sub-Bidder to be used for the Alternate and the affected portion of the Work.
- 4. Failure to Submit: In accordance with RCW 39.30.060, failure of a Bidder to submit as part of the Bid the names of such proposed HVAC, plumbing, and electrical Sub-Bidders or to name itself to perform such Work or the naming of two or more Sub-Bidders to perform the same Work shall render the Bidder's Bid non-responsive and, therefore, void.
- 5. Requirement to Subcontract: The Bidder, if Awarded the Contract, will subcontract with the listed Sub-Bidders for performance of the portion of the Work designated on the Bid Form, subject to the provisions of the Contract for Construction and RCW 39.30.060. The Bidder shall not substitute a listed Sub-Bidder in furtherance of bid shopping or bid peddling.
- 6. Sub-Bidder Qualification: Listed Sub-Bidders may be required to provide evidence of their qualifications, including a statement of experience and references, prior to Award, or at any time during the Contract Time. Such information shall be provided within 24 hours of request. This evidence shall demonstrate that the Sub-Bidder meets or exceeds all requirements for experience, qualifications, manufacturer's certifications, or any other requirements specified in any of the technical sections of the Contract Documents for which the Sub-Bidder proposes to perform Work.

- 7. Replacement: If a listed Sub-Bidder fails to provide adequate evidence of qualifications, is unable to comply with any bonding requirements of the Bidding Documents or with other requirements of the Contract or Bidding Documents, is not properly licensed, or fails to meet the Responsibility Criteria of the Bidding Documents, the Port may require the Bidder to replace the Sub-Bidder with another subcontractor reasonably acceptable to the Port at no change in the Contract Sum or Contract Time.
- 8. Sub-Bidder Standards: Sub-Bidders shall meet contractual and technical qualification standards, and provide specialized certification, licensing, and/or payment and performance bonding, if required.
- 9. Small business participation encouraged: The Port's policy is to encourage the Contractor to solicit and document participation, and to provide and promote the maximum lawful, practicable opportunity for increased participation, by small business enterprises.

C. BID SECURITY

- 1. Purpose and Procedure. Each Bid shall be accompanied by Bid security payable to the Port in the form required by the Bidding Documents and equal to five percent (5%) of the Base Bid only (i.e., not including any Alternates or Unit Prices). The Bid security constitutes a pledge by the Bidder to the Port that the Bidder will enter into the Contract with the Port in the form provided, in a timely manner, and on the terms stated in its Bid, and will furnish in a timely manner the payment and performance bonds, certificates of insurance, and all other documents required in the Contract Documents. Should the Bidder fail or refuse to enter into the Contract or fail to furnish such documents, the amount of the Bid security shall be forfeited to the Port as liquidated damages, not as a penalty. By submitting a Bid, each Bidder represents and agrees that the Bid security, if forfeited, is a reasonable prediction on the Bid Date of future damages to the Port.
- 2. Form. The Bid security shall be in the form of a certified or bank cashier's check payable to the Port or a Bid bond executed by a bonding company reasonably acceptable to the Port licensed in the State of Washington, registered with the Washington State Insurance Commissioner, possess and A.M. Best rating of "A minus, Fiscal Size Category (FSC) (6) or better and be authorized by the U.S. Department of the Treasury. The Bid security shall be signed by the person or persons legally authorized to bind the Bidder. Bid bonds shall be submitted using the form included with the Bidding Documents.
- 3. Retaining Bid Security. The Port will have the right to retain the Bid security of Bidders to whom an Award is being considered until the earliest of either (a) mutual execution of the Contract, and the Port's receipt of payment and performance bonds, or (b) the specified time has elapsed so that Bids may be withdrawn, or (c) when all Bids have been rejected.
- 4. Return of Bid Security. Within sixty (60) days after the Bid Date, the Port will release or return Bid securities to Bidders who's Bids are not to be further considered in Awarding the Contract. Bid securities of the three apparent low Bidders will be held until the Contract has been finally executed, after which all unforfeited Bid securities will be returned. Bid security may be returned in the form provided or by separate payment.

D. SUBMISSION OF BIDS

1. Procedure. The Bid, the Bid security, and other documents required to be submitted with the Bid shall be enclosed in a sealed envelope identified with the Project name and number and the Bidder's name and address. If the Bid is sent by mail the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face of the mailing envelope.

- a. If a Bid is mailed, it shall be addressed to the Port of Tacoma, Contracts Department, One Sitcum Plaza, Tacoma, WA 98421.
- b. If a Bid is delivered, it shall be delivered to the Front Reception Desk, Port of Tacoma, One Sitcum Plaza, Tacoma, WA 98421.
- c. The time stamp clock at the Front Reception Desk at One Sitcum Plaza is the Port's official clock.
- 2. Deposit. Bids shall be deposited at the designated location prior to the Bid Date indicated in the Advertisement or Invitation to Bid, or any extension thereof made by Addendum. Bids received after the Bid Date and time specified shall be returned without consideration at the discretion of the Port or rejected at the time of receipt.
- 3. Delivery. The Bidder assumes full responsibility for timely delivery at the location designated for receipt of Bids.
- 4. Form. Oral, facsimile, telephonic, electronic, or email Bids are invalid and will not be considered.

E. MODIFICATION OR WITHDRAWAL OF BID

- 1. After the Bid Date. A Bid may not be modified, withdrawn or canceled by the Bidder during a sixty (60) day period following the Bid Date, and each Bidder so agrees by virtue of submitting its Bid.
- 2. Before the Bid Date. Prior to the Bid Date, any Bid submitted may be modified or withdrawn only by notice to the party receiving Bids at the place designated for receipt of Bids. The notice shall be in writing with the signature of the Bidder and shall be worded so as not to reveal the amount of the original Bid. Email notice will not be accepted. It shall be the Bidder's sole responsibility to verify that the notice has been received by the Port in time to be withdrawn before the Bid opening.
- 3. Resubmittal. Withdrawn Bids may be resubmitted up to the time designated for the receipt of Bids provided that they are then fully in conformance with these Instructions to Bidders.
- 4. Bid Security with Resubmission. Bid security shall be in an amount sufficient for the Bid as modified or resubmitted.

F. COMMUNICATIONS

 Communications from a Bidder related to these Instructions to Bidders must be in writing to procurement@portoftacoma.com. Communications, including but not limited to notices and requests, by Sub-Bidders shall be made through the Bidder and not directly by a Sub-Bidder to the Port.

1.05 CONSIDERATION OF BIDS

- A. OPENING OF BIDS: Unless stated otherwise in the Advertisement or Invitation to Bid or an Addendum, the properly identified Bids received on time will be opened publicly and will be read aloud. An abstract of the Base Bids and any Alternate Bids will promptly (and generally within 24 hours) be made available to Bidders and other interested parties.
- B. REJECTION OF BIDS: The Port shall have the right but not the obligation to reject any or all Bids for any reason or for no reason, to reject a Bid not accompanied by the required Bid security, or to reject a Bid which is in any way incomplete or irregular.
- C. BIDDING MISTAKES: The Port will not be obligated to consider notice of claimed Bid mistakes received more than 24 hours after the Bid Date. In accordance with Washington law, a low

Bidder that claims error and fails to enter into the Contract is prohibited from Bidding on the Project if a subsequent call for Bids is made for the Project.

D. ACCEPTANCE OF BID (AWARD)

- 1. Intent to Accept. The Port intends (but is not bound) to Award a Contract to the Responsible Bidder with the lowest responsive Bid, provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. The Port has the right to waive any informality or irregularity in any Bid(s) received and to accept the Bid which, in its judgment, is in its own best interests.
- 2. Requirements for Award. Before the Award, the lowest responsive Bidder must be deemed Responsible by the Port and must satisfy all Award Requirements.

E. BID PROTEST PROCEDURES

- 1. Procedure. A Bidder protesting for any reason the Bidding Documents, a Bidding procedure, the Port's objection to a Bidder or a person or entity proposed by the Bidder, including but not limited to a finding of non-Responsibility, the Award of the Contract or any other aspect arising from or relating in any way to the Bidding shall cause a written protest to be filed with the Port within two (2) business days of the event giving rise to the protest. (Intermediate Saturdays, Sundays, and legal holidays are not counted as business days.) The written protest shall include the name of the protesting Bidder, the bid solicitation number and title under which the protest is submitted, a detailed description of the specific factual and legal grounds for the protest, copies of all supporting documents, evidence that the apparent low bidder has been given notice of the protest, and the specific relief requested. The written protest shall be sent by email to procurement@portoftacoma.com.
- 2. Consideration. Upon receipt of the written protest, the Port will consider the protest. The Port may, within three (3) business days of the Port's receipt of the protest, provide any other affected Bidder(s) the opportunity to respond in writing to the protest. If the protest is not resolved by mutual agreement of the protesting Bidder and the Port, the Contracts Director of the Port or his or her designee will review the issues and promptly furnish a final and binding written decision to the protesting Bidder and any other affected Bidder(s) within six (6) business days of the Port's receipt of the protest. (If more than one (1) protest is filed, the Port's decision will be provided within six (6) business days of the Port's receipt of the last protest.) If no reply is received from the Port during the six (6) business-day period, the protest will be deemed rejected.
- 3. Waiver. Failure to comply with these protest procedures will render a protest waived.
- Condition Precedent. Timely and proper compliance with and exhaustion of these protest procedures shall be a condition precedent to any otherwise permissible judicial consideration of a protest.

1.06 POST BID INFORMATION

A. THE LOWEST RESPONSIVE BIDDER SHALL:

1. Responsibility Detail Form. Within 24 hours of the Low Responsive Bidder Selection Notification, the apparent low Bidder shall submit to the Port the Responsibility Detail Form and Project Example Sheets (Section 00 45 13) executed by an authorized company officer. As requested from the Port, the low, responsive Bidder shall provide written confirmation that the person signing the Bid on behalf of the Bidder was duly authorized at the time of bid, a detailed breakdown of the Bid in a form acceptable to the Port, and other information required by the Port.

- 2. Within ten (10) days after the Port's Notice of Award of the Contract, the apparent low Bidder shall also submit to the Port:
 - a. additional information regarding the use of the Bidder's own forces and the use of subcontractors and suppliers;
 - b. the names of the persons or entities (including a designation of the Work to be performed with the Bidder's own forces, and the names of those who are to furnish materials or equipment fabricated to a special design) proposed for each of the principal portions of the Work (i.e., either a listed Sub-Bidder or a Sub-Bidder performing Work valued at least ten percent (10%) of the Base Bid), consistent with the listing required with the Bid; and
 - c. the proprietary names and the suppliers of the principal items or systems of materials and equipment proposed for the Work.
- 3. Failure to provide any of the above information in a timely manner will constitute an event of breach permitting forfeiture of the Bid security.
- 4. Bidder Responsibility. The Bidder will be required to establish to the satisfaction of the Port the reliability and Responsibility of itself and the persons or entities proposed to furnish and perform the Work described in the Bidding Documents. If requested, the Bidder shall meet with the Port to discuss the Bid, including any pricing, the Bid components, and any assumptions made by the Bidder.
- 5. Sub-Bidder Responsibility. The Responsibility of the Bidder may be judged in part by the Responsibility of Sub-Bidders. Bidders must verify the Responsibility Criteria for each first-tier Sub-Bidder. A Sub-Bidder of any tier that hires other Sub-Bidders must verify Responsibility Criteria for each of its lower-tier Sub-Bidders. The verification shall include a representation that each Sub-Bidders, at the time of subcontract execution, is Responsible and possesses required licenses.
- 6. Objection. Prior to an Award of the Contract, the Port will notify the Bidder in writing if the Port, after due investigation, has reasonable objection to the Bidder or a person or entity proposed by the Bidder. Upon receiving such objection, the Bidder may, at Bidder's option, (1) withdraw their Bid, (2) submit an acceptable substitute person or entity with no change in the Contract Time and no adjustment in the Base Bid or any Alternate Bid, even if there is a cost to the Bidder occasioned by such substitution, or (3) file a protest in accordance with the Bidding Documents.
- 7. Change. Persons and entities proposed by the Bidder to whom the Port has made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Port.
- 8. Right to Terminate. The Bidder's representations concerning its qualifications will be construed as a covenant under the Contract. If a Bidder makes a material misrepresentation on a Qualification Statement, the Port has the right to terminate the Contract for cause and may then pursue any remedies that exist under the Contract or that are otherwise available.
- B. INFORMATION FROM OTHER BIDDERS: All other Bidders designated by the Port as under consideration for Award of a Contract shall also provide a properly executed Qualification Statement, if so requested by the Port.

1.07 PERFORMANCE BOND, LABOR AND MATERIAL PAYMENT BOND, AND INSURANCE

- A. BOND REQUIREMENTS: Within ten (10) days after the Port's Notice of Award of the Contract, the successful Bidder shall obtain and furnish statutory bonds pursuant to RCW 39.08 covering the faithful performance of the Contract and the payment of all obligations arising thereunder in the form and amount prescribed in the Contract Documents. The cost of such bonds shall be included in the Base Bid.
- B. TIME OF DELIVERY AND FORM OF BONDS: The successful Bidder shall deliver an original copy of the required bonds to the Port, 1 Sitcum Plaza, Tacoma, WA 98421, within the time specified in the Contract Documents.
- C. INSURANCE: a certificate of insurance from the Bidder's insurance company that meets or exceeds all requirements of the Contract Documents;
- D. GOVERNMENTAL REQUIREMENTS: Notwithstanding anything in the Bidding or Contract Documents to the contrary, the Bidder shall provide all bonding, insurance and permit documentation as required by governmental authorities having jurisdiction for any portions of the Project.

1.08 FORM OF AGREEMENT

- A. FORM TO BE USED: The Contract for the Work will be written on the form(s) contained in the Bidding Documents, including any General, Supplemental or Special Conditions, and the other Contract Documents included with the project manual.
- B. CONFLICTS: In case of conflict between the provisions of these Instructions and any other Bidding Document, these Instructions shall govern. In case of conflict between the provisions of the Bidding Documents and the Contract Documents, the Contract Documents shall govern.
- C. CONTRACT DELIVERY. Within ten (10) days after Notice of Award, the Bidder shall submit a signed Contract to the Port in the form tendered to the Bidder and without modification.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

PART 1 - GENERAL

1.01 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General Conditions and Supplementary Conditions, and Division 0 and 1 Specifications sections shall apply to all sections of the Contract Documents, including specifications, drawings, addenda, or other changes of documents issued for bidding.

1.02 SUMMARY

A. Section includes administrative and procedural requirements for substitutions during bidding.

1.03 DEFINITIONS

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
- B. The bidding documents include performance specifications for products and equipment which meet project requirements. In those cases where a representative item or manufacturer is named in the specification, it is provided for the sole purpose of identifying a product meeting the required functional performance, and where the words "or equal" are used, a substitution request as further described, is not required.
- C. Where non-competitive or sole source products or manufacturers are explicitly specified with the words "or approved equal", or "Engineer approved equal", or "as approved by the Engineer" are used, they shall be taken to mean "or approved equal". In these cases a substitution request as further described in this section, is required.

1.04 SUBMITTALS

- A. Pre-Bid Substitution Requests: Submit one PDF of the substitution request form along with all supporting documentation for consideration of each request. Identify product or fabrication or installation method to be replaced. Include Drawing numbers and titles. Substitution requests prior to bid date may originate directly from a prime bidder, or from a prospective supplier or subcontractor.
 - 1. Substitution Request Form: Use copy of form located in Section 00 43 25.
 - Documentation: Show compliance with requirements for substitutions with the following, as applicable:
 - a. Statement indicating why specified product or fabrication or installation cannot be provided.
 - b. Coordination information, including a list of changes or modifications needed to other parts of the Work that will be necessary to accommodate proposed substitution.
 - c. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
 - d. Samples, where applicable or requested.
 - e. Certificates and qualification data, where applicable or requested.
 - f. Research reports evidencing compliance with building code in effect for project
 - 3. Engineer's Action: Engineer will review substitution requests if received electronically to procurement@portoftacoma.com at least 7 days prior to the bid opening date set forth in these documents. Substitution requests received after this time will not be reviewed.

- a. Forms of Acceptance: Substitution requests will be formally accepted via written addendum prior to the bid opening date. Bidders shall not rely upon approvals made in any other manner.
- b. Use product originally specified if Engineer does not issue a decision on use of a proposed substitution within time allocated.
- c. The Port's decision of approval or disapproval of a proposed substitution shall be final.
- B. Substitutions will not be considered when:
 - 1. Indicated or implied on shop drawings or product data submittals without formal request submitted in accordance with this Section.
 - 2. Acceptance will require substantial revision of Contract Documents or other items of the Work.
 - 3. Submittal for substitution request does not include point-by-point comparison of proposed substitution with specified product.

1.05 QUALITY ASSURANCE

A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

PART 1 - GENERAL

1.01 SUMMARY

A. This Section provides the notification required for disclosure of asbestos, lead-containing or other hazardous materials.

1.02 HAZARDOUS MATERIALS NOTICE

- A. The Port is reasonably certain that asbestos and lead will not be disturbed by the project. If the Contractor encounters material suspected of containing lead or asbestos which will interfere with the execution of the work, the Contractor shall stop work and notify the Engineer.
- B. The Contractor is notified that the railway ballast material maybe contaminated.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

VARIOUS LOCATIONS

BIDDER'S NAME: _						•
PROJECT TITLE: R	EPLACEMENT OF	RAILWAY T	RACK AND	SPECIAL '	TRACKWOR	RK AT

The undersigned Bidder declares that it has read the specifications, understands the tools, materials, etc., including all work incidental to, or described or implied as incidental to such items, according to the contract documents of the Port of Tacoma, and that the Bidder will complete the work within the time stated, and that Bidder will accept in full payment therefore the lump sum or unit price(s) set forth below:

Proposed Bid Price. (Note: Show prices in figures only.) Complete Installation:

Schedule A – Rule 170 (WAC 458-20-170) (Retail Sales Tax is Added to Pay Estimate)

ITEM	DESCRIPTION OF ITEM	QTY	UOM	UNIT	EXTENDED	
NO.				PRICE	PRICE	
1	WYE Turnout Replacement Work	1	LS			
2	Transfer Yard Turnout Replacement Work	1	LS			
3	Bubble Track 1 Replacement Work	1	LS			
4	Bubble Track 2 Replacement Work	1	LS			
	SCHEDULE A SUBTOTAL					
	10.1% WASHINGTON STATE SALES TAX (WSST)					
	SCHEDULE A TOTAL BID AMOUNT					

Schedule B – Rule 171 (WAC 458-20-171) (Retail Sales Tax is Included in Bid Items)

ITEM NO.	DESCRIPTION OF ITEM	QTY	UOM	UNIT PRICE	EXTENDED PRICE
5	Work Order - Lincoln Ave Overpass Replacement Work	1	LS		
6	Work Order - Bubble Track 1 Replacement Work	1	LS		
SCHEDULE B TOTAL BID AMOUNT					

SCHEDULE A TOTAL BID AMOUNT	
SCHEDULE B TOTAL BID AMOUNT	
NOT TO EXCEED BID TOTAL (WITH WSST)	

Evaluation of Bids. In accordance with the provisions of these Contract Documents, Bids will be evaluated to determine the lowest Base Bid Subtotal offered by a responsible Bidder submitting a responsive bid.

Addenda. Bidder acknowledges review of	of all Addenda through N	lo
Bid Security. A certified check, cashier's security bond in substantially the form seat least 5% of the total bid without sales	et forth in Section 00 43	13, Bid Security Form for
Noncollusion. The undersigned declares a genuine and not a sham or collusive biperson or firm not therein named; and fur indirectly induced or solicited any bidder bid, or any other person or corporation to not in any manner sought by collusion to other bidder or bidders.	d, or made in the interes rther says that the said to on the above work or su o refrain from bidding; ar	st or on behalf of any bidder has not directly or upplies to put in a sham nd that said bidder has
Name of Firm	Date	
Signature	Ву	Title
Mailing Address	City, State	Zip Code
Telephone Number	Email Address	
WA State Contractor's Licence No.	Date of Issue	Expiration Date
Unified Business Identifier (UBI) No.	Employment Secu	rity Department No.
Identification of Contractor as a sole proporation, or another described form of		joint venture, a

END OF SECTION

KNOW ALL MEN BY THESE PRESENTS:	
That we,	, as Principal, and
, as Sure	ety, are held and firmly bound unto the PORT
OF TACOMA as Obligee, in the penal sum of	
Dollars, for the payment of which the Princi executors, administrators, successors and assigned, jointly	
executors, aurilinistrators, successors and assigned, jointly	and severally, by these present.
The condition of this obligation is such that if the Oblige	
proposal or bid made by the Principal therefor, and the Principal the Obligee in accordance with the terms of said properthe faithful performance thereof, with Surety or Sureties applied in case of failure to do so, pay and forfeit to the Obligee the call for bids, then this obligation shall be null and void; oth effect and the Surety shall forthwith pay and forfeit to the the amount of this bond.	posal or bid and award and shall give bond for proved by the Obligee; or, if the principal shall, e penal amount of the deposit specified in the nerwise it shall be and remain in full force and
SIGNED, SEALED AND DATED THIS day o	f, 20
BY	
Principal	
BY	
Surety	
Agent and Address	

Note: Bidder may submit Surety's bid bond form, provided it is similar in substance, made out in the name of the Port of Tacoma, and that the agent's name and address appear as specified. Bonds containing riders limiting responsibility for toxic waste or limiting the term of responsibility will be rejected.

END OF SECTION

DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS SECTION 00 43 25 - SUBSTITUTION REQUEST FORM - DURING BIDDING

Project Title Submitted By: Prime/Sub/Supplier:	Contract No.
Specification Title: Description:	
Proposed Substitution: Trade Name:	
Manufacturer: Address: Attached data includes product description, specifications, dradequate for evaluation of the request; applicable portions of Attached data also includes a description of changes to the Crequire for its proper installation. The Undersigned certifies: Proposed substitution has been fully investigated and of specified product. Same warranty will be furnished for proposed substitution. Same maintenance service and source of replacement particles. Proposed substitution will have no adverse effect on other. Proposed substitution does not affect dimensions and functions.	Phone No.:
Submitted By: Signed By: Address:	irm:
	nail:
Supporting Data Attached: Drawings Product Data Samples Tests ENGINEER'S REVIEW AND ACTION Substitution approved Substitution approved as noted Substitution rejected - Use specified materials. Substitution Request received too late - Use specified materials.	

Project Form: 00 43 25 - Page 1

The low responsive Bidder shall be required to complete this Responsibility Detail Form as specified in Section 00 21 00 - Instructions to Bidders. This completed Responsibility Detail Form shall be submitted electronically (pdf) via email to the Contact(s) identified in the Low Responsive Bidder Selection Notification. THIS IS NOT TO BE SUBMITTED WITH A BID. Bidder's Company Name:__ For the below Mandatory Bidder Responsibility Criteria, please check the appropriate box. 1.0 MANDATORY BIDDER RESPONSIBILITY CRITERIA The Bidder shall meet the following mandatory responsibility criteria as described in RCW 39.04.350(1). A. The Bidder shall be rejected as not responsible if any answer to questions 1 through 5 is "No" or any answer to questions 6 through 8 is "Yes". 1. Does the Bidder have a Certificate of Registration in compliance with RCW 18.27? ☐ No ☐ Yes Does the Bidder have a current Washington State Unified Business Identifier number? ☐ Yes ☐ No 3. Does the Bidder have Industrial Insurance Coverage for the Bidder's employees working in Washington State as required in RCW 51? ☐ Yes □ No 4. Does the Bidder have an Employment Security Department number as required in RCW 50? *Attach letter dated within 6 months of bid opening date. *Request a letter electronically by clicking on the following link https://fortress.wa.gov/esd/twt/pwcinternet/ or by emailing a request to publicworks @esd.wa.gov . . . ☐ Yes ☐ No 5. Does the Bidder have a Washington State Excise Tax Registration number as required in RCW 82? ☐ Yes No 6. Has the Bidder been disqualified from bidding on any public works project under RCW 39.06.010 or 39.12.065(3)? ☐ Yes □ No 7. Has the Bidder violated RCW 39.04.370 more than one time as determined by the Washington State Department of Labor and Industries? □Yes □No 8. Has the Bidder ever been found to be out of compliance with Apprenticeship Utilization requirements of RCW 39.04.320? ☐ Yes □No

If any answer to questions 1 through 5 is "No" or any answer to questions 6 through 8 is "Yes" - **STOP HERE** and contact the Contract Administrator. The Bidder is not responsible for this Work. Otherwise proceed to 1.1. **Provide attached to this completed form documentation to confirm responsibility criteria.**

For remaining criteria below, check or fill-out the appropriate box. Based upon the answer provided by the Bidder, the Port may request additional information or seek further explanation. As needed, provide backup documentation for any explanations listed below.

1.1 CONTRACT AND REGULATORY HISTORY

aco	e Port will evaluate whether the Bidder's contract and regulatory history demonstrates an ceptable record of past project performance and consistent responsibility. The Bidder shall swer the following questions. The Bidder may be rejected as not responsible if any answer to estions 1 through 5 below is "Yes".
1.	Has the Bidder had a contract terminated for cause or default, in the last 5 years?
	☐Yes ☐No If YES, explain below.
2.	Has the Bidder required a Surety to take over all, or a portion of, a project to cure or respond to an asserted default or material breach of contract on the part of the Bidder on any public works project, in the last 5 years?
	☐Yes ☐No If YES, explain below.
3.	Have the Bidder and major Sub-Bidders been in bankruptcy, reorganization and/or receivership on any public works project, in the last 5 years?
	☐Yes ☐No If YES, explain below.
4.	Have the Bidder and major Sub-Bidders been disqualified by any state or local agency from being awarded and/or participating on any public works project, in the last 5 years?
	☐Yes ☐No If YES, explain below.
5.	Are the Bidder and major Sub-Bidders currently a party to a formal dispute resolution process with the Port—i.e., a pending mediation, arbitration or litigation.
	☐Yes ☐No If YES, explain below.

1.2 ACCIDENT/INJURY EXPERIENCE

- A. The Port will evaluate the Bidder's accident/injury Experience Modification Factor ("EMF") from the Washington State Department of Labor and Industries to assess whether the Bidder has an acceptable safety record preventing personal injuries on projects.
- B. List the Bidder's accident/injury EMF for the last five (5) years. An experience factor is calculated annually by the Washington State Department of Labor and Industries.

Year	Effective Year	Experience Factor
1		
2		
3		
4		
5		

If the Bidder has received an EMF of greater than 1.0 for any year, explain the cause(s) of the designation and what remedial steps were taken to correct the EMF. The Bidder may be rejected as not responsible if the Bidder's EMF is greater than 1.0 and sufficient remedial steps have not been implemented.

1.3 WORK PERFORMED BY BIDDER

A. The Bidder shall state the amount of the Contract Work, as an equivalent to the Total Bid Price, excluding taxes, insurance and bonding, the Bidder will execute with its own forces.



1.4 SUBCONTRACTOR VERIFICATION

- A. The Bidder and its subcontractors to verify that its subcontractors at each tier meet the responsibility criteria as required by RCW 39.06.020 and 39.04.350.
 - Bidder shall verify major subcontractors meet the responsibility criteria required. Fill out one Port
 of Tacoma Public Works Project Bidder Evaluation Checklist for Subcontractors for each major
 subcontractor and submit to the Port with this form. Backup documentation is not required to be
 submitted.

1.5 PROJECT EXAMPLE SHEETS

- A. As part of completing this Responsibility Detail Form, submit the following information with the completed Responsibility Detail Form:
 - 1. Bidder's recent job resume including a list of similar projects performed and contact information for the similar project Owner(s).
 - 2. Resumes of bidder's proposed project manager and job superintendent.
- B. The Bidder's failure to provide the required project information may result in a determination of the Bidder being declared non-responsible by the Port.
- C. The Bidder shall submit this completed, **SIGNED** Responsibility Detail Form electronically (PDF), with all requested backup documentation, via email to the Contact(s) noted on the Low Responsive Bidder Selection Notification.

DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS SECTION 00 45 13 - RESPONSIBILITY DETAIL FORM

PROJECT:	
PROJECT NO CONTRACT NO	
Responsibility Certification Form	
The Low responsive Bidder shall complete the Responsibility Detail Form, attach all to the Port within 24 hours following receipt of the Low, Responsive Bidder Select shall be submitted electronically (PDF) via email to the contact(s) listed on the same project may be used to demonstrate experience across multiple categories if a	ction Notification. All forms Selection Notice. Note, the
By completing and signing this Responsibility Detail Form, the Bidder is cert contained within the form, and the backup documentation, and any additional inform is true and complete. The Bidder's failure to disclose the required information misleading information may result in the rejection of the Bidder's bid, revocatermination.	nation requested by the Port or the submittal of false or
The information provided herein is true and complete.	
Signature of Authorized Representative	Date
Print Name and Title	

Port of Tacoma Public Works Project Bidder Evaluation Checklist for Subcontractors

Project Title	
Bidder	
Contract and Project Number	

This checklist shall be completed by the Bidder and its subcontractors to verify that its subcontractors at each tier meet the responsibility criteria as required by RCW 39.06.020 and 39.04.350.

This checklist should be submitted to the Port of Tacoma Contracts Administrator within 24 hours of request. Document verification information or backup data is <u>not</u> to be submitted to the Port, this information should remain on file with the Contractor and presented to the Port should it be requested at a later date.

Item	Initials/Comments
At the time of bid submittal, have a certificate of registration in compliance with RCW 18.27: Check the L&I site https://fortress.wa.gov/lni/bbip/	
Verify that a subcontractor has an electrical contractor license, if required by chapter 19.28 RCW, or an elevator contractor license, if required by chapter 70.87 RCW.	
While reviewing registration information above, also check contractor's Employer Liability Certificate to verify workers' comp (industrial insurance) premium status – current account.	
Complete a "Submit Contractor Tracking Request" to be notified if the contractor fails to pay workers' comp premiums or renew their contractor registration or if their electrical contractor license is suspended or revoked within one year.	
State excise tax registration number (Department of Revenue). (contractor's Washington State Unified Business Identifier and tax registration number) http://dor.wa.gov/content/doingbusiness/registermybusiness/brd/	
Not disqualified from bidding on any public works contract under RCW 39.06.010 or 39.12.065(3).	
Check the Department of Labor and Industries http://www.lni.wa.gov/TradesLicensing/PrevWage/AwardingAgencies/DebarredContractors/	
Verify subcontractors are registered with the Washington State Employment Security Department (ESD) and have an account number. Request a letter to be sent to them the subcontractor electronically by clicking on the following link https://fortress.wa.gov/esd/twt/pwcinternet/ or by email a request to publicworks@esd.wa.gov . Include ES#, UBI#, and business name in the email. Certificate of Coverage letter issued/dated within the last six months. Document if subcontractor confirms in writing under penalty of perjury that it	
	At the time of bid submittal, have a certificate of registration in compliance with RCW 18.27: Check the L&I site https://fortress.wa.gov/lni/bbip/ Verify that a subcontractor has an electrical contractor license, if required by chapter 19.28 RCW, or an elevator contractor license, if required by chapter 70.87 RCW. While reviewing registration information above, also check contractor's Employer Liability Certificate to verify workers' comp (industrial insurance) premium status – current account. Complete a "Submit Contractor Tracking Request" to be notified if the contractor fails to pay workers' comp premiums or renew their contractor registration or if their electrical contractor license is suspended or revoked within one year. State excise tax registration number (Department of Revenue). (contractor's Washington State Unified Business Identifier and tax registration number) http://dor.wa.gov/content/doingbusiness/registermybusiness/brd/ Not disqualified from bidding on any public works contract under RCW39.06.010 or 39.12.065(3). Check the Department of Labor and Industries http://www.lni.wa.gov/TradesLicensing/PrevWage/AwardingAgencies/DebarredContractors/ Verify subcontractors are registered with the Washington State Employment Security Department (ESD) and have an account number. Request a letter to be sent to them the subcontractor electronically by clicking on the following link https://fortress.wa.gov/esd/twt/pwcinternet/ or by email a request to publicworks@esd.wa.gov . Include ES#, UBI#, and business name in the email. Certificate of Coverage letter issued/dated within the last six months.

THIS AGREEMENT is made and entered into by and between the PORT OF TACOMA, a State of Washington municipal corporation, hereinafter designated as the "Port," and:

The "Contractor":		(Legal Name)
		(Address)
		(Address 2)
		(Phone No.)
The "Project" is:	Replacement of Railway Track and Special Trackwork at Various Locations	(Title)
	<u>101081.01 070531</u>	(Project/ Contract No.)
	Port of Tacoma	(Project Address)
	Tacoma, Wa	(Project Address 2
The "Engineer" is:	Jane Vandenberg, P.E.	(Engineer)
	Director of Engineering	(Title)
	ivandenberg@portoftacoma.com	(Email)
	(253) 592-6777	(Phone No.)
The "Contractor's Representative" is:		(Representative)
		Title
		(Email)
		(Phone No.)

BACKGROUND AND REPRESENTATIONS:

The Port has caused Drawings, Specifications, and other Contract Documents to be prepared for the performance of Work on the Project.

The Port publicly solicited bids on the Contract Documents. The Contractor submitted a bid to the Port on the ______ day of ______, 20____ to perform the Work.

The Contractor represents that it has the personnel, experience, qualifications, capabilities, and means to accomplish the Work in strict accordance with the Contract Documents, within

and means to accomplish the Work in strict accordance with the Contract Documents, within the Contract Time and for the Contract Price, and that it and its Subcontractors satisfy the responsibility criteria set forth in the Contract Documents, including any supplemental responsibility criteria.

The Contractor further represents that it has carefully examined and is fully familiar with all provisions of the Contract Documents, including any Addenda, that it has fully satisfied itself as to the nature, location, difficulty, character, quality, and quantity of the Work required by the Contract Documents and the conditions and other matters that may be encountered at or near the Project site(s), or that may affect performance of the Work or the cost or difficulty thereof including all applicable safety and site responsibilities, and that it understands and can satisfy all scheduling and coordination requirements and interim milestones.

AGREEMENT:

The Port and the Contractor agree as follows:

1.0 CONTRACTOR TO FULLY PERFORM THE WORK

The Contractor shall fully execute and complete the entire Work described in the Contract Documents, except to the extent specifically indicated in the Agreement, the General Conditions of the Contract (as well as any Supplemental, Special or other Conditions included in the project manual), the Drawings, the Specifications, and all Addenda issued prior to, and all modifications issued after, execution of the Contract.

2.0 DATE OF COMMENCEMENT

The date of commencement of the Work, which is the date from which the Contract Time is measured, shall be fixed as the date this agreement is executed.

3.0 CONTRACT TIME AND LIQUIDATED DAMAGES

The Contractor shall achieve all interim milestones as set forth in the Contract Documents and Substantial Completion of the entire Work not later than 224 calendar days from contract execution, subject to adjustments of this Contract Time as provided in the Contract Documents. The Contractor shall achieve Final Completion of the Work within 30 calendar days of the date on which Substantial Completion is achieved.

Provisions for liquidated damages as a reasonable estimate of future loss, as of the date of this Agreement, are included in the Contract Documents. The parties agree that the stated liquidated damages are not penalties individually or cumulatively.

The liquidated damages for failure to achieve Substantial Completion by the prescribed date shall be \$1,000 per calendar day. After the prescribed Final Completion date, the liquidated damages for failure to achieve Final Completion shall be \$500 per calendar day.

Liquidated damages assessed by the Port will be deducted from monies due to the Contractor, or from monies that will become due to the Contractor. The liquidated damages, as specified and calculated herein, shall be levied for each and every calendar day that Substantial Completion and/or Final Completion of the work is delayed beyond the prescribed completion dates, or the completion dates modified by the Port for extensions of the contract time.

4.0 CONTRACT PRICE			
In accordance with the Contractor's bid dated, the Port shall pay the Contractor in current funds for the Contractor's performance of the Contract the Contract Price of Dollars (\$), subject to additions and deductions as provided in the Contract Documents. State and local sales tax is not included in the Contract Price but will be due and paid by the Port with each progress payment.			
5.0 INSURANCE AND BONDS			
The Contractor shall purchase and maintain insurance and provide bonds as set forth in the Contract Documents.			
This Agreement is entered into as of the day and year first written above:			
CONTRACTOR	PORT OF TACOMA		
By:	By:		
Title:	Title:		
Date:	Execution Date:		

END OF SECTION

PERFORMANCE CONTRACTOR (NAME AND ADDRESS)	BOND # SURETY (NAME AND PRINCIPLE PLACE OF BUSINESS)
OWNER (NAME AND ADDRESS)	AGENT OR BROKER (FOR INFORMATION ONLY)
PORT OF TACOMA P.O. BOX 1837	
TACOMA, WA 98401-1837	
firmly bound unto the Port of Tacoma as Obli	as Principal, hereinafter called Contractor, and as Surety, hereinafter called Surety, are held and gee, hereinafter called the Port, in the amount of Dollars (\$)
for the payment whereof Contractor and Surety be representatives, successors and assigns, jointly an	oind themselves, their executors, administrators, legal and severally, firmly by these presents.
WHEREAS:	
Contractor shall execute an agreement with the Po	rt fora copy of which
agreement together with all the Contract Docume	erm "Contract" as used herein to include the aforesaidents, addenda, modifications, all alterations, additions ent or provision incorporated into the Contract) and is
This bond is executed and issued pursuant to	the provisions of Chapter 39.08 Revised Code of

This bond is executed and issued pursuant to the provisions of Chapter 39.08 Revised Code of Washington.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if Contractor shall promptly and faithfully perform said Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

FURTHER:

- A. Surety hereby waives notice of any alterations, change orders, modifications or extensions of time made by the Port.
- B. Surety recognizes that the Contract includes provisions for additions, deletions and modifications to the work or Contract Time and the amounts payable to the Contractor. Subject to the limitations contained in (A) above, Surety agrees that no such addition, deletion, or modification, or any combination thereof, shall avoid or impair Surety's obligation hereunder.
- C. Whenever Contractor has been declared by the Port to be in default, and the Port has given Surety notice of the Port's determination of such default, Surety shall promptly (in no event more than fifteen (15) days following receipt of such notice) advise the Port of its intended action to:
 - 1. Remedy the default within fifteen (15) days following its advice to the Port as set forth above, or

- 2. Assume within fifteen (15) days, following its advice to the Port as set forth above, completion of the Contract in accordance with the Contract Documents and become entitled to payment of the balance of the Contract Sum, or
- 3. Pay the Port upon completion of the Contract, in cash, the cost of completion together with all other reasonable costs and expenses incurred by the Port as a result of the Contractor's default, including but not limited to, those reasonable costs and expenses incurred by the Port in its efforts to mitigate its losses, which may include but are not limited to, attorney's fees and efforts to complete the Work prior to the Surety exercising the options available to it as set forth herein.
- D. If the Port shall commence suit and obtain judgment against the Surety for recovery hereunder, then the Surety, in addition to such judgment, shall pay all costs and attorney's fees incurred by the Port in enforcement of its rights hereunder. Venue for any action arising out of or in connection with this bond shall be in Pierce County, Washington.
- E. No right or action shall accrue on this bond to or for the use of any person or corporation other than the Port of Tacoma.

 Signed and Sealed the______ day of ______, 20____.

 IMPORTANT: Surety companies executing bonds must have an A.M. Best Rating of A- FSC of (6) or higher, have an underwriting limitation of not less than the Contract Sum, and be authorized to transact business in the State of Washington.

 SURETY

 CONTRACTOR

 Signature

 Signature

Power of Attorney attached.

Printed Name and Title

END OF SECTION

Printed Name and Title

LABOR AND MATERIAL PA	YMENT BOND #
CONTRACTOR (NAME AND ADDRESS)	SURETY (NAME AND PRINCIPLE PLACE OF BUSINESS)
OWNER (NAME AND ADDRESS)	AGENT OR BROKER (FOR INFORMATION ONLY)
PORT OF TACOMA	
P.O. BOX 1837 TACOMA, WA 98401-1837	
and firmly bound unto the Port of Tacoma as Oblic	_ as Principal, hereinafter called Contractor, and as Surety, hereinafter called Surety, are held lee, hereinafter called the Port, and all others entitled Dollars nereof Contractor and Surety bind themselves, their
executors, administrators, legal representatives, suthese presents.	accessors and assigns, jointly and severally firmly by
WHEREAS:	
Contractor shall execute an agreement with the Por	t fora copy of which
agreement together with all the Contract Docum	rm "Contract" as used herein to include the aforesaid nents, addenda, modifications, alterations, additions ats or provisions incorporated into the Contract) and is

This bond is executed pursuant to the provisions of Chapter 39.08 Revised Code of Washington.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if Contractor shall promptly make payment to all claimants, as hereinafter defined, for all labor and material used or reasonably required for use in the performance of the Contract and shall indemnify and save the Port harmless from all cost and damage by reason of Contractor's default, then this obligation shall be null and void; otherwise it shall remain in full force and effect, subject to the following conditions:

- A. The Surety hereby waives notice of any alterations, change orders, modifications or extensions of time made by the Port.
- B. Surety recognizes that the Contract includes provisions for additions, deletions and modifications to the Work or Contract Time and the amounts payable to the Contractor. Surety agrees that no such addition, deletion, or modification, or any combination thereof, shall avoid or impair Surety's obligation hereunder.

- C. Surety hereby agrees that every person protected under the provisions of RCW 39.08.010 who has not been paid as provided under the Contract and pursuant to RCW 39.08.010, less any amounts withheld pursuant to statute, and less retainage withheld pursuant to RCW 60.28, after the expiration of a period of thirty (30) days after the date on which the completion of the Contract in accordance with RCW 39.08, may sue on this bond, prosecute the suit to final judgment as may be due claimant, and have execution thereon including recovery of reasonable costs and attorney's fees as provided by RCW 39.08. The Port shall not be liable for the payment of any costs or expenses of any such suit.
- D. No suit or action shall be commenced hereunder by any claimant unless claimant shall have given the written notices to the Port, and where required, the Contractor, in accordance with RCW 39.08.030.
- E. The amount of this bond shall be reduced by and to the extent of any payment or payments made in good faith hereunder, inclusive of the payment by Surety of claims which may be properly filed in accordance with RCW 39.08 whether or not suit is commenced under and against this bond.
- F. If any Claimant shall commence suit and obtain judgment against the Surety for recovery hereunder, then the Surety, in addition to such judgment and attorney fees as provided by RCW 39.08.030, shall also pay such costs and attorney fees as may be incurred by the Port as a result of such suit. Venue for any action arising out of or in connection with this bond shall be in Pierce County, Washington.

Signed and Sealed this day of, 2	0
IMPORTANT : Surety companies executing bonds much higher, have an underwriting limitation of not less that business in the State of Washington.	
SURETY	CONTRACTOR
Signature	Signature
Printed Name and Title	Printed Name and Title

END OF SECTION

Power of Attorney attached.

DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS SECTION 00 61 23 - RETAINAGE BOND

	Bond No.
	Project Title:
	Project No.:
	Contract No.
laws of the State ofsurety in the State of Washington, as Surety, are jointly	of the State of Washington and authorized to do Washington, as Principal, and _, a corporation organized and existing under the and authorized to transact the business of and severally held and bound unto the PORT OF
TACOMA, hereinafter called Port, as Obligee, and are the trust fund created by RCW 60.28 as their heirs, exethe penal sum of	ecutors, administrators, successors and assigns in
() plus 5% of any increases in the coduce to change orders, increases in the quantities or the	ontract amount that have occurred or may occur,
WHEREAS, on the day of with the Port for	

WHEREAS, said contract and RCW 60.28 require the Port to withhold from the Principal the sum of 5% from monies earned by the Principal on estimates during the progress of the work, hereinafter referred to as earned retained funds.

WHEREAS, the Principal has requested that the Port accept a bond in lieu of earned retained funds as allowed under Chapter 60.28 RCW.

NOW THEREFORE, this obligation is such that the Surety, its successors, and assigns are held and bound unto the Port and unto all beneficiaries of the trust fund created by RCW 60.28.011(1) in the aforesaid sum. This bond, including any proceeds therefrom, is subject to all claims and liens and in the same manner and priority as set forth for retained percentages in Chapter 60.28 RCW. The condition of this obligation is also that if the Principal shall satisfy all payment obligations to persons who may lawfully claim under the trust fund created pursuant to Chapter 60.28 RCW, to the Port, and indemnify and hold the Port harmless from any and all loss, costs, and damages that the Port may sustain by release of said retainage to Principal, then this obligation shall be null and void, provided the Surety is notified by the Port that the requirements of RCW 60.28.021 have been satisfied and the obligation is duly released by the Port.

IT IS HEREBY DECLARED AND AGREED that the Surety shall be liable under this obligation as Principal. The Surety will not be discharged or released from liability for any act, omission or defenses of any kind or nature that would not also discharge the Principal.

IT IS HEREBY FURTHER DECLARED AND AGREED that this obligation shall be binding upon and inure to the benefit of the Principal, the Surety, the Port, the beneficiaries of the trust fund created by Chapter 60.28 Revised Code of Washington (RCW) and their respective heirs, executors, administrators, successors and assigns.

	oal and said Surety have caused these presents to be duly signed
and sealed this day of	, 201
	D.v.
	By: Principal
	Address:
	City/ST/Zip:
	Phone:
	Surety Name
	By:Attorney-In-Fact
	Address:
	City/ST/Zip:
	DI

IMPORTANT: Surety companies executing bonds must have an A.M. Best Rating of A-FSC of (6) or higher, and be authorized to transact business in the State of Washington.

То:	Bank Name, Address, Phone	Escrow Account No:	
		Contract No:	Port fills in
		Project No:	Port fills in
Agency:	Port of Tacoma PO Box 1837	Project Title:	Port fills in
	Tacoma, WA 98401-1837		

The Undersigned	_, (Con	tractor	Name	and
Address) hereinafter referred to as the Contractor, has directed the Port of	Tacoma,	hereina	ıfter ref	erred
to as the Port, to deliver to	_ (Name	of	В	ank),
hereinafter referred to as "You", its checks for retainage under the Contract w	vhich sha	Il be pay	yable to) You
and the Contractor jointly, and which shall be held and disposed of by You in a	accordan	ce with	the follo	wing
instructions and upon the terms and conditions hereinafter set forth.				

ESCROW INSTRUCTIONS:

- 1. Checks made payable to You and the Contractor jointly upon delivery to You shall be endorsed by the Contractor and by You and then forwarded for collection by You. The moneys will then be used by You to purchase, as directed by the Contractor, bonds or other securities (hereinafter collectively referred to as "Securities") chosen by the Contractor and approved by the Port. Attached is a list of Securities approved by the Port. Other Securities, except stocks, may be selected by the Contractor, subject to express prior written approval of the Port, in its sole and absolute discretion. The purchase of Securities shall be in a form which shall allow You alone to reconvert such Securities into money if You are required to do so by the Port as provided in Paragraph 4 of this Escrow Agreement.
- 2. When and as interest on the Securities held by You pursuant to this Agreement accrues and is paid, You shall collect such interest and forward it to the Contractor at its address designated in the first paragraph unless otherwise directed by the Contractor.
- 3. You are not authorized to deliver to the Contractor all or any part of the checks or moneys received by You or the Securities held by You pursuant to this Agreement (or moneys derived from the sale of such Securities, or the negotiation of the Port's checks) except in accordance with written instructions from the Port's Sr. Contract Administrator. Compliance with such instructions shall relieve You of any further liability related thereto. The estimated final completion date on the Contract underlying this Agreement is ________.
- 4. In the event the Port orders You to do so in writing, You shall, within ten (10) days of receipt of such order, reconvert into money some or all of the Securities held by You pursuant to this Agreement, as required to satisfy the Port's order, and return such money, together with any other moneys held by You hereunder and required to satisfy the Port's order, to the Port. Consent of Contractor shall not be required for payment to the Port hereunder, and objection or other communication from Contractor shall not prevent, delay, or otherwise affect payment to the Port forthwith in accordance with the Port's order and this Agreement.
- 5. The Contractor agrees to pay You as compensation for Your services hereunder as follows: Payment of all fees shall be the sole responsibility of the Contractor and shall not be deducted from any checks, moneys, Securities, or other property placed with You or held by you pursuant to this Agreement until and unless the Port directs the release thereof to the Contractor, whereupon You shall be granted a first lien upon such property released and shall be entitled to reimburse Yourself from such property for the entire amount of Your fees as provided for hereinabove. In the event that You

are made a party to any litigation with respect to the checks, moneys, Securities, or other property held by You hereunder, or in the event that the conditions of this escrow are not promptly fulfilled or that You are required to render any service not provided for in these instructions, or that there is any assignment of the interests of this escrow or any modification hereof, You shall be entitled to reasonable compensation for such extraordinary services from the Contractor and reimbursement from the Contractor for all costs and expenses, including reasonable attorney fees occasioned by such default, delay, controversy or litigation.

- 6. This Agreement shall not be binding until executed by Contractor and Port, and accepted by You.
- 7. This instrument contains the entire agreement between You, the Contractor, and the Port with respect to this escrow. There are no terms, obligations, covenants, or conditions regarding this escrow other than those contained herein, and You are not a party to nor bound by any instrument or agreement regarding this escrow other than this Agreement. You shall not be required to take notice of any default or any other matter under the Contract nor be bound by nor required to give notice or demand under the Contract, nor required to take any action whatsoever except as herein expressly provided. You shall not be liable for any loss or damage not caused by Your own negligence or wilful misconduct.
- 8. The foregoing provisions shall be binding upon the assigns, successors, personal representatives and heirs of the parties hereto.

9. The	Contractor's Federal Income Tax	Identification number is
	•	by approve the instructions as given above governing the by execute this Agreement this day of, 20
Contrac	tor:	Port of Tacoma
Signature		Signature
Name/Title Name/ Port Treasurer or Deputy Treasurer		Name/ Port Treasurer or Deputy Treasurer
Date		Date
The abo	ve escrow instructions received a	nd accepted this day of, 20
Bank:	By(Signature of Authorized Bank	Officer) Title:

SECURITIES AUTHORIZED BY THE PORT:

- FDIC insured time deposits and time deposits in commercial banks authorized by the Washington State Public Deposit Protection Commission.
- 2. Savings account deposits in commercial banks authorized by the Washington State Public Deposit Protection Commission.
- 3. Bills, certificates, notes or bonds of the United States;
- 4. Other obligations of the United States or its agencies; and
- 5. Obligation of any corporation wholly-owned by the government of the United States;

INSTRUCTIONS FOR RETAINAGE ESCROW AGREEMENTS:

Whenever possible, use the Port of Tacoma (Port) approved Escrow Agreement. The Port, at its discretion, may or may not accept an agreement form from another source.

Please return all three (3) originals of the Agreement, with completed contractor and bank information and signatures, and the escrow account number. The Port will review and sign the Agreement and distribute copies. One (1) original will go directly to the Bank, one (1) original will be returned to the Contractor.

Fill in the following on the Escrow Agreement:

- 1) Page 1 Escrow Account Number
- 2) Page 1 Name, address, and phone number of the Bank
- 3) Page 2 Signature, typed/printed name, date, and the title of the Contractor Signatory.
- 4) Page 2 Signature, typed/printed name, date, and the title of the Authorized Bank Officer signatory.

Do not fill in the date in the paragraph directly following paragraph 9. The Port will fill in this date once the document has been fully executed by the Port.

DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS SECTION 00 63 25 - SUBSTITUTION REQUEST FORM DURING CONSTRUCTION

Project Title	Project No.
Submitted By:	
Contractor:	
Specification Title:	Section No.
Description:	
	Page No.
Proposed Substitution:	
Trade Name:	Model No.:
Manufacturer:	
Address:	Phone No.:
Installer:	
Address:	
•	0 years old ☐ More than 10 years old ☐ Other
☐ Point-by-point comparative data attached	d - REQUIRED
Reason for not providing specified item:	
Similar Installation:	
Project:	A/E
Address:	
Owner:	Date Installed:
Proposed substitution affects other parts of	Work: □ No □ Yes; explain
Savings to Port for accepting substitution:	\$
Proposed substitution changes Contract Tir	me:
Supporting Data Attached:	

Project Form - 00 63 25

DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS SECTION 00 63 25 - SUBSTITUTION REQUEST FORM DURING CONSTRUCTION ☐ Drawings ☐ Product Data ☐ Samples ☐ Tests ☐ Reports ☐ Other _____ The Undersigned certifies: Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product. Same warranty will be furnished for proposed substitution as for specified product. Same maintenance service and source of replacement parts, as applicable, is available. Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule. Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are to be waived. Proposed substitution does not affect dimensions and functional clearances. Payment will be made for changes to building design, including A/E design, detailing, and construction costs caused by the substitution. Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects. Submitted By: Signed By: Firm: Address: Telephone: Email: Attachments: _____ A/E's REVIEW AND RECOMMENDATION ☐ Approve Substitution ☐ Approve Substitution as noted □ Reject Substitution - Use specified materials. ☐ Substitution Request received too late - Use specified materials. Signed by: _____ Date: _____ **ENGINEER'S REVIEW AND ACTION** ☐ Substitution approved - Make submittals in accordance with Specification Section 01 25 00

END OF SECTION

Date:

☐ Substitution approved as noted - Make submittals in accordance with Specification Section 01 25 00

Substitution Procedures. Prepare Change Order.

Substitution Procedures. Prepare Change Order.

Signed by:

☐ Substitution rejected - Use specified materials.

Project Form - 00 63 25

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ARTICLE 1 - THE CONTRACT DOCUMENTS

1.01 GENERAL

- A. Contract Documents form the Contract. The Contract Documents are enumerated in the Agreement between the Port and Contractor ("Agreement"). Together, the Contract Documents form the Contract. The Contract represents the entire integrated agreement between the parties and supersedes all prior negotiations, representations or agreements, either written or oral. The Contract may be amended or modified only in writing and only as set forth in the Contract Documents.
- B. Headings only for convenience. The titles or headings of the sections, divisions, parts, articles, paragraphs, and subparagraphs of the Contract Documents are intended only for convenience.

1.02 DEFINITIONS

- A. "Contractor" means the person or entity contracting to perform the Work under these Contract Documents. The term Contractor includes the Contractor's authorized representative for purposes of identifying obligations and responsibilities under the Contract Documents, including the ability to receive notice and direction from the Port.
- B. "Day" means a calendar day unless otherwise specifically designated.
- C. "Drawings" are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, including plans, elevations, sections, details, and diagrams.
- D. "Engineer" is the Port employee generally tasked with administering the Project on the Port's behalf and the person with overall responsibility for managing, for the Port, the Project scope, budget, and schedule. To the extent empowered, the Engineer may delegate to others at the Port (such as a Project Manager or Inspector) the responsibility for performing delegated responsibilities of the Engineer's under this Contract.
- E. "Port" means the Port of Tacoma. The Port will designate in writing a representative (usually the Engineer) who shall have the authority to act on the Port's behalf related to the Project. The "Port" does not include staff, maintenance or safety workers, or other Port employees or consultants that may contact the Contractor or be present at the Project site.
- F. "Project" is identified in the Agreement and is the total construction to be performed by or through the Port, of which the Work performed under the Contract Documents may be only a part.
- G. "Specifications" are those portions of the Contract Documents that specify the written requirements for materials, equipment, systems, standards and workmanship for the Work and for the performance of related services.
- H. "Subcontractor" means a person or entity that contracts directly with the Contractor to perform any Work under the Contract Documents. "Subcontractor of any tier" includes Subcontractors as well as any other person or entity, including suppliers, that contracts with a Subcontractor or a lower-tier Subcontractor (also referred to as "Sub-subcontractors") to perform any of the Work.
- I. "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all labor, tools, equipment, materials, services and incidentals necessary to complete all obligations under the Contract Documents. The Work may constitute only a part of the Project, and may interface and need to be coordinated with the work of others.

1.03 INTENT OF THE CONTRACT DOCUMENTS

- A. Intent of Contract Documents. The intent of the Contract Documents is to describe the complete Work and to include all items necessary for the proper execution and completion of the Work by the Contractor.
- B. Contract Documents are complementary. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor is required to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.
- C. No third party contract rights. The Contract Documents shall not create a contractual relationship of any kind (1) between the Port and a Subcontractor of any tier (although the Port does not waive any third-party beneficiary rights it may otherwise have as to Subcontractors of any tier), (2) between the Contractor and the Engineer or other Port employees or consultants, or (3) between any persons or entities other than the Port and Contractor.

1.04 CORRELATION OF THE CONTRACT DOCUMENTS

- A. Precedence. In the event of a conflict or discrepancy between or among the Contract Documents, the conflict or discrepancy will be resolved by the following order of precedence: with an addendum or Change Order having precedence over an earlier document, and computed dimensions having precedence over scaled dimensions and large scale drawings take precedence over small scale drawings:
 - 1. The signed Agreement
 - 2. Supplemental Conditions
 - 3. General Conditions
 - 4. Division 01 General Requirements of Specifications
 - 5. All other Specifications, including all remaining divisions, material and system schedules and attachments, and Drawings.
 - 6. All other sections in Division 00 not specifically identified herein by Section.
- B. Inconsistency between or among Contract Documents. If there is any inconsistency between the Drawings, schedules, or Specifications, or any attachments, the Contractor will make an inquiry to the Engineer to determine how to proceed, and, unless otherwise directed, the Contractor will provide the better quality or greater quantity of any work or materials, as reasonably interpreted by the Port, at no change in the Contract Sum or Contract Time. Thus, if Work is shown on Drawings but not contained in Specifications or schedules, or contained in Specifications or schedules but not shown on the Drawings, the Work as shown or contained will be provided at no change in the Contract Sum or Contract Time, according to Specifications or Drawings to be issued by the Port.
- C. Inconsistency with law. In the event of a conflict between the Contract Documents and applicable laws, codes, ordinances, regulations or orders of governmental authorities having jurisdiction over the Work, or in the event of any conflict between such laws, the most stringent requirements govern.
- D. Organization of Contract Documents. The organization of the Specifications and Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of the Work to be performed. The Port assumes no responsibility for the division and proper coordination of Work between particular Subcontractors.
- E. Bid quantities are estimates only. Any "bid quantities" set forth in the Contract Documents are estimates only. The Port does not warrant that the actual amount of Work will correspond to any estimates. The basis of payment will be the actual quantities performed in accordance with the Contract Documents.

1.05 OWNERSHIP OF THE CONTRACT DOCUMENTS

A. Port owns all Contract Documents. All Drawings, Specifications, and other Contract Documents furnished to the Contractor are Port property, and the Port retains all intellectual property rights, including copyrights. The Contract Documents are to be used only with respect to the Project.

ARTICLE 2 - PORT OF TACOMA

2.01 AUTHORITY OF THE ENGINEER

- A. Engineer will be Port's representative. The Engineer or the Engineer's designee will be the Port's representative during the Project and will administer the Project on the Port's behalf.
- B. Engineer may enforce all obligations. The Engineer has the authority to enforce all requirements imposed on the Contractor by the Contract Documents.
- C. Only Engineer is agent of Port. Other than the Engineer, no other Port employee or consultant is an agent of the Port, and none are authorized to agree on behalf of the Port to changes in the Contract Sum or Contract Time, nor to waive provisions of the Contract Documents, nor to direct the Contractor to take actions that change the Contract Sum or Contract Time, nor to accept notice of protests or claims on behalf of the Port.

2.02 ADMINISTRATION OF THE CONTRACT

- A. Port will administer Contract. The Port will provide administration of the Contract through the Engineer or the Engineer's designee. All communications with the Port or its consultants related to the Contract will be through the designated representative.
- B. Port not responsible for means and methods. The Port is not responsible for, and will have no control or charge of, the means, methods, techniques, sequences, or procedures of construction, or for safety precautions or programs incidental thereto, because these are the sole responsibility of the Contractor. If the Port makes any suggestion of means, methods, techniques, sequences or procedures, the Contractor will exercise its independent judgment in deciding whether to adopt the suggestion, except as otherwise provided in the Contract Documents.
- C. Port not responsible for acts or omissions of Contractor or Subcontractors. The Port is not responsible for, and will have no control or charge of, the acts or omissions of the Contractor, Subcontractors of any tier, suppliers, or any of their agents or employees, or any other persons performing a portion of the Work.
- D. Port not responsible for the Work. The Port is not responsible for the Contractor's failure to carry out the Work in accordance with the Contract Documents. The presence of the Engineer or others at the Project site at any time does not relieve the Contractor from its responsibility for non-conforming Work.
- E. Port will have access to the Work. The Port and its representatives will at all times have access to the Work in progress, and the Contractor will provide proper facilities for such access and for inspection.

2.03 INFORMATION PROVIDED BY THE PORT

- A. Port to furnish information with reasonable promptness. The Port shall furnish information and services required of the Port by the Contract Documents with reasonable promptness.
- B. Subsurface investigation. The Port may have undertaken a limited investigation of the soil and other subsurface conditions at the Project site for design purposes only. The results of these investigations will be available for the convenience of the Contractor, but they are not Contract Documents. There is no warranty or guarantee, express or implied, that the conditions

indicated are representative of those existing at the site or that unforeseen developments may not occur. The Contractor is solely responsible for interpreting the information.

2.04 CONTRACTOR REVIEW OF PROJECT INFORMATION

- A. Contractor to familiarize itself with site and conditions of Work. Prior to executing the Contract, the Contractor shall visit the site, become generally familiar with local conditions under which the Work is to be performed, and correlate personal observations with the requirements of the Contract Documents. By signing the Contract, the Contractor confirms that the Contract Sum is reasonable compensation for the Work; that the Contract Time is adequate; that it has carefully examined the Contract Documents and the Project site; and that it has satisfied itself as to the nature, location, and character of the Work, the labor, materials, equipment, and other items required and all other requirements of the Contract Documents. The Contractor's failure fully to acquaint itself with any such condition does not relieve the Contractor from the responsibility for performing the Work in accordance with the Contract Documents, within the Contract Time, and for the Contract Sum.
- B. Contractor to review Contract Documents. Because the Contract Documents are complementary, the Contractor will, before starting each portion of the Work, carefully study and compare the various Drawings, Specifications, and other Contract Documents, as well as all information furnished by the Port.
- C. Contractor to confirm field conditions. Before starting each portion of the Work the Contractor shall take field measurements of and verify any existing conditions, including all Work in place, and all general reference points; shall observe any conditions at the site affecting the Contractor; and shall carefully compare field measurements, conditions and other information known to the Contractor with the Contract Documents.

2.05 PORT'S RIGHT TO REJECT, STOP AND/OR CARRY-OUT THE WORK

- A. Port may reject Work. The Port has the authority but not the obligation to reject work, materials and equipment that is defective or that otherwise does not conform to the Contract Documents, and to decide questions concerning the Contract Documents. However, the failure to so reject or the presence of the Port at the site shall not be construed as assurance that the Work is acceptable or being completed in compliance with the Contract Documents.
- B. Port may stop Work. If the Contractor fails to correct Work that does not comply with the requirements of the Contract Documents, or repeatedly or materially fails to properly carry out the Work, the Port may issue an order to stop all or a portion of the Work until the cause for the order has been eliminated. The Port's right to stop the Work shall not impose a duty on the Port to exercise this right for the benefit of the Contractor or any third party.
- C. Port may carry-out Work. If the Contractor fails to perform the Work properly, fails to perform any provision of this Contract, or fails to maintain the Progress Schedule, or if the Port reasonably concludes that the Work will not be completed in the specified manner or within the Contract Time, then the Port may, after three (3) days' written notice to the Contractor and without prejudice to any other remedy the Port may have, perform itself or have performed any or all of the Work and may deduct the cost thereof from any payment then or later due the Contractor.

2.06 SEPARATE CONTRACTORS

A. Port may engage separate contractors or perform work with its own forces. The Port may contract with other contractors ("Separate Contractor") in connection with the Project or perform work with its own forces. The Contractor shall coordinate and cooperate with any Port forces or

- Separate Contractors, as applicable. The Contractor shall provide reasonable opportunity for the introduction and storage of materials and the execution of work by others.
- B. Contractor to inspect work of others. If any part of the Contractor's Work depends on the work of the Port or any Separate Contractor, the Contractor shall inspect and promptly report to the Port, in writing, any defects that impact the Contractor. Failure of the Contractor to so inspect and report defects in writing shall constitute an acceptance by Contractor of the work of the Port or Separate Contractor.
- C. Contractor to resolve claims of others. Should the Contractor or any of its Subcontractors of any tier cause damage of any kind, including but not limited to delay, to any Separate Contractor, the Contractor shall promptly and using its best efforts settle or otherwise resolve the dispute with the Separate Contractor. The Contractor shall also promptly remedy damage caused to completed or partially completed construction.

2.07 OFFICERS AND EMPLOYEES OF THE PORT

A. No personal liability. Officers, employees, and representatives of the Port, including the Commissioners, acting within the scope of their employment, shall not be personally liable to Contractor for any acts or omissions arising out of the Project.

ARTICLE 3 - CONTRACTOR'S RESPONSIBILITIES

3.01 DUTY TO PERFORM THE ENTIRE WORK

- A. Contractor must perform entire Work in accordance with Contract Documents. The Contractor shall perform the entire Work required by the Contract in accordance with the Contract Documents. Unless otherwise specifically provided, the Contractor shall provide and pay for all labor, tools, equipment, materials, electricity, power, water, other utilities, transportation and other facilities necessary for the execution and completion of the Work.
- B. Contractor shall be independent contractor. The Contractor shall be and operate as an independent contractor in the performance of the Work. The Contractor is not authorized to enter into any agreements or undertakings for or on behalf of the Port and is not an agent or employee of the Port.

3.02 OBSERVED ERRORS, INCONSISTENCIES, OMISSIONS OR VARIANCES IN THE CONTRACT DOCUMENTS

- A. Contractor to notify Port of any discrepancy. The Contractor's obligations to review and carefully study the Contract Documents and field conditions are for the purpose of facilitating coordination and construction. If the Contractor at any time observes that the Contract Documents, including Drawings and Specifications, vary from the conditions of the Project site, are in error, or omit any necessary detail, the Contractor shall promptly notify the Engineer in writing through a Request for Information. Any Work done after such observation, until authorized by the Engineer, shall be at Contractor's risk. The Contractor shall also promptly report to the Engineer any observed error, inconsistency, omission, or variance with applicable laws through a Request for Information. If the Contractor fails either to carefully study and compare the Contract Documents, or to promptly report any observed error, inconsistency, omission, or variance, the Contractor shall assume full responsibility and shall bear all costs, liabilities and damages attributable to the error, inconsistency, omission, or variance.
- B. Requests for Information. The Contractor shall submit Requests for Information concerning the Contract Documents by following the procedure and using such form as the Port may require. The Contractor shall minimize Requests for Information by thoroughly studying the Contract Documents and reviewing all Subcontractor requests. The Contractor shall allow adequate time in its planning and scheduling for a response from the Port to a Request for Information.

C. Port may provide information to supplement Drawings and Specifications. Minor items of work or detail that are omitted from the Drawings and Specifications but inferable from the information presented and normally provided by accepted good practice shall be provided and/or performed by the Contractor as part of the Contract Sum and within the Contract Time. Similarly, the Engineer may furnish to the Contractor additional Drawings and clarifications, consistent with the Contract Documents, as necessary to detail and illustrate the Work. The Contractor shall conform its Work to such additional Drawings and clarifications at no increase in the Contract Sum or Contract Time.

3.03 SUPERVISION AND RESPONSIBILITY FOR SUBCONTRACTORS

- A. Contractor responsible for Work and workers. The Contractor shall have complete control of the means, methods, techniques, sequences or procedures related to the Work, and for all safety precautions or programs. The Contractor shall have complete control over and responsibility for all personnel performing the Work. The Contractor is also responsible for the acts and omissions of the Contractor's principals, employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors of any tier.
- B. Contractor to supervise the Work. The Contractor shall continuously supervise and direct the Work using competent and skilled personnel and the Contractor's best skill and attention.
- C. Contractor to enforce discipline and good order. The Contractor shall enforce strict discipline and good order among all workers on the Project, and shall not employ any unfit person or anyone not skilled in the work to which they are assigned. Incompetent, careless, or negligent workers shall immediately be removed from the Work. The Port may, but is not obligated to, require the Contractor to remove from the Work, at no change in the Contract Sum or Contract Time, anyone whom the Port considers objectionable.

3.04 MATERIALS AND EQUIPMENT

- A. Material and equipment to be new. All materials and equipment to be incorporated into the Work shall be new unless specifically provided otherwise in the Contract Documents. The Contractor shall, if required in writing by the Port, furnish satisfactory evidence regarding the kind and quality of any materials, identify the source, and warrant compliance with the Contract Documents. The Contractor shall ensure that all materials and equipment are protected, kept dry and stored under cover in a manner to protect such materials and equipment.
- B. Material and equipment shall conform to manufacturer instructions. All materials and equipment shall conform, and shall be applied, installed, used, maintained and conditioned in accordance with, the instructions of the applicable manufacturer, fabricator or processor, unless otherwise specifically provided by the Engineer.

3.05 CONTRACTOR WARRANTIES

- A. Work will be of good quality and performed in workmanlike manner. In addition to any specific warranties set forth in the Contract Documents, the Contractor warrants that the Work, including all materials and equipment furnished under the Contract, will be of good quality and new, will be performed in a skillful and workmanlike manner and will conform to the requirements of the Contract Documents. Any Work not conforming to this warranty, including unapproved or unauthorized substitutions, shall be considered defective.
- B. Work will be free from defects. The Contractor warrants that the Work will be free from defects for a period of one (1) year from the date of Substantial Completion of the Project.
- C. Contractor to collect and deliver warranties to Port. The Contractor shall collect and deliver to the Port any written warranties required by the Contract Documents. These warranties shall be

obtained and enforced by the Contractor for the benefit of the Port without the necessity of separate assignment. These warranties shall extend to the Port all rights, claims, benefits and interests that the Contractor may have under express or implied warranties or guarantees against a Subcontractor of any tier, supplier or manufacturer for defective or non-conforming Work. Warranty provisions that purport to limit or alter the Port's rights under the Contract Documents or the laws of the State of Washington are null and void.

D. General requirements. The Contractor is not relieved of its general warranty obligations by the specification of a particular product or procedure in the Contract Documents. Warranties in the Contract Documents shall survive completion, acceptance and final payment.

3.06 REQUIRED WAGES

- A. Contractor will pay required wages. The Contractor shall pay (and shall ensure that all Subcontractors of any tier pay) all prevailing wages and other wages (such as Davis-Bacon Act wages) applicable to the Project. See Specification Section 00 73 46.
- B. The Contractor shall defend (at Contractor's sole cost, with legal counsel approved by Port), indemnify and hold the Port harmless from all liabilities, obligations, claims, demands, damages, disbursements, lawsuits, losses, fines, penalties, costs and expenses, whether direct or indirect, and including but not limited to attorneys' fees and consultants' fees and other costs and expenses of litigation, from any violation or alleged violation by the Contractor or any Subcontractor of any tier of RCW 39.12 ("Prevailing Wages on Public Works") or Chapter 51 RCW ("Industrial Insurance").

3.07 STATE AND LOCAL TAXES

- A. Contractor will pay taxes on consumables. The Contractor will pay the retail sales tax on all consumables used during performance of the Work and on all items that are not incorporated into the final Work; this tax shall be included in the Contract Sum.
- B. Port will pay taxes on the Contract Sum. The Port will pay state and local retail sales tax on the Contract Sum with each progress payment and on final payment for transmittal by the Contractor to the Washington State Department of Revenue or to the applicable local taxing authority. Rule 170: WAC 458-20-170.
- C. Direct all tax questions to the Department of Revenue. The Contractor should direct all questions concerning taxes on any portion of the Work to the State of Washington Department of Revenue or to the local taxing authority.
- D. State Sales Tax Rule 171: WAC 458-20-171. For work performed related to building, repairing, or improving streets, roads, etc., which are owned by a municipal corporation, or political subdivision of the state, or by the United States, and which are used, primarily, for foot or vehicular traffic, the Contractor shall include Washington State Retail Sales Taxes in the various schedule prices, or other contract amounts, including those that the Contractor pays on the purchase of materials, equipment, or supplies used or consumed in doing the Work.
 - 1. The bid form will indicate which bid items are subject to Rule 171. Any such identification by the Port is not binding upon the Department of Revenue.

3.08 PERMITS, LICENSES, FEES, AND ROYALTIES

A. Contractor to provide and pay for permits unless otherwise specified. Unless otherwise specified, the Contractor shall procure and pay for all permits, licenses, and governmental inspection fees necessary or incidental to the performance of the Work. All costs related to these permits, licenses, and inspections shall be included in the Contract Sum. Any action taken by the Port to assist the Contractor in obtaining permits or licenses shall not relieve the

Contractor of its sole responsibility to obtain and pay for permits, licenses, and inspections as part of the Contract Sum.

- B. Contractor's obligations when permit must be in Port's name. When applicable law or agency requires a permit to be issued to a public agency, the Port will support the Contractor's request for the permit and accept the permit in the Port's name, if:
 - 1. The Contractor takes all necessary steps required for the permit to be issued;
 - 2. The permit applies to Work performed in connection with the Project; and
 - 3. The Contractor agrees in writing to abide by all requirements of the permit and to defend and hold harmless the Port from any liability in connection with the permit.
- C. Contractor to pay royalties. The Contractor shall pay all royalties and license fees required for the Work unless otherwise specified in the Contract Documents.

3.09 SAFETY

- A. Contractor solely responsible for safety. The Contractor shall be solely responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work and the performance of the Contract.
- B. Port not responsible for safety. The Port may identify safety concerns to the Contractor. However, no action or inaction of the Port or any third party relating to safety will: (1) relieve the Contractor of its sole and complete responsibility for safety and sole liability for any consequences; (2) impose any obligation on the Port or a third party to inspect or review the Contractor's safety program or precautions; (3) impose any continuing obligation on the Port or a third party to ensure the Contractor performs the Work safely; or (4) affect the Contractor's responsibility for the protection of property, workers, and the general public.
- C. Contractor to maintain a safe Work site. The Project site may be occupied during performance of the Work. The safety of these site occupants is of paramount importance to the Port. The Contractor shall maintain the Work site and perform the Work in a safe manner and in accordance with the Washington Industrial Safety and Health Act (WISHA) and all other applicable safety laws, rules, and regulations. This requirement shall apply continuously and not be limited to working hours.
- D. Contractor to protect Work site and adjacent property until Final Completion. The Contractor shall continuously protect the Work and adjacent property from damage. At all times until Final Completion, the Contractor shall be responsible for and protect from damage, weather, deterioration, theft, and vandalism the Work and all materials, equipment, tools, and other items incorporated or to be incorporated in the Work, and shall repair any damage, injury or loss.

3.10 CORRECTION OF WORK

- A. Contractor to correct defective Work. The Contractor shall, at no cost to the Port, promptly correct Work that is defective or that otherwise fails to conform to the requirements of the Contract Documents. Such Work shall be corrected, whether before or after Substantial Completion, and even if it was previously inspected or observed by the Port.
- B. One-year correction period. The Contractor shall correct all defects in the Work appearing within one (1) year of Substantial Completion or within any longer period prescribed by law or by the Contract Documents. The Contractor shall initiate remedial action within fourteen (14) days of receipt of notice from the Port and shall complete remedial work within a reasonable time. Work corrected by the Contractor shall be subject to the provisions of this Section 3.10 for an additional one-year period following the Port's acceptance of the corrected Work.

- C. Contractor responsible for defects and failures to correct. The Contractor shall be responsible for any expenses incurred by the Port resulting from defects in the Work. If the Contractor refuses or neglects to correct the defects or does not timely accomplish corrections, the Port may correct the Work and charge the Contractor the cost of the corrections. If damage or loss of service may result from a delay in correction, the corrections may be made by the Port and reimbursed by the Contractor.
- D. Port may accept defective work. The Port may, at its sole option, elect to retain defective or nonconforming Work. In such a case, the Port shall reduce the Contract Sum by a reasonable amount to account for the defect or non-conformance.
- E. No period of limitation established. Nothing contained in this Section 3.10 establishes a period of limitation with respect to any obligations under the Contract Documents or law. The establishment of the one (1) year correction period relates only to the specific obligation of the Contractor to correct defective or non-conforming Work.

3.11 UNCOVERING OF WORK

- A. Contractor to uncover work covered prior to inspection. If any portion of the Work is covered prior to inspection and approval, the Contractor shall, at its expense, uncover or remove the Work for inspection by the Port or others, and replace the Work to the standard required by the Contract Documents.
- B. Contractor to uncover work at Port's request. After initial inspection and observation, the Port may order a reexamination of Work, and the Work must be uncovered by the Contractor. If the uncovered Work complies with the Contract Documents, the Port shall pay the cost of reexamination and replacement. If the Work is found not to comply with the Contract Documents, the Contractor shall pay the cost of replacement unless the Contractor demonstrates that it did not cause the defect in the Work.

3.12 RELOCATION OF UTILITIES

- A. Contractor should assume underground utilities are in approximate locations. The Contractor should assume that the locations of any underground or hidden utilities, underground tanks, and plumbing or electrical runs indicated in surveys or the Contract Documents are shown in approximate locations. The accuracy of this information is not guaranteed by the Port and shall be verified by the Contractor. The Contractor shall comply with RCW 19.122.030 and utilize a utility locator service to locate utilities on Port property. The Contractor shall bear the risk of loss if any of its Work directly or indirectly damages or interrupts any utility service or causes or contributes to damages of any nature.
- B. Utility relocation or removal. Where relocation or removal of utilities is necessary or required, it shall be performed at the Contractor's sole expense, unless the Contract Documents specify otherwise. If a utility owner is identified as being responsible for relocating or removing utilities, the work will be accomplished at the utility owner's convenience, either during or in advance of construction. Unless otherwise specified, it shall be the Contractor's sole responsibility to coordinate, schedule, and pay for work performed by a utility owner.
- C. Contractor to notify Port of unknown utilities. If the Contractor discovers the presence of any unknown utilities, it shall immediately notify the Engineer in writing.

3.13 LABOR

A. Contractor responsible for labor peace. The Contractor is responsible for labor peace relating to the Work and shall cooperate in maintaining Project-wide labor harmony. The Contractor shall use its best efforts as an experienced contractor to adopt and implement policies and practices designed to avoid work stoppages, slowdowns, disputes or strikes.

B. Contractor to minimize impact of labor disputes. The Contractor will take all necessary steps to prevent labor disputes from disrupting or otherwise interfering with access to Port property. If a labor dispute disrupts the progress of the Work or interferes with access, the Contractor shall promptly and expeditiously take all necessary action to eliminate or minimize the disruption or interference.

3.14 INDEMNIFICATION

- A. Duty to defend, indemnify, and hold harmless. To the fullest extent permitted by law and subject to this Section 3.14, the Contractor shall defend (at the Contractor's sole cost, with legal counsel approved by Port), indemnify and hold harmless the Port, including its Commission, officers, managers, employees (including the Engineer), any consultants, and the agents and employees, successors and assigns of any of them (the "Indemnified Parties") from and against claims, damages, lawsuits, losses (including loss of use), disbursements, liabilities, obligations, fines, penalties, costs and expenses, whether direct and indirect or consequential, including but not limited to consultants' fees, and attorneys' fees incurred on such claims and in proving the right to indemnification ("Claims"), arising out of or resulting from the acts or omissions of the Contractor, a Subcontractor of any tier, their agents and anyone directly or indirectly employed by any of them or anyone for whose acts they may be liable (individually and collectively, the "Indemnitor").
- B. Duty to defend, indemnify, and hold harmless for sole negligence. The Contractor will fully defend, indemnify, and hold harmless the Indemnified Parties for the sole negligence or willful misconduct of the Indemnitor.
- C. Duty to defend, indemnify, and hold harmless for concurrent negligence. Where Claims arise from the concurrent negligence of (1) the Port and (2) the Indemnitor, the Contractor's obligations to indemnify and defend the Indemnified Parties under this Section 3.14 shall be effective only to the extent of the Indemnitor's negligence.
- D. Duty to indemnify not limited by workers' compensation or similar employee benefit acts. In claims against any of the Indemnified Parties by an employee of the Contractor, a Subcontractor of any tier, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, the indemnification obligation under this Section 3.14 shall not be limited by a limitation on amount or type of damages, compensation or benefits payable under workers' compensation acts, disability benefit acts or other employee benefit acts. After mutual negotiation of the parties, the Contractor waives immunity as to the Indemnified Parties under Title 51 RCW, "Industrial Insurance."
- E. Intellectual property indemnification. The Contractor will be liable for and shall defend (at the Contractor's sole cost, with legal counsel approved by Port) indemnify and hold the Indemnified Parties harmless for Claims for infringement by the Contractor of copyrights or patent rights arising out of or relating to the Project.
- F. Labor peace indemnification. If the Contractor fails to satisfy its labor peace obligations under the Contract, the Contractor will be liable for and shall defend (at the Contractor's sole cost, with legal counsel approved by Port), indemnify and hold harmless the Indemnified Parties for Claims brought against the Port by third parties (including but not limited to lessees, tenants, contractors, customers, licensees and invitees of the Port) for injunctive relief or monetary loss.
- G. Joinder. The Contractor agrees to being added by the Port as a party to any arbitration or litigation with third parties in which the Port alleges indemnification or seeks contribution from the Indemnitor. The Contractor shall cause each of its Subcontractors of any tier to similarly stipulate in their subcontracts; in the event any does not, the Contractor shall be liable in place of such Subcontractor(s) of any tier.

H. Other. To the extent that any portion of this Section 3.14 is stricken by a court or arbitrator for any reason, all remaining provisions shall retain their vitality and effect. The obligations of the Contractor under this Section 3.14 shall not be construed to negate, abridge, or otherwise reduce any other right or obligations of indemnity which would otherwise exist. To the extent the wording of this Section 3.14 would reduce or eliminate an available insurance coverage, it shall be considered modified to the extent necessary so that the insurance coverage is not affected. This Section 3.14 shall survive completion, acceptance, final payment and termination of the Contract.

3.15 WAIVER OF CONSEQUENTIAL DAMAGES

- A. Mutual waiver of consequential damages. The Contractor and Port waive claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes but is not limited to: (1) damages incurred by the Port for rental expenses, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and (2) damages incurred by the Contractor for principal and home office overhead and expenses including but not limited to the compensation of personnel stationed there, for losses of financing, business and reputation, for losses on other projects, for loss of profit, and for interest or financing costs. This mutual waiver includes but is not limited to all consequential damages due to either party's termination.
- B. Limitation. Nothing contained in this Section 3.15, however, shall be deemed to preclude an award of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents, to preclude damages specified in the Agreement or to affect the Contractor's obligation to indemnify the Port for direct, indirect or consequential damages alleged by a third party.

ARTICLE 4 - SUBCONTRACTORS AND SUPPLIERS

4.01 RESPONSIBILITY FOR ACTIONS OF SUBCONTRACTORS AND SUPPLIERS.

A. Contractor responsible for Subcontractors. The Contractor is fully responsible to the Port for the acts and omissions of its Subcontractors of any tier and all persons either directly or indirectly employed by the Contractor or its Subcontractors.

4.02 AWARD OF CONTRACTS TO SUBCONTRACTORS AND SUPPLIERS

- A. Contractor to provide proposed Subcontractor information. The Contractor, within ten (10) days after the Port's notice of award of the Contract, shall provide to the Engineer with the names of the persons or entities proposed to perform each of the principal portions of the Work (i.e., either a Subcontractor listed in a bid or proposal or a Subcontractor performing Work valued at least ten percent (10%) of the Contract Sum) and the proprietary names and the suppliers of the principal items or systems of materials and equipment proposed for the Work. No progress payment will become due until after this information has been furnished.
- B. Port to respond promptly with objections. The Port may respond promptly to the Contractor in writing stating (1) whether the Port has reasonable objection to any proposed person or entity or (2) whether the Port requires additional time for review. If the Port makes a reasonable objection, the Contractor shall replace the Subcontractor with no increase to the Contract Sum or Contract Time. Such a replacement shall not relieve the Contractor of its responsibility for the performance of the Work and compliance with all of the requirements of the Contract within the Contract Sum and Contract Time.
- C. Reasonable objection defined. "Reasonable objection" as used in this Section 4.02 includes but is not limited to: (1) a proposed Subcontractor of any tier different from the entity listed with the bid, (2) lack of "responsibility" of the proposed Subcontractor, as defined by Washington law

and the Bidding Documents, or lack of qualification or responsibility of the proposed Subcontractor based on the Contract or Bidding Documents, or (3) failure of the Subcontractor to perform satisfactorily in the Port's opinion (such as causing a material delay or submitting a claim that the Port considers inappropriate) on one or more projects for the Port within five (5) years of the bid date.

D. No substitution allowed without permission. The Contractor shall not substitute a Subcontractor, person, or organization without the Engineer's written consent.

4.03 SUBCONTRACTOR AND SUPPLIER RELATIONS

- A. Contractor to schedule, supervise, and coordinate Subcontractors. The Contractor shall schedule, supervise and coordinate the operations of all Subcontractors of any tier, including suppliers. The Contractor shall ensure that appropriate Subcontractors coordinate the Work of lower-tier Subcontractors.
- B. Subcontractors to be bound to Contract Documents. By appropriate agreement, the Contractor shall require each Subcontractor and supplier to be bound to the terms of the Contract Documents and to assume toward the Contractor, to the extent of their Work, all of the obligations that the Contractor assumes toward the Port under the Contract Documents. Each subcontract shall preserve and protect the rights of the Port and shall allow to the Subcontractor, unless specifically provided in the subcontract, the benefit of all rights, remedies and redress against the Contractor that the Contractor, by the Contract Documents, has against the Port. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with lower-tier Subcontractors.
- C. Contractor to correct deficiencies in Subcontractor performance. When a portion of the Work subcontracted by the Contractor is not being prosecuted in accordance with the Contract Documents, or if such subcontracted Work is otherwise being performed in an unsatisfactory manner in the Port's opinion, the Contractor shall, on its own initiative or upon the written request of the Port, take immediate steps to correct the deficiency or remove the non-performing party from the Project. The Contractor shall replace inadequately performing Subcontractors upon request of the Port at no change in the Contract Sum or Contract Time.
- D. Contractor to provide subcontracts. Upon request, the Contractor will provide the Port copies of written agreements between the Contractor and any Subcontractor.

ARTICLE 5 - WORKFORCE AND NON-DISCRIMINATION REQUIREMENTS

5.01 COMPLIANCE WITH NON-DISCRIMINATION LAWS

A. Contractor to comply with non-discrimination laws. The Contractor shall fully comply with all applicable laws, regulations, and ordinances pertaining to non-discrimination.

5.02 SMALL BUSINESS ENTERPRISE PARTICIPATION.

A. Small business participation encouraged. The Port's policy is to encourage the Contractor to solicit and document participation, and to provide and promote the maximum lawful, practicable opportunity for increased participation, by small business enterprises.

ARTICLE 6 - CONTRACT TIME AND COMPLETION

6.01 CONTRACT TIME

A. Contract Time is measured from Contract execution. Unless otherwise provided in the Agreement, the Contract Time is the period of time, including authorized adjustments, specified in the Contract Documents from the date the Contract is executed to the date Substantial Completion of the Work is achieved.

- B. Commencement of the Work. The Contractor shall begin Work in accordance with the notice of award and the notice to proceed and shall complete all Work within the Contract Time. When the Contractor's signed Agreement, required insurance certificate with endorsements, bonds and other submittals required by the notice of award have been accepted by the Port, the Port will execute the Contract and, following receipt of other required pre-work submittals, will issue a notice to proceed to allow the Contractor to mobilize and commence physical Work at the Project site, as further described in these contract documents. No Work at the Project site may commence until the Port issues a notice to proceed.
- C. Contractor shall achieve specified completion dates. The Contractor shall achieve Substantial Completion within the Contract Time and shall achieve Final Completion within the time period thereafter stated in the Contract Documents.
- D. Time is of the essence. Time limits stated in the Contract Documents, including any interim milestones, are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

6.02 PROGRESS AND COMPLETION

- A. Contractor to maintain schedule. The Contractor's sequence and method of operations, application of effort, and work force shall at all times be created and implemented to ensure the orderly, expeditious, and timely completion of the Work and performance of the Contract. The Contractor shall furnish sufficient forces and shall work such hours, including extra shifts, overtime operations and weekend and holiday work as may be necessary to ensure completion of the Work within the Contract Time and the approved Progress Schedule.
- B. Contractor to take necessary steps to meet schedule. If the Contractor fails substantially to perform in a timely manner in accordance with the Contract Documents and, through the fault of the Contractor or Subcontractor(s) of any tier, fails to meet the Progress Schedule, the Contractor shall take such steps as may be necessary to immediately improve its progress by increasing the number of workers, shifts, overtime operations or days of work, or by other means and methods, all without additional cost to the Port. If the Contractor believes that any action or inaction of the Port constitutes acceleration, the Contractor shall immediately notify the Port in writing and shall not accelerate the Work until the Port either directs the acceleration in writing or denies the constructive acceleration.
- C. Liquidated damages not exclusive. Any provisions in the Contract Documents for liquidated damages shall not preclude other damages due to breaches of Contract of the Contractor.

6.03 SUBSTANTIAL COMPLETION

- A. Substantial Completion defined. Substantial Completion is the stage in the progress of the Work, or portion or phase thereof, when the Work or designated portion is sufficiently complete in accordance with the Contract Documents so that the Port can fully occupy or utilize the Work, or the designated portion thereof, for its intended use, all requirements in the Contract Documents for Substantial Completion have been achieved, and all required documentation has been properly submitted to the Port in accordance with the Contract Documents. All Work other than incidental corrective or punch list Work and final cleaning must be completed. The fact that the Port may occupy the Work or a designated portion thereof does not indicate that Substantial Completion has occurred or that the Work is acceptable in whole or in part.
- B. Work not Substantially Complete unless Final Completion attainable. The Work is not Substantially Complete unless the Port reasonably judges that the Work can achieve Final Completion within the period of time specified in the Contract Documents.

C. Notice of Substantial Completion. When the Work or designated portion has achieved Substantial Completion, the Port will provide a notice to establish the date of Substantial Completion. The notice shall establish responsibilities of the Port and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance, and shall fix the time within which the Contractor shall finish all remaining Work. If the notice of Substantial Completion does not so state, all responsibility for the foregoing items shall remain with the Contractor until Final Completion.

6.04 COMPLETION OF PUNCH LIST

A. Contractor shall complete punch list items prior to Final Completion. The Contractor shall cause punch list items to be completed prior to Final Completion. If, after Substantial Completion, the Contractor does not expeditiously proceed to correct punch list items or if the Port considers that the punch list items are unlikely to be completed prior to the date established for Final Completion (or such other period of time as is specified in the Contract Documents), the Port may, upon seven (7) days' written notice to the Contractor, take over and perform some or all of the punch list items. The Port may also take over and complete any portion of the Work at any time following Substantial Completion and deduct the actual cost of performing the Work (including direct and indirect costs) from the Contract Sum. The Port's rights under this Section 6.04 are not obligations and shall not relieve the Contractor of its responsibilities under any other provisions of the Contract Documents.

6.05 FINAL COMPLETION

- A. Final Completion. Upon receipt of written notice from the Contractor that all punch list items and other Contract requirements are completed, the Contractor will notify the Port, and the Port will perform a final inspection. If the Port determines that some or all of the punch list items have not been addressed, the Contractor shall be responsible to the Port for all costs, including re-inspection fees, for any subsequent reviews to determine completion of the punch list. When the Port determines that all punch list items have been satisfactorily addressed, that the Work is acceptable under the Contract Documents and that the Work has fully been performed, the Port will promptly notify the Contractor of Final Completion.
- B. Contractor responsible for costs if Final Completion is not timely achieved. In addition to any liquidated damages, the Contractor is liable for, and the Port may deduct from any amounts due the Contractor, all costs incurred by the Port for services performed after the contractual date of Final Completion, whether or not those services would have been performed prior to that date had Final Completion been timely achieved.
- C. Final Completion submittals. The Port is not obligated to accept the Project as complete until the Contractor has submitted all required submittals to the Port.
- D. Contractor responsible for the Work until Final Completion. The Contractor shall assume the sole risk of loss and responsibility for all Work under the Contract, and all materials to be incorporated in the Work, whether in storage or at the Project site, until Final Completion. Damage from any cause to either permanent or temporary Work, utilities, materials, equipment, existing structures, the site, or other property owned by the Port or others, shall be repaired by the Contractor to the reasonable satisfaction of the Port at no change in the Contract Sum.

6.06 FINAL ACCEPTANCE

A. Final Acceptance. Final Acceptance is the formal action of the Port accepting the Project as complete. Public notification of Final Acceptance will be posted on the Port's external website (http://www.portoftacoma.com/final-acceptance).

- B. Final Acceptance not an acceptance of defective Work. Final Acceptance shall not constitute acceptance by the Port of unauthorized or defective Work, and the Port shall not be prevented from requiring the Contractor to remove, replace, repair, or dispose of unauthorized or defective Work or recovering damages due to the same.
- C. Completion of Work under RCW 60.28. Pursuant to RCW 60.28, "Lien for Labor, Materials, Taxes on Public Works," completion of the Contract Work shall occur upon Final Acceptance.

6.07 PORT'S RIGHT TO USE THE PREMISES

- A. Port has right to use and occupy Work. The Port reserves the right to occupy or use any part of the Work before or after Substantial Completion of some or all of the Work without relieving the Contractor of any of its obligations under the Contract. Such occupancy or use shall not constitute acceptance by the Port of any of the Work, and shall not cause any insurance to be canceled or lapse.
- B. No compensation due if Port elects to use and occupy Work. No additional compensation shall be due to the Contractor as a result of the Port's use or occupancy of the Work or a designated portion.

ARTICLE 7 - PAYMENT

7.01 ALL PAYMENTS SUBJECT TO APPLICABLE LAWS AND SCHEDULE OF VALUES

- A. Payment of the Contract Sum. The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Port to the Contractor for performance of the Work under the Contract Documents. Payments made to the Contractor are subject to all laws applicable to the Port and the Contractor. Payment of the Contract Sum constitutes full compensation to the Contractor for performance of the Work, including all risk, loss, damages, or expense of whatever character arising out of the nature or prosecution of the Work. The Port is not obligated to pay for extra work or materials furnished without prior written approval of the Port.
- B. Schedule of Values. All payments will be based upon an approved Schedule of Values. Prior to submitting its first Application for Payment, the Contractor shall submit a Schedule of Values to the Port allocating the entire Contract Sum to the various portions of the Work. The Schedule of Values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Port may require. This schedule, unless objected to by the Port, shall be used as a basis for reviewing the Contractor's applications for payment.

7.02 APPLICATIONS FOR PAYMENT

A. Applications for Payment. Progress payments will be made monthly for Work duly certified, approved by the Engineer, and performed (based on the Schedule of Values and actual quantities of Work performed) during the calendar month preceding the Application for Payment. These amounts are paid in trust to the Contractor for distribution to Subcontractors to the extent and in accordance with the approved Application for Payment.

7.03 PROGRESS PAYMENTS

A. Progress payments. Following receipt of a complete Application for Payment, the Engineer will either authorize payment or indicate in writing to the Contractor the specific reasons why the payment request is being denied, in whole or in part, and the remedial action the Contractor must take to receive the withheld amount. After a complete Application for Payment has been received and approved by the Port, payment will be made within thirty (30) days. Any payments made by, or through, or following receipt of payment from third parties will be made in accordance with the third party's policies and procedures.

A. B. Port may withhold payment. The Port may withhold payment in whole or in part as provided in the Contract Documents or to the extent reasonably necessary to protect the Port from loss or potential loss for which the Contractor is responsible, including loss resulting from the Contractor's acts and omissions.

7.04 PAYMENT BY CONTRACTOR TO SUBCONTRACTORS

- A. Payment to Subcontractors. With each Application for Payment, the Contractor shall provide a list of Subcontractors to be paid by the Contractor. No payment request shall include amounts the Contractor does not intend to pay to a Subcontractor because of a dispute or other reason. If, however, after submitting an Application for Payment but before paying a Subcontractor, the Contractor discovers that part or all of a payment otherwise due to the Subcontractor is subject to withholding from the Subcontractor under the subcontract (such as for unsatisfactory performance or non-payment of lower-tier Subcontractors), the Contractor may withhold the amount as allowed under the subcontract, but it shall give the Subcontractor and the Port written notice of the remedial actions that must be taken and pay the Subcontractor within eight (8) working days after the Subcontractor satisfactorily completes the remedial action identified in the notice.
- B. Payment certification to be provided upon request. The Contractor shall provide with each Application for Payment a certification signed by Contractor attesting that all payments by the Contractor to Subcontractors from the last Application for Payment were made within ten (10) days of the Contractor's receipt of payment. The certification will also attest that the Contractor will make payment to Subcontractors for the current Application for Payment within ten (10) days of receipt of payment from the Port.

7.05 FINAL PAYMENT

- A. Final payment. Final applications for payment are due within seven (7) days following Final Completion. Final payment of the unpaid balance of the Contract Sum, except retainage, will be made following Final Completion and within thirty (30) days of the Contractor's submission of an approved final Application for Payment.
- B. Releases required for final payment. The final payment shall not become due until the Contractor delivers to the Port a complete release of all liens arising out of the Contract, as well as an affidavit stating that, to the best of Contractor's knowledge, its release includes all labor and materials for which a lien could be filed. If a Subcontractor of any tier refuses to furnish a release or waiver required by the Port, the Port may (a) retain in the fund, account, or escrow funds in such amount as to defray the cost of foreclosing the liens of such claims and to pay attorneys' fees, the total of which shall be no less than 150% of the claimed amount, or (b) accept a bond from the Contractor, satisfactory to the Port, to indemnify the Port against the lien. If any such lien remains unsatisfied after all payments from the retainage are made, the Contractor shall refund to the Port all moneys that the Port may be compelled to pay in discharging such lien, including all costs and reasonable attorneys' fees.
- C. Contractor to hold Port harmless from liens. The Contractor shall defend (at the Contractor's sole cost, with legal counsel approved by Port), indemnify, and hold harmless the Port from any liens, claims, demands, lawsuits, losses, damages, disbursements, liabilities, obligations, fines, penalties, costs and expenses, whether direct, indirect, including but not limited to attorneys' fees and consultants' fees and other costs and expenses, except to the extent a lien has been filed because of the failure of the Port to make a contractually required payment.

7.06 RETAINAGE

- A. Retainage to be withheld. In accordance with RCW 60.28, a sum equal to five percent (5%) of each approved Application for Payment shall be retained. As provided in 00 41 00-Bid Form, the Contractor shall exercise one of the options listed below:
 - 1. Retained percentages will be retained by the Port in a fund; or
 - 2. Deposited by the Port in an interest-bearing account in a bank, mutual savings bank or savings and loan association; or
 - 3. Placed in escrow with a bank or trust company; or
 - 4. If the Contractor provides a bond in place of retainage, it shall be in an amount equal to 5% of the Contract Sum plus Change Orders. The retainage bond shall be based on the form furnished in Section 00 61 23 or otherwise acceptable to the Port and duly completed and signed by a licensed surety or sureties registered with the Washington State Insurance Commissioner and on the currently authorized insurance list published by the Washington State Insurance Commissioner. The surety or sureties must be rated at least A minus, FSC(6), or higher by A.M. Best Rating Guide and be authorized by the Federal Department of the Treasury. Attorneys-in-fact who sign the retainage bond must file with each bond a certified and effective Power of Attorney statement.
- B. Contractor may withhold retainage from Subcontractors. The Contractor or a Subcontractor may withhold not more than five percent (5%) retainage from the monies earned by any Subcontractor or lower-tier Subcontractor, provided that the Contractor pays interest to the Subcontractor at the same interest rate it receives from its reserved funds. If requested by the Port, the Contractor shall specify the amount of retainage and interest due a Subcontractor.
- C. Release of retainage. Retainage will be withheld and applied by the Port in a manner required by RCW 60.28 and released in accordance with the Contract Documents and statutory requirements. Release of the retainage will be processed in the ordinary course of business within sixty (60) days following Final Acceptance of the Work by the Port provided that no notice of lien has been given as provided in RCW 60.28, that no claims have been brought to the attention of the Port, that the Port has no claims under this Contract, and that release of retention has been duly authorized by the State. The following items must also be obtained prior to release of retainage: pursuant to RCW 60.28, a certificate from the Department of Revenue; pursuant to RCW 50.24, a certificate from the Department of Employment Security; and appropriate information from the Department of Labor and Industries including approved affidavits of wages paid for the Contractor and each subcontractor.

7.07 DISPUTED AMOUNTS

A. Disputed amounts. If the Contractor believes it is entitled to payment for Work performed during the prior calendar month in addition to the agreed-upon amount, the Contractor may submit to the Port along with the approved Application for Payment, a separate written payment request specifying the exact additional amount claimed to be due, the category in the Schedule of Values to which the payment would apply, the specific Work for which additional payment is sought, and an explanation of why the Contractor believes additional payment is due.

7.08 EFFECT OF PAYMENT

A. Payment does not relieve Contractor of obligations. Payment to the Contractor of progress payments or final payment does not relieve the Contractor from its responsibility for the Work or its responsibility to repair, replace, or otherwise make good defective Work, materials or equipment. Likewise, the making of a payment does not constitute a waiver of the Port's right

- to reject defective or non-conforming Work, materials, or equipment (even though they are covered by the payment), nor is it a waiver of any other rights of the Port.
- B. Acceptance of final payment waives claims. Acceptance of final payment by the Contractor, a Subcontractor of any tier or a supplier shall constitute a waiver of claims except those previously made in writing and identified as unsettled in Contractor's final Application for Payment.
- C. Execution of Change Order waives claims. The execution of a Change Order shall constitute a waiver of claims by the Contractor arising out of the Work to be performed or deleted pursuant to the Change Order, except as specifically described in the Change Order.

7.09 LIENS

A. Contractor to discharge liens. The Contractor shall promptly pay (and secure the discharge of any liens asserted by) all persons properly furnishing labor, equipment, materials or other items in connection with the performance of the Work (including, but not limited to, any Subcontractors of any tier).

ARTICLE 8 - CHANGES IN THE WORK

8.01 CHANGES IN THE WORK

- A. Changes in the Work authorized. Without invalidating the Contract and without notice to the Contractor's surety, the Port may authorize changes in the Work after execution of the Contract, including changes in the Contract Sum or Contract Time. Changes shall occur solely by Change Order, Unilateral Change Directive, or Minor Change in Work. All changes in the Work are effective immediately and the Contractor shall proceed promptly to perform the change, unless otherwise provided in the Change Order or Directive.
- B. Changes in the Work Defined.
 - 1. A Change Order is a written instrument signed by the Port and Contractor stating their agreement to a change in the Work and the adjustment, if any, in the Contract Sum and/or Contract Time.
 - A Unilateral Change Directive is a written instrument issued by the Port to transmit new or revised Drawings, issue additions or modifications to the Contract, furnish other direction and documents adjustment, if any, to the Contract Sum and/or Contract Time. A Unilateral Change Directive is signed only by the Port, without requiring the consent or signature of the Contractor.
 - 3. A Minor Change in the Work is a written order from the Port directing a change that does not involve an adjustment to the Contract Sum or the Contract Time.
- C. Request for Proposal: At any time, the Port may issue a Proposal Request directing the Contractor to propose a change to the Contract Sum and/or Contract Time, if any, based on a proposed change in the Work. The Contractor shall submit a responsive Change Order proposal as soon as possible and no later than fourteen (14) days after receipt in which the Contractor specifies in good faith the extent to which the Contract Sum and/or Contract Time would change. All cost components shall be limited to the manner described in Section 8.02(B). If the Contractor fails to timely respond to a Proposal Request, the Port may issue the change as a Unilateral Change Directive.
 - Fixed price method is default for Contractor Change Order proposal. When the Port has
 requested that the Contractor submit a Change Order proposal, the Port may specify the
 basis on which the Contract Sum will be adjusted by the Contractor. The Engineer's
 preference, unless otherwise indicated, is for changes in the Work to be priced using Lump

Sums or Unit Prices or on a time and material (Force Account) basis if unit pricing or lump sums cannot be negotiated or determined. In all instances, however, proposed changes shall include a not-to-exceed price for the change and shall be itemized for evaluation purposes in accordance with Section 8.02(B), as requested by the Engineer.

- 2. The Port may accept or reject the Contractor's Change Order proposal, request further documentation, or negotiate acceptable terms with the Contractor. If The Port and Contractor reach agreement on the terms of any change in the Work, including any adjustment in the Contract Sum or Contract Time, such agreement shall be incorporated in a Change Order.
- 3. The Change Order shall constitute full payment and final settlement of all claims for time and for direct, indirect, and consequential costs, including costs of delays, inconvenience, disruption of schedule, or loss of efficiency or productivity, related to any Work either covered or affected by the Change Order, or related to the events giving rise to the request for equitable adjustment. The Port may reject a proposal, in which case the Port may either not effectuate the change or issue a Unilateral Change Directive. The Port will not make payment to the Contractor for any work until that work has been incorporated into an executed Change Order.
- D. Unforeseen Conditions: If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or any soils reports made available by the Port to the Contractor or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall immediately provide oral notice to the Engineer before conditions are disturbed, followed within 24 hours by an initial written notice. The Contractor shall submit a detailed proposal no later than seven (7) days following discovery of differing site conditions. The Engineer will promptly investigate these conditions and, if the Engineer determines that they differ materially and cause an increase or decrease in the Contractor's cost or time required for, performance of any part of the Work, will establish a change in the Contract Sum or Contract Time, or both, consistent with the requirements of the Contract Documents. If the Contractor disputes the Engineer's determination, the Contractor may proceed as provided in the dispute resolution procedure (Article 11). No increase to the Contract Sum or the Contract Time shall be allowed if the Contractor does not comply with the contractual requirements or if the Contractor knew or reasonably should have known of the concealed conditions prior to executing the Contract.
- E. Proceed Immediately: Pending agreement on the terms of the Change Order or upon determination of a differing site condition as defined in 8.01(D), the Engineer may direct Contractor to proceed immediately with the change in the Work. Contractor shall not proceed with any change in the Work until it has obtained the Engineer's written approval and documentation of the following:
 - 1. The scope of work
 - 2. An agreed upon maximum not-to-exceed amount
 - The method of final cost determination
 - 4. Estimated time to complete the changed work.
 - 5. As a change in the Work is performed, unless the parties have signed a written Change Order to establish the cost of the change, the Contractor shall maintain an itemized accounting of all costs related to the change based on the categories in Section 8.02(B) and provide such data to the Port upon request. This includes, without limitation, invoices,

including freight and express bills, and other support for all material, equipment, Subcontractor, and other charges related to the change and, for material furnished from the Contractor's own inventory, a sworn affidavit certifying the actual cost of such material. Failure to provide data to the Port within seven (7) days of a request constitutes a waiver of any claim. The Port may furnish any material or equipment to the Contractor that it deems advisable, and the Contractor shall have no claim for any costs or fee on such material or equipment.

- F. Procedure for Unilateral Change Directive. Whether or not the Port has rejected a Contractor's proposal, the Port may issue a Unilateral Change Directive and the Contractor shall promptly proceed with the specified Work. If the Contractor disagrees with a Unilateral Change Directive, the Contractor shall advise the Port in writing through a Change Order proposal within seven (7) days of receipt. The Contractor's Change Order proposal shall reasonably specify the reasons for any disagreement and the adjustment it proposes. Without this timely Change Order proposal, the Contractor shall conclusively be deemed to have accepted the Port's proposal.
- G. Payment pending final determination of Force Account work. Pending final determination of the total cost of Force Account Work, and provided that the Work to be performed under Force Account is complete and any reservations of rights have been signed by the Port, the Contractor may request payment for amounts not in dispute in the next Application for Payment accompanied by documentation indicating the parties' agreement. Work done on a Force Account basis must be approved in writing on a daily basis by the Engineer or the Engineer's designee and invoices shall be submitted with an Application for Payment within sixty (60) days of performance of the Work.

8.02 CHANGES IN THE CONTRACT SUM

- A. Port to Decide How Changes are Measured. The Port may elect, in its sole discretion, how changes in the Work will be measured for payment. Change in the Work may be priced on a lump sum basis, through Unit Prices, as Force Account, or by another method documented in the executed Change Order, Unilateral Change Directive or Minor Change in the Work.
- B. Determination of Cost of Change. The total cost of any change in the Work, including a claim under Article 11, shall not exceed the prevailing cost for the Work in the locality of the Project. In all circumstances, the change in the Work shall be limited to the reasonable, actual cost of the following components:
 - 1. Direct labor costs: These are the actual labor costs determined by the number of additional craft hours at their normal hourly rate necessary to perform a change in the Work. The hourly cost of labor will be based upon the following:
 - a. Basic wages and fringe benefits: The hourly wage (without markup or labor burden) and fringe benefits paid by the Contractor as established by the Washington Department of Labor and Industries or contributed to labor trust funds as itemized fringe benefits, whichever is applicable, not to exceed that specified in the applicable "Intent to Pay Prevailing Wage," for the laborers, apprentices, journeymen, and foremen performing or directly supervising the change in the Work on site. These wages do not include the cost of Contractor's project manager or superintendent or above, and the premium portion of overtime wages is not included unless preapproved in writing by the Port. Costs paid or incurred by the Contractor for vacations, per diem, subsistence, housing, travel, bonuses, stock options, or discretionary payments to employees are not separately reimbursable. The Contractor shall provide to the Port copies of payroll records, including certified payroll statements for itself and Subcontractors of any tier, upon the Port's request.

- b. Workers' insurance: Direct contributions to the State of Washington as industrial insurance; medical aid; and supplemental pension by class and rates established by the Washington Department of Labor and Industries.
- Federal insurance: Direct contributions required by the Federal Insurance Compensation Act (FICA); Federal Unemployment Tax Act (FUTA); and State Unemployment Compensation Act (SUCA).
- 2. Direct material costs: This is an itemization, including material invoices, of the quantity and actual cost of additional materials necessary to perform the change in the Work. The cost will be the net cost after all discounts or rebates, freight costs, express charges, or special delivery costs, when applicable. No lump sum costs will be allowed unless approved in advance by the Port.
- 3. Construction equipment usage costs: This is an itemization of the actual length of time that construction equipment necessary and appropriate for the Work is used solely on the changed Work times the applicable rental cost as established by the lower of the local prevailing rates published in www.equipmentwatch.com, as modified by the AGC/WSDOT agreement, or the actual rate paid to an unrelated third party. If more than one rate is applicable, the lowest available rate will be utilized. Rates and quantities of equipment rented that exceed the local fair market rental costs shall be subject to the Port's prior written approval. Total rental charges for equipment or tools shall not exceed 75% of the fair market purchase value of the equipment or the tool. Actual, reasonable mobilization costs are permitted if the equipment is brought to the site solely for the change in the Work. Mobilization and standby costs shall not be charged for equipment already present on the site.

The rates in effect at the time of the performance of the changed Work are the maximum rates allowable for equipment of modern design and in good working condition and include full compensation for furnishing all fuel, oil, lubrication, repairs, maintenance, and insurance. No gas surcharges are payable. Equipment not of modern design and/or not in good working condition will have lower rates. Hourly, weekly, and/or monthly rates, as appropriate, will be applied to yield the lowest total cost.

- 4. Subcontractor costs: These are payments the Contractor makes to Subcontractors for changed Work performed by Subcontractors. The Subcontractors' cost of changed Work shall be determined in the same manner as prescribed in this Section 8.02 and, among other things, shall not include consultant costs, attorneys' fees, or claim preparation expenses.
- Service provider costs: These are payments the Contractor makes to service providers for changed Work performed by service providers. The service providers' cost of changed Work shall be determined in the same manner as prescribed in this Section 8.02.
- 6. Markup: This is the maximum total amount for overhead, profit and other costs, including office, home office and site overhead (including purchasing, project manager, superintendent, project engineer, estimator, and their vehicles and clerical assistants), taxes (except for sales tax on the Contract Sum), warranty, safety costs, printing and copying, layout and control, quality control/assurance, small or hand tools (a tool that costs \$500 or less and is normally furnished by the performing contractor), preparation of as-built drawings, impact on unchanged Work, Change Order and/or claim preparation, and delay and impact costs of any kind (cumulative, ripple, or otherwise), added to the total cost to the Port of any Change Order work. No markup shall be due, however, for direct settlements of Subcontractor claims by the Port after Substantial Completion. The markup shall be limited in all cases to the following schedule:

- Direct labor costs -- 20% markup on the direct cost of labor for the party (Contractor or Subcontractor) providing labor related to the change in the Work;
- b. Direct material costs -- 20% markup on the direct cost of material for the party (Contractor or Subcontractor) providing material related to the change in the Work;
- c. Construction equipment usage costs -- 10% markup on the direct cost of equipment for the party (Contractor or Subcontractor) providing equipment related to the change in the Work:
- d. Contractor markup on Subcontractor costs -- 10% markup for the Contractor on the direct cost (excluding markup) of a change in the Work performed by Subcontractors (and for Subcontractors, for a change in the Work performed by lower-tier Subcontractors); and
- e. Service provider costs -- 5% markup for the Contractor on the direct cost (excluding markup) of a change in the Work performed by service providers.
 - The total summed markup of the Contractor and all Subcontractors of any tier shall not exceed 30% of the direct costs of the change in the Work. If the markup would otherwise exceed 30%, the Contractor shall proportionately reduce the markup for the Contractor and all Subcontractors of any tier.
- 7. Cost of change in insurance or bond premium. This is defined as:
 - Contractor's liability insurance: The actual cost (expressed as a percentage submitted with the certificate of insurance provided under the Contract Documents and subject to audit) of the Contractor's liability insurance arising directly from the changed Work; and
 - b. Public works bond: The actual cost (expressed as a percentage submitted under the Contract Documents and subject to audit) of the Contractor's performance and payment bond arising directly from the changed Work.
 - Upon request, the Contractor shall provide the Port with supporting documentation from its insurer or surety of any associated cost incurred. The cost of the insurance or bond premium together shall not exceed 2.0% of the cost of the changed Work.
- 8. Unit Prices. If Unit Prices are specified in the Contract Documents or established by agreement of the parties for certain Work, the Port may apply them to the changed Work. Unit Prices shall include pre-agreed rates for material quantities and shall include reimbursement for all direct and indirect costs of the Work, including overhead, profit, bond, and insurance costs arising out of or related to the Unit Priced item. Quantities must be supported by field measurement statements signed by the Port, and the Port shall have access as necessary for quantity measurement. The Port shall not be responsible for not-to-exceed limit(s) without its prior written approval.

8.03 CHANGES IN THE CONTRACT TIME

A. Extension of the Contract Time. If the Contractor is delayed at any time in the commencement or progress of the Work by events for which the Port is responsible, by unanticipated abnormal weather (subject to Section 8.03(E) below), or by other causes not the fault or responsibility of the Contractor that the Port determines may justify a delay in the Contract Time, then the Contract Time shall be extended by Change Order for such reasonable time as the Port may determine. In no event, however, shall the Contractor be entitled to any extension of time absent proof of (1) delay to an activity on the critical path of the Project, or (2) delay

- transforming an activity to the critical path, so as to actually delay the anticipated date of Substantial Completion.
- B. Allocation of responsibility for delay not caused by Port or Contractor. If a delay was not caused by the Port, the Contractor, or anyone acting on behalf of any of them, the Contractor is entitled only to an increase in the Contract Time but not an increase in the Contract Sum.
- C. Allocation of responsibility for delay caused by Port. If a delay was caused by the Port or someone acting on behalf of the Port and affected the critical path, the Contractor shall be entitled to a change in the Contract Time and Contract Sum in accordance with Section 8.02. The Contractor shall not recover damages, an equitable adjustment or an increase in the Contract Sum or Contract Time from the Port, however, where the Contractor could reasonably have avoided the delay. The Port is not obligated directly or indirectly for damages for any delay suffered by a Subcontractor of any tier that does not increase the Contract Time.
- D. Allocation of responsibility for delay caused by Contractor. If a delay was caused by the Contractor, a Subcontractor of any tier, or anyone acting on behalf of any of them, the Contractor is not entitled to an increase in the Contract Time or in the Contract Sum.
- E. Adverse weather. If adverse weather is identified as the basis for a claim for additional time, the claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not reasonably have been anticipated and had an adverse effect on the critical path of construction, and that the Work was on schedule (or not behind schedule through the fault of the Contractor) at the time the adverse weather conditions occurred. Neither the Contract Time nor the Contract Sum will be adjusted for normal inclement weather. For a claim based on adverse weather, the Contractor shall be eligible only for a change in the Contract Time (but not a change in the Contract Sum) if the Contractor can substantiate that there was significantly greater than normal inclement weather considering the full term of the Contract Time.
- F. Damages for delay. In the event the Contractor (including any Subcontractors of any tier) is held to be entitled to damages from the Port for delay beyond the amount permitted in Section 8.02(B), the total combined damages to the Contractor and any Subcontractors of any tier for each day of delay shall be limited to the same daily liquidated damage rate specified in the Contract Documents due the Port for the Contractor's delay in achieving Substantial Completion. By submitting a bid on the Work and executing the Contract, the Contractor represents that these liquidated damages are a reasonable estimate of its loss.
- G. Limitation on damages. The Contractor shall not be entitled to damages arising out of loss of efficiency; morale, fatigue, attitude, or labor rhythm; constructive acceleration; home office overhead; expectant under run; trade stacking; reassignment of workers; rescheduling of Work, concurrent operations; dilution of supervision; learning curve; beneficial or joint occupancy; logistics; ripple; season change; extended or increased overhead or general conditions; profit upon damages for delay; impact damages including cumulative impacts; or similar damages. Any effect that such alleged costs may have upon the Contractor or its Subcontractors of any tier is fully compensated through the markup on Change Orders paid through Section 8.02(B) and any liquidated damages paid hereunder.

8.04 RESERVATION OF RIGHTS

- A. Reservations of rights void unless signed by Port. Reservations of rights will be deemed waived and are void unless any reserved rights are described in detail and are signed by the Contractor and the Port.
- B. Procedure for unsigned reservations of rights. If the Contractor adds a reservation of rights not signed by the Port to any Change Order, Unilateral Change Directive, Change Order proposal,

Application for Payment or any other document, all amounts and all Work therein shall be considered disputed and not payable until costs are re-negotiated or the reservation is withdrawn or changed in a manner satisfactory to and signed by the Port. If the Port makes payment based on a document that contains a reservation of rights not signed by the Port, and if the Contractor cashes such payment, then the reservation of rights shall be deemed waived, withdrawn and of no effect.

8.05 UNIT PRICES

- A. Adjustment to Unit Prices. If Unit Prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed (less than eighty percent (80%) or more than one hundred and twenty percent (120%) of the quantity estimated) so that application of a Unit Price would be substantially unfair, the applicable Unit Price but not the Contract Time shall be adjusted if the Port prospectively approves a Change Order revising the Unit Price.
- B. Procedure to change Unit Prices. The Contractor or Port may request a Change Order revising a Unit Price by submitting information to support the change. A proposed change to a Unit Price will be evaluated by the Port based on the change in cost resulting solely from the change in quantity, any change in production rate or method as compared to the original plan, and the share, if any, of fixed expenses properly chargeable to the item. If the Port and Contractor agree on the change, a Change Order will be executed. If the parties cannot agree, the Contractor shall comply with the dispute resolution procedures (Article 11).

ARTICLE 9 - SUSPENSION AND TERMINATION OF CONTRACT

9.01 PORT'S RIGHT TO SUSPEND WORK

- A. Port may suspend the Work. The Port may at any time suspend the Work, or any part thereof, by giving notice to the Contractor. The Work shall be resumed by the Contractor as soon as possible, but no later than fourteen (14) days after the date fixed in a notice to resume the Work. The Port shall reimburse the Contractor for appropriate and reasonable expenses consistent with Section 8.02 incurred by the Contractor as a result of the suspension, except where a suspension is the result of the Contractor repeatedly or materially failing to carry out or correct the Work in accordance with the Contract Documents, and the Contractor shall take all necessary steps to minimize expenses.
- B. Contractor obligations. During any suspension of Work, the Contractor shall take every precaution to prevent damage to, or deterioration of, the Work. The Contractor shall be responsible for all damage or deterioration to the Work during the period of suspension and shall, at its sole expense, correct or restore the Work to a condition acceptable to the Port prior to resuming Work.

9.02 TERMINATION OF CONTRACT FOR CAUSE BY THE PORT

A. Port may terminate for cause. If the Contractor is adjudged bankrupt or makes a general assignment for the benefit of the Contractor's creditors, if a receiver is appointed due to the Contractor's insolvency, or if the Contractor, in the opinion of the Port, persistently or materially refuses or fails to supply enough properly skilled workmen or materials for proper completion of the Contract, fails to make prompt payment to Subcontractors or suppliers for material or labor, disregards laws, ordinances, or the instructions of the Port, fails to prosecute the Work continuously with promptness and diligence, or otherwise materially violates any provision of the Contract, then the Port, without prejudice to any other right or remedy, may terminate the Contractor after giving the Contractor seven (7) days' written notice (during which period the Contractor shall have the right to cure).

- B. Procedure following termination for cause. Following a termination for cause, the Port may take possession of the Project site and all materials and equipment, and utilize such materials and equipment to finish the Work. The Port may also exclude the Contractor from the Project site(s). If the Port elects to complete all or a portion of the Work, it may do so as it sees fit. The Port shall not be required to accept the lowest bid for completion of the Work and may choose to complete all or a portion of the Work using its own work force. If the Port elects to complete all or a portion of the Work, the Contractor shall not be entitled to any further payment until the Work is finished. If the expense of finishing the Work, including compensation for additional managerial and administrative services of the Port, exceeds the unpaid balance of the Contract Sum, the excess shall be paid by the Contractor.
- C. Port's remedies following termination for cause. The Port may exercise any rights, claims or demands that the Contractor may have against third persons in connection with the Contract, and for this purpose the Contractor assigns and transfers to the Port all such rights, claims and demands.
- D. Inadequate termination for cause converted to termination for convenience. If, after the Contractor has been terminated for cause, it is determined that inadequate "cause" for such termination exists, then the termination shall be considered a termination for convenience pursuant to Section 9.03.

9.03 TERMINATION OF CONTRACT FOR CONVENIENCE BY THE PORT

A. Port may terminate for convenience. The Port may, at any time (without prejudice to any right or remedy of the Port), terminate all or any portion of the Contract for the Port's convenience and without cause. The Contractor shall be entitled to receive payment consistent with the Contract Documents only for Work properly executed through the date of termination, and costs necessarily incurred by reason of the termination (such as the cost of settling and paying claims arising out of the termination under subcontracts or orders), along with a fee of one percent (1%) of the Contract Sum not yet earned on the whole or part of the Work. The total amount to be paid to the Contractor shall not exceed the Contract Sum as reduced by the amount of payments otherwise made. The Port shall have title to all Work performed through the date of termination.

9.04 TERMINATION OF CONTRACT BY THE CONTRACTOR

- A. Contractor may terminate for cause. The Contractor may terminate the Contract if the Work is stopped for a period of sixty (60) consecutive days through no act or fault of the Contractor or a Subcontractor of any tier, for either of the following reasons:
 - Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped; or
 - 2. An act of government, such as a declaration of national emergency that requires all Work to be stopped.
- B. Procedure for Contractor termination. If one of the reasons described in Section 9.04A exists, the Contractor may, upon seven (7) days' written notice to the Port (during which period the Port has the opportunity to cure), terminate the Contract and recover from the Port payment for Work executed through the date of termination in accordance with the Contract Documents and for proven loss with respect to materials, equipment, tools, and construction equipment and machinery, including reasonable overhead and profit on Work executed and direct costs incurred by reason of such termination. The total recovery of the Contractor shall not exceed the unpaid balance of the Contract Sum.

C. Contractor may stop the Work for failure of Port to pay undisputed amounts. The Contractor may stop Work under the Contract if the Port does not pay undisputed amounts due and owing to the Contractor within fifteen (15) days of the date established in the Contract Documents. If the Port fails to pay undisputed amounts, the Contractor may, upon fifteen (15) additional days' written notice to the Port, during which the Port can cure, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately, and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up.

9.05 SUBCONTRACT ASSIGNMENT UPON TERMINATION

- A. Subcontracts assigned upon termination. Each subcontract is hereby assigned by the Contractor to the Port provided that:
 - 1. The Port requests that the subcontract be assigned;
 - The assignment is effective only after termination by the Port and only for those subcontracts that the Port accepts in writing; and
 - a. The assignment is subject to the prior rights of the surety, if any, under any bond issued in accordance with the Contract Documents.

When the Port accepts the assignment of a subcontract, the Port assumes the Contractor's rights and obligations under the subcontract, but only for events and payment obligations that arise after the date of the assignment.

ARTICLE 10 - BONDS

10.01 CONTRACTOR PERFORMANCE AND PAYMENT BONDS

- A. Contractor to furnish performance and payment bonds. Within ten (10) days following its receipt of a notice of award, and as part of the Contract Sum, the Contractor shall secure and furnish duly executed performance and payment bonds using the forms furnished by the Port. The bonds shall be executed by a surety (or sureties) reasonably acceptable to the Port, admitted and licensed in the State of Washington, registered with the Washington State Insurance Commissioner, and possessing an A.M. Best rating of "A minus, FSC (6)" or better and be authorized by the U.S. Department of the Treasury. Pursuant to RCW 39.08, the bonds shall be in an amount equal to the Contract Sum, and shall be conditioned only upon the faithful performance of the Contract by the Contractor within the Contract Time and upon the payment by the Contractor of all taxes, fees, and penalties to the State of Washington and all laborers, Subcontractors, and suppliers, and others who supply provisions, equipment, or supplies for the performance of the Work covered by this Contract. The bonds shall be signed by the person or persons legally authorized to bind the Contractor.
- B. Port may notify surety. If the Port makes or receives a claim against the Contractor, the Port may, but is not obligated to, notify the Contractor's surety of the nature and amount of the claim. If the claim relates to a possibility of a Contractor's default, the Port may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

ARTICLE 11 - DISPUTE RESOLUTION

11.01 NOTICE OF PROTEST AND CLAIM

A. Dispute resolution procedure mandatory. All claims, direct or indirect, arising out of, or relating to, the Contract Documents or the breach thereof, shall be decided exclusively by the following alternative dispute resolution procedure unless the parties mutually agree otherwise. If the Port and Contractor agree to a partnering process to assist in the resolution of disputes, the

- partnering process shall occur prior to, and not be in place of, the mandatory dispute resolution procedures set forth below.
- B. Notice of protest defined. Except for claims requiring notice before proceeding with the affected Work as otherwise described in the Contract Documents, the Contractor shall provide immediate oral notice of protest to the Engineer prior to performing any disputed Work and shall submit a written notice of protest to the Port within seven (7) days of the occurrence of the event giving rise to the protest that includes a clear description of the event(s). The protest shall identify any point of disagreement, those portions of the Contract Documents believed to be applicable, and an estimate of quantities and costs involved. When a protest relates to cost, the Contractor shall keep full and complete records and shall permit the Port to have access to those records at any time as requested by the Port.
- C. Claim defined. A claim is a demand by one of the parties seeking adjustment or interpretation of the Contract terms, payment of money, extension of time or other relief with respect to the terms of the Contract Documents. The term "claim" also includes all disputes and matters in question between the Port and Contractor arising out of or relating to the Contract Documents. Claims must be initiated in writing and include a detailed factual statement and clear description of the claim providing all necessary dates, locations and items of Work, the date or dates on which the events occurred that give rise to the claim, the names of employees or representatives knowledgeable about the claim, the specific provisions of the Contract Documents that support the claim, any documents or oral communications that support the claim, any proposed change in the Contract Sum (showing all components and calculations) and/or Contract Time (showing cause and analysis of the resultant delay in the critical path), and all other data supporting the claim. Claims shall also be submitted with a statement certifying, under penalty of perjury, that the claim as submitted is made in good faith, that the supporting cost and pricing data are true and accurate to the best of Contractor's knowledge and belief, that the claim is fully supported, and that the amount requested accurately reflects the adjustment in the Contract Sum or Contract Time for which Contractor believes the Port is liable. A claim shall be deemed to include all changes, direct and indirect, in cost and in time to which the Contractor and Subcontractors of any tier are entitled and may not contain reservations of rights without the Port's written approval; any unapproved reservations of rights shall be without effect.
- D. Claim procedure. The Contractor shall submit a written claim within thirty (30) days of providing written notice of protest. The Contractor may delay submitting supporting data by an additional thirty (30) days if it notifies the Port in its claim that substantial data must be assembled. Any claim of a Subcontractor of any tier may be brought only through, and after review by and concurrence of, the Contractor.
- E. Failure to comply with notice of protest and claim requirements waives claims. Any notice of protest by the Contractor and any claim of the Contractor, whether under the Contract or otherwise, must be made pursuant to and in strict accordance with the applicable provisions of the Contract. Failure to properly and timely submit a notice of protest or to timely submit a claim shall waive the claim. No act, omission, or knowledge, actual or constructive, of the Port shall waive the requirement for timely written notice of protest and a timely written claim unless the Port and the Contractor sign an explicit, unequivocal written waiver approved by the Port. The Contractor expressly acknowledges and agrees that the Contractor's failure to timely submit required notices of protest and/or timely submit claims has a substantial impact upon and prejudices the Port. For the purpose of calculating time periods, an "event giving rise to a claim," among other things, is not a Request for Information but rather is a response that the Contractor believes would change the Contract Sum and/or Contract Time.

- F. False claims. The Contractor shall not make any fraudulent misrepresentations, concealments, errors, omissions, or inducements to the Port in the formation or performance of the Contract. If the Contractor or a Subcontractor of any tier submits a false or frivolous claim to the Port, which for purposes of this Section 11.01(F) is defined as a claim based in whole or in part on a materially incorrect fact, statement, representation, assertion, or record, the Port shall be entitled to collect from the Contractor by offset or otherwise (without prejudice to any right or remedy of the Port) any and all costs and expenses, including investigation and consultant costs, incurred by the Port in investigating, responding to, and defending against the false or frivolous claim.
- G. Compliance with lien and retainage statutes required. If a claim relates to or is the subject of a lien or retainage claim, the party asserting the claim may proceed in accordance with applicable law to comply with the notice and filing deadlines prior to resolution of the claim by mediation or by litigation.
- H. Performance required pending claim resolution. Pending final resolution of a claim, the Contractor shall continue to perform the Contract and maintain the Progress Schedule, and the Port shall continue to make payments of undisputed amounts due in accordance with the Contract Documents.

11.02 MEDIATION

- A. Claims must be subject to mediation. At any time following the Port's receipt of a written claim, the Port may require that an officer of the Contractor and the Port's designee (all with authority to settle) meet, confer, and attempt to resolve a claim. If the claim is not resolved during this meeting, the claim shall be subject to mandatory mediation as a condition precedent to the initiation of litigation. This requirement can be waived only by an explicit, written waiver signed by the Port and the Contractor.
- B. Mediation procedure. A request for mediation shall be filed in writing with the other party to the Contract, and the parties shall promptly attempt to agree upon a mediator. If the parties have not reached agreement within thirty (30) days of the request, either party may file the request with the American Arbitration Association or such other alternative dispute resolution service to which the parties mutually agree, with a copy to the other party, and the mediation shall be administered by the American Arbitration Association (or other agreed service). The parties to the mediation shall share the mediator's fee and any filing fees equally. The mediation shall be held in Pierce County, Washington unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof. Unless the Port and the Contractor mutually agree in writing otherwise, all claims shall be considered at a mediation session that shall occur prior to Final Completion.

11.03 LITIGATION

- A. Claims not resolved by mediation are subject to litigation. Claims not resolved through mediation shall be resolved by litigation unless the parties mutually agree otherwise. The venue for any litigation shall be Pierce County, Washington. The Contractor may bring no litigation on claims unless such claims have been properly raised and considered in the procedures of this Article 11. The Contractor must demonstrate in any litigation that it complied with all requirements of this Article.
- B. Litigation must be commenced promptly. All unresolved claims of the Contractor shall be waived and released unless the Contractor has complied with the requirements of the Contract Documents, and litigation is served and filed within 180 days of the date of Substantial Completion approved in writing by the Port or termination of the Contract. The pendency of

mediation (the time period between receipt by the non-requesting party of a written mediation request and the date of mediation) shall toll these deadlines until the earlier of the mediator providing written notice to the parties of impasse or thirty (30) days after the date of the mediation session.

- C. Port not responsible for attorneys' fees. Neither the Contractor nor a Subcontractor of any tier, whether claiming under a bond or lien statute or otherwise, shall be entitled to attorneys' fees directly or indirectly from the Port (but may recover attorneys' fees from the bond or statutory retainage fund itself to the extent allowable under law).
- D. Port may join Contractor in dispute. The Port may join the Contractor as a party to any litigation or arbitration involving the alleged fault, responsibility, or breach of contract of the Contractor or Subcontractor of any tier.

ARTICLE 12 - MISCELLANEOUS

12.01 GENERAL

- A. Rights and remedies are cumulative. The rights and remedies of the Port set forth in the Contract Documents are cumulative and in addition to and not in limitation of any rights and remedies otherwise available to the Port. The pursuit of any remedy by the Port shall not be construed to bar the Port from the pursuit of any other remedy in the event of similar, different, or subsequent breaches of this Contract. All such rights of the Port shall survive completion of the Project or termination of the Contractor.
- B. Reserved rights do not give rise to duty. The rights reserved or possessed by the Port to take any action shall not give rise to a duty for the Port to exercise any such right.

12.02 WAIVER

- A. Waiver must be in writing and authorized by Port. Waiver of any provisions of the Contract Documents must be in writing and authorized by the Port. No other waiver is valid on behalf of the Port.
- B. Inaction or delay not a waiver. No action, delay in acting, or failure to act by the Port shall constitute a waiver of any right or remedy of the Port, or constitute an approval or acquiescence of any breach or defect in the Work. Nor shall any delay or failure of the Port to act waive or otherwise prejudice the right of the Port to enforce a right or remedy at any subsequent time.
- C. Claim negotiation not a waiver. The fact that the Port and the Contractor may consider, discuss, or negotiate a claim that has or may have been defective or untimely under the Contract shall not constitute a waiver of the provisions of the Contract Documents unless the Port and the Contractor sign an explicit, unequivocal waiver.

12.03 GOVERNING LAW

A. Washington law governs. This Contract and the rights and duties of the parties hereunder shall be governed by the internal laws of the State of Washington, without regard to its conflict of law principles.

12.04 COMPLIANCE WITH LAW

- A. Contractor to comply with applicable laws. The Contractor shall at all times comply with all applicable Federal, State and local laws, ordinances, and regulations. This compliance shall include, but is not limited to, the payment of all applicable taxes, royalties, license fees, penalties, and duties.
- B. Contractor to provide required notices. The Contractor shall give notices required by all applicable Federal, State, and local laws, ordinances and regulations bearing on the Work.

C. Contractor to confine operations at site to permitted areas. The Contractor shall confine operations at the Project site to areas permitted by applicable laws, ordinances, permits, rules and regulations, and lawful orders of public authorities and the Contract Documents.

12.05 ASSIGNMENT

A. Assignment. The Port and Contractor respectively bind themselves, their partners, successors, assigns and legal representatives to the other party and to the partners, successors, assigns and legal representatives of such other party. The Contractor may not assign, transfer, or novate all or any portion of the Contract, including but not limited to any claim or right to the Contract Sum, without the Port's prior written consent. If the Contractor attempts to make an assignment, transfer, or novation without the Port's consent, the assignment shall be of no effect, and Contractor shall nevertheless remain legally responsible for all obligations under the Contract. The Contractor also shall not assign or transfer to any third party any claims it may have against the Port arising under the Contract or otherwise related to the Project.

12.06 TIME LIMIT ON CAUSES OF ACTION

A. Time limit on causes of action. The Port and Contractor shall commence all causes of action, whether in contract, tort, breach of warranty or otherwise, against the other arising out of or related to the Contract in accordance with the requirements of the dispute resolution procedure set forth in Article 11 of these General Conditions, within the time period specified by applicable law, and within the time limits identified in the Contract Documents. The Contractor waives all claims and causes of action not commenced in accordance with this Section 12.06.

12.07 SERVICE OF NOTICE

A. Notice. Written notice under the Contract Documents by either the Contractor or Port may be served on the other party by personal service, electronic or facsimile transmission, or delivery service to the last address provided in writing to the other party. For the purpose of measuring time, notice shall be deemed to be received by the other party on the next business day following the sender's electronic or facsimile transmittal or delivery by delivery service.

12.08 RECORDS

- A. Contractor and Subcontractors to maintain records and cooperate with Port audit. The Contractor and Subcontractors of any tier shall maintain books, ledgers, records, documents, estimates, bids, correspondence, logs, schedules, emails, and other tangible and electronic data and evidence relating or pertaining to costs and/or performance of the Contract ("records") to such extent and in such detail as will properly reflect and fully support compliance with the Contract Documents and with all costs, charges and other amounts of whatever nature. The Contractor shall preserve these records for a period of six (6) years following the date of Final Acceptance under the Contract. Within seven (7) days of the Port's request, both during the Project and for six (6) years following Final Acceptance, the Contractor and Subcontractors of any tier shall make available at their office during normal business hours all records for inspection, audit and reproduction (including electronic reproduction) by the Port or its representatives; failure to fully comply with this requirement shall constitute a material breach of contract and a waiver of all claims by the Contractor and Subcontractors of any tier.
- B. Rights under RCW 42.56. The Contractor agrees, on behalf of itself and Subcontractors of any tier, that any rights under Chapter 42.56 RCW will commence at Final Acceptance, and that the invocation of such rights at any time by the Contractor or a Subcontractor of any tier, or their respective representatives, shall initiate an equivalent right to disclosures from the Contractor and Subcontractors of any tier for the benefit of the Port.

12.09 STATUTES

- A. Contractor to comply with Washington statutes. The Contractor shall abide by the provisions of all applicable statutes, regulations, and other laws. Although a number of statutes are referenced in the Contract Documents, these references are not meant to be and are not a complete list.
 - 1. Pursuant to RCW 39.06, "Registration, Licensing of Contractors," the Contractor shall be registered and licensed as required by the laws of the State of Washington, including but not limited to RCW 18.27, "Registration of Contractors," and shall satisfy all State of Washington bonding and insurance requirements. The Contractor shall also have a current state unified business identifier number; have industrial insurance coverage for the Contractor's employees working in Washington as required by Title 51 RCW; have an employment security department number as required by Title 50 RCW; have a state excise tax registration number as required in Title 82 RCW, and; not be disqualified from bidding on any public works contract under RCW 39.06.010 (unregistered or unlicensed contractors) or RCW 39.12.065(3) (prevailing wage violations).
 - 2. The Contractor shall comply with all applicable provisions of RCW 49.28, "Hours of Labor."
 - 3. The Contractor shall comply with pertinent statutory provisions relating to public works of RCW 49.60, "Discrimination."
 - The Contractor shall comply with pertinent statutory provisions relating to public works of RCW 70.92, "Provisions in Buildings for Aged and Handicapped Persons," and the Americans with Disabilities Act.
 - 5. Pursuant to RCW 50.24, "Contributions by Employers," in general and RCW 50.24.130 in particular, the Contractor shall pay contributions for wages for personal services performed under this Contract or arrange for an acceptable bond.
 - 6. The Contractor shall comply with pertinent provisions of RCW 49.17, "Washington Industrial Safety and Health Act," and Chapter 296-155 WAC, "Safety Standards for Construction Work."
 - 7. Pursuant to RCW 49.70, "Worker and Community Right to Know Act," and WAC 296-62-054 et seq., the Contractor shall provide to the Port and have copies available at the Project site, a workplace survey or material safety data sheets for all "hazardous" chemicals under the control or use of Contractor or any Subcontractor of any tier.
 - 8. All products and materials incorporated into the Project as part of the Work shall be certified as "asbestos-free" and "lead-free" by United States standards, and shall also be free of all hazardous materials or substances. At the completion of the Project, the Contractor shall submit certifications of asbestos-free and of lead-free materials certifying that all materials and products incorporated into the Work meet the requirements of this Section, and shall also certify that materials and products incorporated into the Work are free of hazardous materials and substances.

END OF SECTION

1.01 RELATED WORK DESCRIBED ELSEWHERE

A. The provisions and intent of the Contract, including the General and Supplemental Conditions apply to this work as if specified in this section. Work related to this section is described throughout these Specifications.

1.02 SUBMITTAL REQUIREMENTS

- A. Evidence of the required insurance within 10 days of the issued Notice of Award to the Contractor.
- B. Updated evidence of insurance as required until final completion.

1.03 CONTRACTOR LIABILITY INSURANCE

- A. The Contractor shall secure and maintain until Final Completion, at its sole cost and expense, the following insurance in carriers reasonably acceptable to the Port, licensed in the State of Washington, registered with the Washington State Insurance Commissioner, and possessing an A.M. Best rating of "A-, FSC (6)" or better.
- B. The Port of Tacoma (Port), and Tacoma Rail, City of Tacoma (Railway) will be included as an additional insured(s) for both ongoing and completed operations by endorsement to the policy using ISO Form CG 20 10 11 85 or forms CG 20 10 03 97 and CG 20 37 10 01 (or equivalent coverage endorsements). The inclusion of the Port as an additional insured(s) shall not create premium liability for the Port.

Also, by endorsement to the policy, there shall be:

- 1. An express waiver of subrogation in favor of the Port;
- 2. A cross liabilities clause,
- 3. An endorsement stating that the Contractor's policy is primary and not contributory with any insurance carried by the Port or the Railway.
- 4. The inclusion of the Port and the Railway as additional insureds shall not create premium liability for the Port.
- C. If the Contractor, Supplier or Subcontractor's will perform any work requiring the use of a licensed professional per RCW 18 the Contractor shall provide evidence to the Port of professional liability insurance in amounts not less than \$1,000,000.
- D. This insurance shall cover all of the Contractors' operations of whatever nature connected in any way with the Contract, including any operations performed by the Contractor's Subcontractors of any tier. It is the obligation of the Contractor to ensure that all Subcontractors (at whatever level) carry a similar program that provides the identified types of coverage, limits of liability, inclusion of the Port and the Railway as additional insured(s), waiver of subrogation and cross liabilities clause. The Port reserves the right to reject any insurance policy as to company, form, or substance. Contractor's failure to provide or the Port's acceptance of the Contractor's certificate of insurance does not waive the Contractor's obligation to comply with the insurance requirements of the Contract as specifically described below:
 - 1. Commercial General Liability Insurance on an Occurrence Form Basis including but not limited to:
 - a. Bodily Injury Liability;

- b. Property Damage Liability;
- c. Contractual Liability;
- d. Products Completed Operations Liability;
- e. Personal Injury Liability;
- f. By endorsement to the policy, not exclude work within fifty feet of any railroad track.
- 2. Comprehensive Automobile Liability including but not limited to:
 - a. Bodily Injury Liability;
 - b. Property Damage Liability;
 - c. Personal Injury Liability;
 - d. Owned and Non-Owned Automobile Liability; and
 - e. Hired and Borrowed Automobile Liability.
- 3. Railroad Protective Liability insurance naming the Port and Railway (Tacoma Rail) as Insureds with coverage of at least \$2,000,000 per occurrence and \$6,000,000 in the aggregate. The policy must be issued on a standard ISO form CG 00 35 10 93 and include the following:
 - a. Endorsed to include the Pollution Exclusion Amendment (ISO form CG 28 31 10 93)
 - b. Endorsed to include the Limited Seepage and Pollution Endorsement
 - c. Endorsed to include Evacuation Expense Coverage Endorsement
 - d. No other endorsements restricting coverage may be added
 - e. The original policy must be provided to the Port of Tacoma prior to execution of the contract; within 10 days of Notice of Award.
- 4. Contractor's Pollution Liability (CPL) covering claims for bodily injury, property damage and cleanup costs and environmental damages from pollution conditions arising from the performance of covered operations.
 - a. If the Work involves remediation or abatement of regulated waste to include but not limited to: asbestos containing materials, lead containing products, mercury, PCB, underground storage tanks or other hazardous materials or substances, the CPL policy shall not exclude such coverage or a specific policy covering such exposure shall be required from the Contractor and all Subcontractors performing such Work.
 - b. If the Work involves transporting regulated materials or substances or waste, a separate policy or endorsement to the CPL policy specifically providing coverage for liability and cleanup arising from an upset of collision during transportation of hazardous materials or substances shall be required from the Contractor and all Subcontractors performing such Work.
 - c. It is preferred that CPL insurance shall be on a true occurrence form without a sunset clause. However, if CPL insurance is provided on a Claims Made basis, the policy shall have a retroactive date prior to the start of this project and this insurance shall be kept in force for at least three years after the final completion of this project. Alternatively, the contractor at its option may provide evidence of extended reporting period of not less than three (3) years in its place. The Contractor shall be responsible for providing the Port with certificates of insurance each year evidencing this coverage.

- d. The Port and the Railway shall be named as an Additional Insured(s) on the CPL policy.
- E. Except where indicated above, the limits of all insurance required to be provided by the Contractor shall be not less than \$2,000,000 for each occurrence and \$2,000,000 in the aggregate. However, coverage in the amounts of these minimum limits shall not be construed as to relieve the Contractor from liability in excess of such limits. The Additional Insured endorsement shall NOT be limited to the amounts specified by this contract unless expressly waived in writing by the Port of Tacoma.
- F. Contractor shall certify that its operations are covered by the Washington State Worker's Compensation Fund. The Contractor shall provide its Account Number or, if self-insured, its Certificate of Qualification Number. The Contractor shall also provide evidence of Stop-Gap Employers' Liability Insurance (Part B) with limits of at least \$500,000 each accident, \$500,000 by disease policy limit, \$500,000 by disease each employee.
- G. Contractor is not allowed to self-insure without the prior written consent of the Port and Railway. If granted, any deductible, self-insured retention or other financial responsibility for claims must be covered directly by Contractor in lieu of insurance. Any and all Port and Railway liabilities that would otherwise, in accordance with the provisions of this Agreement, be covered by Contractor's insurance will be covered as if Contractor elected not to include a deductible, self-insured retention or other financial responsibility for claims. Such direct coverage by Contractor shall be in an amount equal to the amount of Contractor's actual deductible amount.
- H. The Contractor shall furnish within ten (10) days following issuance of the Notice of Award a certificate of insurance satisfactory to the Port evidencing that insurance in the types and minimum amounts required by the Contract Documents has been secured. The Certificate of Insurance shall be signed by an authorized representative of the insurer together with a copy of the endorsement, which shows that the Port is named as additional insured.
- I. Contractor shall provide at least forty-five (45) days prior written notice to the Port of any termination or material change or ten (10) days' notice in the case of non-payment of premium(s).
- J. If the Contractor is required to make corrections to the Work after Final Completion, the Contractor shall obtain at its own expense, prior to the commencement of any corrective work, insurance coverage as required by the Contract Documents, which coverage shall be maintained until the corrections to the Work have been completed and accepted by the Port.

1.04 BUILDER'S RISK INSURANCE

- A. Until Final Completion of the Work, the construction Work is at the risk of the Contractor and no partial payment shall constitute acceptance of the Work or relieve the Contractor of responsibility of completing the Work under the Contract.
- B. Whenever the estimated cost of the Work is less than \$25,000,000, the Port will purchase and maintain, in a company or companies lawfully authorized and admitted to do business in Washington, property insurance written on a builder's risk "all-risk" including Earthquake and Flood with applicable sub-limits, or equivalent policy form to cover the course of construction in the amount of the full insurable value thereof. This property insurance shall be maintained, unless otherwise provided in the Contract Documents or otherwise agreed in writing by all persons and entities who are beneficiaries of such insurance, until final payment has been made or until no person or entity other than the Port has an insurable interest in the property, whichever is later. This insurance shall include interests of the Port, the Contractor, and Subcontractors of any tier on the Project. There may be some differences between this Section and the builder's risk insurance secured by the Port; therefore, the Contractor shall provide an

"installation floater" or similar property coverage for materials not yet installed, whether stored on site or off site or in transit, and the Contractor shall obtain property coverage for all Contractor-owned equipment and tools-each loss may be subject to a deductible. Losses up to the deductible amount shall be the responsibility of the Contractor. All tools and equipment not intended as part of the construction or installation will be the sole responsibility of the Contractor.

PART 2 - PRODUCTS - NOT USED

PART 3 - PRODUCTS - NOT USED

END OF SECTION

1.01 PREVAILING AND OTHER REQUIRED WAGES

- A. The Contractor shall pay (and shall ensure that all Subcontractors of any tier pay) all prevailing wages and other wages (such as Davis-Bacon Act wages) applicable to the Project.
- B. Pursuant to RCW 39.12, "Prevailing Wages on Public Works," no worker, laborer, or mechanic employed in the performance of any part of the Work shall be paid less than the "prevailing rate of wage" in effect as of the date that bids are due.
 - 1. Based on the bid submittal deadline for this project, the applicable effective date for prevailing wages for this project is March 3rd, 2017.
- C. The State of Washington prevailing wage rates applicable for this public works project, which is located in Pierce County, may be found at the following website address of the Department of Labor and Industries:

https://fortress.wa.gov/lni/wagelookup/prvWagelookup.aspx

- D. The schedule of the prevailing wage rates is made a part of the Contract Documents by reference as though fully set forth herein; and a copy of the applicable prevailing wage rates are also available for viewing at the Port Administration Building, located at One Sitcum Plaza, Tacoma, WA 98421 (253-383-5841). Upon request to the Procurement Department at procurement@portoftacoma.com, the Port will email or mail a hard copy of the applicable Journey Level prevailing wages for this project.
- E. Questions relating to prevailing wage data should be addressed to the Industrial Statistician.

Mailing Address: Washington State Department of Labor and Industries

Prevailing Wage Office

P.O. Box 44540 Olympia, WA 98504

Telephone: (360) 902-5335

Facsimile: (360) 902-5300

If there is any discrepancy between the attached or provided schedule of prevailing wage
rates and the published rates applicable under WAC 296-127-011, or if no schedule is
attached, the applicable published rates shall apply with no increase in the Contract Sum.
It is the Contractor's responsibility to ensure that the correct prevailing wage rates are paid.

F. Statement to Pay Prevailing Wages

- 1. Prior to any payment being made by the Port under this Contract, the Contractor, and each Subcontractor of any tier, shall file a Statement of Intent to Pay Prevailing Wages under oath with the Port and certified by the Director of Labor and Industries.
- 2. The statement shall include the hourly wage rate to be paid to each classification of workers entitled to prevailing wages, which shall not be less than the prevailing rate of wage, and the estimated number of workers in each classification employed on the Project by the Contractor or a Subcontractor of any tier, as well as the Contractor's contractor registration number and other information required by the Director of Labor and Industries.

- The statement, and any supplemental statements, shall be filed in accordance with the requirements of the Department of Labor and Industries. No progress payment shall be made until the Port receives such certified statement.
- G. The Contractor shall post in a location readily visible to workers at the Project site (1) a copy of the Statement of Intent to Pay Prevailing Wages approved by the Industrial Statistician of the Department of Labor and Industries and (2) the address and telephone number of the Industrial Statistician of the Department of Labor and Industries to whom a complaint or inquiry concerning prevailing wages may be directed.
- H. If a State of Washington prevailing wage rate conflicts with another applicable wage rate (such as Davis-Bacon Act wage rate) for the same labor classification, the higher of the two shall govern.
- I. Pursuant to RCW 39.12.060, if any dispute arises concerning the appropriate prevailing wage rate for work of a similar nature, and the dispute cannot be adjusted by the parties in interest, including labor and management representatives, the matter shall be referred for arbitration to the Director of the Department of Labor and Industries, and his or her decision shall be final and conclusive and binding on all parties involved in the dispute.
- J. Immediately following the end of all work completed under this Contract, the Contractor, and each Subcontractor of any tier, shall file an approved Affidavit of Wages Paid with the L&I.
- K. The Contractor shall defend (at the Contractor's sole cost, with legal counsel approved by Port), indemnify and hold the Port harmless from all liabilities, obligations, claims, demands, damages, disbursements, lawsuits, losses, fines, penalties, costs and expenses, whether direct, indirect, including but not limited to attorneys' fees and consultants' fees and other costs and expenses, from any violation or alleged violation by the Contractor or any Subcontractor of any tier of RCW 39.12 ("Prevailing Wages on Public Works") or Chapter 51 RCW ("Industrial Insurance"), including but not limited to RCW 51.12.050.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 REQUIREMENTS APPLICABLE PORT-WIDE

- A. The Contractor shall submit prior to the start of work a list of emergency contact numbers for itself and subcontractors, suppliers and manufacturer representatives. Each person on the project site shall have a valid identification card that is tamper proof with laminated photo identification such as one of the following:
 - 1. State-issued Driver's license (also required if driving a vehicle)
 - 2. Card issued by a governmental agency
 - 3. Passport
 - 4. Identification card issued by the Port of Tacoma
 - 5. Pacific Maritime Association card, or
 - 6. Labor organization identification card
- B. Identification cards shall be visible while on the work site or easily displayed when requested.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 RELATED WORK SPECIFIED ELSEWHERE

A. The provisions and intent of the Contract, including the General Conditions and General Requirements, apply to this work as if specified in this section.

1.02 SCOPE

- A. The accompanying Drawings and Specifications show and describe the location and type of work to be performed under this project. Work is more specifically defined on the drawings listed in Section 00 01 15.
 - 1. The Work under this contract is to provide, furnish and install all labor, materials and equipment required to complete the work, installed, tested, and ready for use, and as described in these documents.
 - The Replacement of Railway Track and Special Trackwork at Various Locations generally consists of:
 - a. The removal and replacement of track and turnouts at the following locations
 - (a) The Transfer Yard
 - (i) Seven (7) turnouts at the north end of the Transfer Yard.
 - (ii) One (1) turnout at the south end of the Transfer Yard (the Wye turnout).
 - (b) Along the Bubble Tracks near the Port of Tacoma Road overpass
 - (i) One (1) turnout along Bubble Track 1.
 - (ii) One (1) turnout along Bubble Track 2.
 - b. The removal and replacement of the at-grade railroad crossing at the following locations:
 - (a) Along the SIM Bypass Track near the Lincoln Avenue overpass
 - (b) Along the Bubble 1 Track near the Port of Tacoma Road overpass
 - c. The removal and installation of track lubricators at various locations adjacent to the above mentioned improvements.
 - d. Surface, line, and dress of existing tracks at various locations adjacent to the above mentioned improvements.
 - 3. All work at the Lincoln Avenue at-grade railroad crossing and select work at the Bubble 1 Track is subject to the City of Tacoma Work Order permits which have been acquired by the Port for both locations. The work order permit drawings are included as reference drawings. The Contractor shall adhere to all restrictions of the Work Order permit as described in the Work Order drawings.

1.03 LOCATION

A. The work is located at various locations within: Port of Tacoma, 1 Sitcum Plaza, Tacoma, Washington.

1.04 PORT FURNISHED MATERIALS

- A. Port of Tacoma will furnish the Contractor with the following materials:
 - 1. Five (5) switch point guards for use within the Contractor provided turnout assembly.
 - 2. Reference Section 01 64 00 Owner Furnished Materials for coordination.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 SECTION INCLUDES

- A. This Section specifies work sequence and constraints.
- B. The purpose of the milestones, sequence and limitations of construction are to ensure that the Contractor understands the requirements and limitations on its work by the specific characteristics of the Contract, schedules and conducts work in a manner consistent with achieving these purposes, and complies with the construction schedule, the specific sequence, constraints, milestones and limitations of work specified.
- C. Sequence of construction: Plan the sequence of construction to accommodate all the requirements of the Drawings and Specifications. The Contract Price shall include all specified requirements as described in this Section.

1.02 CONTRACTOR ACCESS AND USE OF PREMISES

A. Activity Regulations

- 1. Ensure Contractor personnel deployed to the project become familiar with and follow all regulations or restrictions established by the Engineer.
- 2. There are no noise-related work hour restrictions associated with this location, although the Contractor shall comply with local ordinances with regard to noise and work hour restrictions. In the event the Contractor is planning to work outside typical work hours (Monday Friday, 0700 1700) the Contractor is to notify the Engineer at least three days in advance to arrange for necessary inspection and testing as may be necessary.

B. Work Site Regulations

- Keep within the limits of work and assigned avenues of ingress and egress. Do not enter any areas outside the designated work location unless previously approved by the Engineer. The Contractor must comply with the following conditions:
 - a. Restore all common areas to a clean and useable condition that permits the resumption of Tenant operations after the Contractor ceases daily work.
 - b. Be responsible for control and security of Contractor-owned equipment and materials at the work site. Report to Port Security (phone (253) 383-9472) any missing/lost/stolen property.
 - c. Ensure all materials, tools and equipment will be removed from the site or secured within the designated laydown area at the end of each shift.

1.03 CONSTRAINTS - GENERAL

A. Constraints for Work at Site

- Train operations shall have precedence over Contactor's work. Contractor's operations shall yield to train operations on adjacent tracks when working within 25 feet of the operating track.
- At the end of each track outage the track surface, alignment, runoff, gage and track structure shall meet 49 CFR Part 213 for Class 1 track and be accepted by the Port of Tacoma.
- 3. The Contractor shall have full responsibility for all rail safety requirements.

- All work in proximity of active tracks shall be performed in accordance with 49 CFR Part 214.
- 5. The Contractor shall have full responsibility for performing inspecting and providing inspection reports prior to putting new trackwork into operation.

1.04 RAIL COORDINATION

- A. Contractor shall coordinate all work in the vicinity of the existing track with the Engineer and Tacoma Rail. Additional coordination is required with Pacific Rail Services for all work at the Lincoln Avenue at-grade crossing and within the Transfer Yard.
- B. This project requires work in the vicinity of active railroad tracks. The Contractor shall adhere to all Tacoma Rail, Port of Tacoma and Federal safety codes, regulations and specifications for the duration of the project.
- C. Contractor shall protect active railroad tracks at all times, unless otherwise noted or allowed by the Engineer.
- D. Tacoma Rail and Pacific Rail Services reserve the right to operate trains on all active tracks (tracks without an approved outage as defined by the Drawings and Specifications) at all times, the Contractor shall yield to operating trains at all times.
- E. Rail Construction Sequencing Plans
 - Contractor shall submit and obtain approval of a detailed sequencing plan for rail
 construction within 30 calendar days of Contract Execution. No onsite track construction
 shall begin until the sequencing plan has been reviewed and approved by the Port.
 - 2. Rail Construction and Sequencing Plans shall include, at a minimum, the following:
 - a. Work area and duration for each area of construction.
 - b. Work hours that will be utilized during each area of work, including identification of planned weekend work and 24 hour work days.
 - c. Itemized list of railroad demolition and construction to be completed in each area of work.
 - d. Schedule and duration of track outages in each area of construction.
 - e. Description of temporary trackwork or special trackwork that will be constructed to minimize disruption of rail operations.
 - f. Procedures for Contractor safety when working adjacent to active railroad tracks in accordance with City, State, and Federal requirements, and compliance with 49 CFR Part 213.
 - g. Access routes in, out and through each work area.
 - The phasing and sequencing plans depicted in the Drawings is a suggested sequence with track outages generally acceptable to the Port, Tacoma Rail, and Pacific Rail Services. The Contractor may elect to propose a sequencing plan which differs from that shown in the Drawings.

F. Track Outages:

1. The Contractor shall schedule all work to minimize the time that any tracks and rail operations are out of service and minimize disruption to rail operations.

- 2. All track outages shall be requested by the Contractor a minimum of one week prior to beginning of outage. The Port, Tacoma Rail, and Pacific Rail Services will make reasonable effort to adjust planned rail operations to accommodate Contractor outage requests, and will notify Contractor of outages that can be accommodated. Contractor shall accommodate and implement reasonable changes to requested or planned rail construction sequence as required to maintain rail operations at all times.
- 3. Where hours or days of allowable track outage are noted, Contractor shall work 24 hour days, work weekends, and/or utilize multiple crews to meet the time restriction and allow rail operations to be resumed within the time allowed.
- G. Emergency access requirements of the 2012 International Fire Code, Washington State adopted fire code amendments, and Title 3, Chapter 3.02 of the Tacoma Municipal Code shall be followed throughout the duration of the project.
- H. The Contractor shall identify all work within 25 feet of an active track in its three week look ahead schedule.
- I. Seventy two (72) hours advance written request for track work window is required prior to beginning any work within 25 feet of the rail lines.
- J. Contractor shall coordinate its construction activities with the following Port, Pacific Rail Services, and Tacoma Rail contacts:
 - 1. Email:
 - a. railoperations@cityoftacoma.org
 - b. Pedro Reyes, Project Manager, preyes@portoftacoma.com
 - c. Mike Kisak, Port Inspector, mkisak@portoftacoma.com
 - d. Greg Grimes, Terminal Manager, Pacific Rail Services, greg.grimes@rmsintermodal.com
 - 2. Tacoma Rail Tower number: 253-502-8867

1.05 PERMITS

A. The Contractor is to comply with all conditions, provisions and requirements noted in all permits. See Section 01 41 00 Regulatory Requirements for list of all permits.

PART 2 - PRODUCTS

PART 3 - EXECUTION

END OF SECTION

1.01 RELATED WORK DESCRIBED ELSEWHERE

- A. The provisions and intent of the Contract, including the General and Supplemental Conditions apply to this work as if specified in this section. Work related to this section is described throughout these Specifications.
- B. Individual submittals are required in accordance with the pertinent sections of these Specifications

1.02 PAYMENT PROCEDURES

- A. Monthly pay estimates shall clearly identify the work performed for the given time period based on the approved Schedule of Values.
 - 1. At the Pre-construction meeting, the Engineer and the Contractor shall agree upon a date each month when payment applications shall be submitted.
- B. Prior to submitting a payment application, the Contractor and Engineer shall meet each month to review the work accomplished to determine the actual quantities including labor, materials and equipment charges to be billed.
 - Prior to the payment application meeting, the Contractor shall submit to the Engineer all measurement documentation as referenced in these contract documents; to include all measurement by weight, volume or field.
 - 2. For all change work being done on a force account basis, the Contractor shall submit prior to meeting with Engineer all Force Account back-up documentation as required to process the payment application where Force Account work is being billed. The Engineer and the Contractor shall review the documentation at the payment application meeting to verify quantities and review the work accomplished.
 - 3. The Contractor shall bring a copy of all documentation to the pay application meeting with the Engineer.
- C. Following the Engineers' review, the Contractor shall prepare an original pay estimate with complete supporting documentation attached and submit it electronically using Adobe PDF file format to cpinvoices@portoftacoma.com
- D. An estimated cashflow statement projecting the Contractor's monthly billings on the project shall be submitted with each payment application.

1.03 PAYMENT PRICING

- A. Pricing for the various lump sum or unit prices in the Bid Form, as further specified herein, shall include all compensation to be received by the Contractor for furnishing all tools, equipment, supplies, and manufactured articles, and for all labor, operations, and incidentals appurtenant to the items of work being described, as necessary to complete the various items of the work in accordance with the requirements of the Contract Documents.
- B. Pricing also includes all costs of compliance with the regulations of public agencies having jurisdiction, including safety and health requirements of the Occupational Safety and Health Administration of the U.S. Department of Labor (OSHA).
- C. No separate payment will be made for any item that is not specifically set forth in the Bid Form, and all costs therefore shall be included in the prices named in the Bid Form for the various appurtenant items of work.

- D. All other work not specifically mentioned in the measurement and payment sections identified below shall be considered incidental to the work performed and merged into the various unit and lump sum prices bid. Payment for work under one item will not be paid for under any other item.
- E. The Port of Tacoma reserves the right to make changes should unforeseen conditions necessitate such changes. Where work is on a unit price basis, the actual quantities occasioned by such changes shall govern the compensation.

1.04 LUMP-SUM MEASUREMENT

- A. Lump-sum measurement will be for the entire item, unit of Work, structure, or combination thereof, as specified and as indicated in the Contractor's submitted bid.
 - 1. If the Contractor requests progress payments for lump-sum items, such progress payments will be made in accordance with an approved schedule of values. The quantity for payment for completed work shall be an estimated percentage of the lump sum amount, agreed to between the Engineer and Contractor, payable in monthly progress payments in increments proportional to the work performed in amounts as agreed between the Engineer and the Contractor.

1.05 REJECTED, EXCESS, OR WASTED MATERIALS

A. Quantities of material wasted or disposed of in a manner not called for under the Contract; rejected loads of material, including material rejected after it has been placed by reasons of the failure of the Contractor to conform to the provisions of the Contract; material not unloaded from the transporting vehicle; material placed outside the lines indicated on the Contract Drawings or established by the Engineer; or material remaining on hand after completion of the Work, will not be paid for, and such quantities shall not be included in the final total quantities. No additional compensation will be permitted for loading, hauling, and disposing of rejected material.

1.06 MEASUREMENT AND PAYMENT

A. Item #1: WYE TURNOUT REPLACEMENT WORK

- 1. Item Description: The Work of this item includes all Work required to complete the WYE Turnout Replacement Work as included in the Contract Documents that is not specifically included in the other bid items described in this section. This includes but is not limited to mobilization and demobilization, field engineering, temporary erosion and sediment control (TESC), construction stormwater pollution control requirements, demolition, trackwork, special trackwork, off site soil disposal including loading and transporting, and railway flagging and protection.
- 2. Measurement: This item will be measured based on a percentage complete for the overall lump sum amount.
- Payment: This item will be paid for at the Contract lump sum price as specified in the Contractor's submitted bid, approved by the Engineer in accordance with the approved Schedule of Values.

B. Item #2:TRANSFER YARD TURNOUT REPLACEMENT WORK.

 Item Description: The Work of this item includes all Work required to complete the Transfer Yard Turnout Replacement work as included in the Contract Documents that is not specifically included in the other bid items described in this section. This includes but is not limited to mobilization and demobilization, field engineering, temporary erosion and sediment control (TESC), construction stormwater pollution control requirements,

- demolition, trackwork, special trackwork, off site soil disposal including loading and transporting, and railway flagging and protection.
- 2. Measurement: This item will be measured based on a percentage complete for the overall lump sum amount..
- Payment: This item will be paid for at the Contract lump sum price as specified in the Contractor's submitted bid, approved by the Engineer in accordance with the approved Schedule of Values.

C. Item #3: BUBBLE TRACK 1 REPLACEMENT WORK.

- 1. Item Description: The Work of this item includes all Work required to complete the Bubble Track 1 Replacement work as included in the Contract Documents that is not specifically included in the other bid items described in this section. This includes but is not limited to mobilization and demobilization, field engineering, temporary erosion and sediment control (TESC), construction stormwater pollution control requirements, demolition, trackwork, special trackwork, off site soil disposal including loading and transporting, asphalt, and railway flagging and protection.
- 2. Measurement: This item will be measured based on a percentage complete for the overall lump sum amount.
- 3. Payment: This item will be paid for at the Contract lump sum price as specified in the Contractor's submitted bid, approved by the Engineer in accordance with the approved Schedule of Values.

D. Item #4: BUBBLE TRACK 2 REPLACEMENT WORK.

- 1. Item Description: The Work of this item includes all Work required to complete the Bubble Track 2 Replacement work as included in the Contract Documents that is not specifically included in the other bid items described in this section. This includes but is not limited to mobilization and demobilization, field engineering, temporary erosion and sediment control (TESC), construction stormwater pollution control requirements, demolition, trackwork, special trackwork, off site soil disposal including loading and transporting, and railway flagging and protection.
- 2. Measurement: This item will be measured based on a percentage complete for the overall lump sum amount.
- 3. Payment: This item will be paid for at the Contract lump sum price as specified in the Contractor's submitted bid, approved by the Engineer in accordance with the approved Schedule of Values

E. Item #5: WORK ORDER - LINCOLN AVE OVERPASS REPLACEMENT WORK.

- 1. Item Description: The Work of this item includes all Work required to complete the Work Order Lincoln Ave Overpass Replacement Work (Tax Rule 171 Municipal Road/Street) as included in the Contract Documents that is not specifically included in the other bid items described in this section. This includes but is not limited to mobilization and demobilization, field engineering, temporary erosion and sediment control (TESC), construction stormwater pollution control requirements, demolition, trackwork, special trackwork, off site soil disposal including loading and transporting, asphalt, and railway flagging and protection.
- 2. Measurement: This item will be measured based on a percentage complete for the overall lump sum amount.

- 3. Payment: This item will be paid for at the Contract lump sum price as specified in the Contractor's submitted bid, approved by the Engineer in accordance with the approved Schedule of Values.
- F. Item #6: WORK ORDER BUBBLE TRACK 1 REPLACEMENT WORK.
 - 1. Item Description: The Work of this item includes all Work required to complete the Work Order Bubble Track 1 Replacement Work (Tax Rule 171 Municipal Road/Street) as included in the Contract Documents that is not specifically included in the other bid items described in this section. This includes but is not limited to mobilization and demobilization, field engineering, temporary erosion and sediment control (TESC), construction stormwater pollution control requirements, demolition, trackwork, special trackwork, off site soil disposal including loading and transporting, asphalt, and railway flagging and protection.
 - Measurement: This item will be measured based on a percentage complete for the overall lump sum amount.
 - Payment: This item will be paid for at the Contract lump sum price as specified in the Contractor's submitted bid, approved by the Engineer in accordance with the approved Schedule of Values.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXEUCTION - NOT USED

END OF SECTION

1.01 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General Conditions, Supplementary Conditions and Division 0 and 1 Specifications sections shall apply to all sections of the Contract Documents including specifications, drawings, addenda or other changes of documents issued for bidding/construction.

1.02 SUMMARY

A. Section includes administrative and procedural requirements for substitutions.

1.03 DEFINITIONS

- A. Substitutions: Changes in products, materials, equipment and methods of construction from those required by the Contract Documents and proposed by Contractor.
- B. The contract documents include performance specifications for products and equipment which meet project requirements. In those cases where a representative item or manufacturer is named in the specification it is provided for the sole purpose of identifying a product meeting the required functional performanceWhere the words "or equal" are used a substitution request as further described is not required.
- C. Where non-competitive or sole source products or manufacturers are explicitly specified with the words "or approved equal", or "Engineer approved equal", or "as approved by the Engineer" are used, they shall be taken to mean "or approved equal". In these cases a substitution request as further described in this section, is required.

1.04 SUBMITTALS

- A. Post-Award Substitution Requests: Submit a substitution request as defined in 01 33 00 Submittal Procedures. All substitution requests must be submitted by the Contractor and not a subcontractor or supplier.
 - Documentation: Show compliance with requirements for substitutions with the following, as applicable:
 - a. Statement indicating why specified product or fabrication or installation cannot be provided, if applicable.
 - b. Coordination information, including a list of changes or modifications needed to other parts of the Work that will be necessary to accommodate proposed substitution.
 - c. Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Include annotated copy of applicable specification section. Significant qualities may include, but are not limited to, attributes such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
 - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
 - e. Samples, where applicable or requested.
 - f. Certificates and qualification data, where applicable or requested.
 - g. List of similar installations for completed projects with project names, and addresses. Also provide names and addresses of the AE and Owners.

- h. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
- i. Research reports evidencing compliance with building code in effect for project
- j. Comparison of Contractor's construction schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
- k. Cost information, including a proposal of change, if any, in the Contract Sum.
- Contractor's certification that proposed substitution complies with requirements in the Contract Documents except as indicated in substitution request, is compatible with related materials, and is appropriate for applications indicated.
- m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
- Engineer's Action: If necessary, Engineer will request additional information or documentation for evaluation within 7 calendar days of receipt of a request for substitution. Engineer will notify Contractor through Port of acceptance or rejection of proposed substitution within 15 calendar days of receipt of request, or 7 calendar days of receipt of additional information or documentation, whichever is later.
 - a. Forms of Acceptance: Change Order or Minor Change in Work.
 - b. Use product originally specified if Engineer does not issue a decision on use of a proposed substitution within time allocated.

B. Substitutions will not be considered when:

- 1. Indicated or implied on shop drawings or product data submittals without formal request submitted in accordance with this Section.
- 2. Submittal for substitution request has not been reviewed and approved by Contractor.
- Acceptance will require substantial revision of Contract Documents or other items of the Work.
- 4. Submittal for substitution request does not include point-by-point comparison of proposed substitution with specified product.

1.05 QUALITY ASSURANCE

A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage qualified testing agency to perform compatibility tests recommended by manufacturers.

PART 2 - PRODUCTS

2.01 SUBSTITUTIONS

- A. Substitutions for Cause: Submit requests for substitution immediately upon discovery of need for change, but not later than 7 days prior to date required for preparation and review of related submittals.
 - 1. Conditions: Engineer will consider Contractor's request for substitution when the following conditions are satisfied:

- Requested substitution is consistent with the Contract Documents and will produce indicated results.
- b. Requested substitution will not adversely affect Contractor's construction schedule.
- Requested substitution has received necessary approvals of authorities having jurisdiction.
- d. Requested substitution is compatible with other portions of the Work
- e. Requested substitution has been coordinated with other portions of the Work
- f. Requested substitution provides specified warranty.
- g. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.
- B. Substitutions for Convenience: Engineer will consider Contractor's requests for substitution if received within 14 days after the Notice of Award.
 - Conditions: Engineer will consider Contractor's request for substitution when the following conditions are satisfied:
 - a. Requested substitution offers Port a substantial advantage in cost, time, energy conservation, or other considerations, after deducting additional responsibilities. Port must assume. Port's additional responsibilities may include compensation to Engineer for redesign and evaluation services, increased cost of other construction by Port, and similar considerations.
 - b. Requested substitution does not require extensive revisions to the Contract Documents.
 - Requested substitution is consistent with the Contract Documents and will produce indicated results.
 - d. Requested substitution will not adversely affect Contractor's construction schedule.
 - e. Requested substitution has received necessary approvals of authorities having jurisdiction.
 - f. Requested substitution is compatible with other portions of the Work.
 - g. Requested substitution has been coordinated with other portions of the Work.
 - h. Requested substitution provides specified warranty.
 - If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.02 SUMMARY

A. Section includes administrative and procedural requirements for handling and processing Contract modifications.

1.03 SUBMITTALS

- A. The Contractor shall submit the following documentation to the Port:
 - 1. List of Labor Rates
 - a. For the Contractor and each subcontractor, a list of labor rates for each trade applicable to the scope of work to be performed. These submitted rates shall be broken down to include the base wage, fringes, FICA, SUTA, FUTA, industrial insurance and medical aid premiums as stated in the General Conditions. The rates shall not contain any travel time, safety, loss efficiency factors, overhead or profit. Rates shall be submitted for straight time, overtime and double time in a form acceptable to the Engineer. Contractor shall provide proof of all labor rate costs as required by the Engineer including the submission of a copy of the most current Workers Compensation Rate Notice from Labor & Industries and a copy of the Unemployment Insurance Tax Rate notice from the Employment security department.
 - If labor rates change during the course of the project or additional labor rates become required to complete the work, the Contractor shall submit new rates for approval.
 - 2. List of Equipment.
 - a. Submit for the Contractor and each subcontractor, a list of equipment and rates applicable to the scope of work to be performed. The equipment rates shall conform to the rates shown on Equipment Watch. A separate page from equipment watch detailing the hourly rate shall be submitted as backup documentation for each piece of equipment.
 - If the list of equipment and/or equipment rates changes during the course of the project or additional equipment becomes required to complete the work, the Contractor shall submit a new list and rates for approval.
 - 3. No applications for payment or change orders will be processed for the Contractor until labor and equipment rates have been submitted and approved.

1.04 METHOD TO CALCULATE ADJUSTMENTS TO CONTRACT PRICE

- A. One of the following methods shall be used:
 - 1. Unit Price Method:
 - 2. Firm Fixed Price Method (Lump Sum); or,
 - 3. Time and Materials Method (Force Account).
- B. The Port preferred methods are firm fixed price or unit prices.

1.05 MINOR CHANGES IN THE WORK

A. Engineer will issue a written directive authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time.

1.06 PROPOSAL REQUESTS

- A. Port-Initiated Proposal Requests: The Engineer will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
 - 1. Work Change Proposal Requests issued by Engineer are not instructions either to stop work in progress or to execute the proposed change.
 - 2. Contractor shall submit a written proposal within the time specified in the General Conditions. The proposal shall represent the Contractor's offer to perform the requested work, and the pricing set forth within the proposal shall represent full, complete, and final compensation for the proposed change and any impacts to any other Contract Work, including any adjustments in the Contract Time.
 - Include a breakdown of the changed work in sufficient detail that permits the Engineer to substantiate the costs.
 - Generally, the cost breakdown should be divided into the time and materials categories listed in the General Conditions under Article 8.02B for either Lump Sum Proposals or Force Account Proposals.
 - 2) For Unit Price Proposals, include the quantity and description of all work involved in the unit pricing being proposed, along with a not to exceed total cost.
 - b. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
- B. Contractor-Initiated Proposals: If latent or differing site conditions require modifications to the Contract, the Contractor may initiate a claim by submitting a request for a change to the Engineer.
 - 1. Notify the Engineer immediately upon finding differing conditions prior to disturbing the site.
 - 2. Provide follow-up written notification and differing site conditions proposal within the time frames set forth in the General Conditions.
 - 3. Provide the differing site condition change proposal in the same or similar manner as described above under 1.04.A.
 - 4. Comply with requirements in Section 01 25 00 Substitution Procedures During Construction if the proposed change requires substitution of one product or system for product or system specified.
 - 5. Proposal Request Form: Use form acceptable to Engineer.

1.07 PROCEEDING WITH CHANGED WORK

A. The Engineer may issue a directive instructing the Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order per the General Conditions, Article 8.01.E.

1. The directive will contain a description of change in the Work and a not-to exceed amount. It will designate the method to be followed to determine the change in the Contract Sum or the Contract Time.

1.08 CHANGE ORDER PROCEDURES

- A. Issuance of Change Order
 - 1. On approval of the Contractor's proposal, and following successful negotiations, the Engineer will issue a Change Order for signature by the Contractor and execution by the Engineer.
 - a. The Contractor shall sign and return the Change Order to the Engineer within **four (4) days** following receipt of the Change Order from the Engineer. If the Contractor fails to return the signed Change Order within the allotted time, the Engineer may issue a Unilateral Change Directive.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 SUMMARY

- A. This section includes specifications for preparation, format, and submittal of Schedule of Values.
- B. The Schedule of Values will establish unit prices for individual items of work.
- C. The Schedule of Values will be the basis for payment of contract work.

1.02 PREPARATION

- A. To facilitate monthly pay requests, develop the Schedule of Values based on the Contractor's submitted Bid. The schedule of Values shall be used to provide an allocation of the Work for measurement and payment to a level of detail to ensure accurate payment for the Work accomplished.
- B. Obtain the agreement of the Engineer on the Schedule of Values. No payment will be made prior to an agreed upon Schedule of Values.
- C. Include an updated version of the Schedule of Values as changes occur. Update the Schedule of Values to include:
 - 1. Dollars earned and percent complete for the current progress payment period.
 - 2. Dollars earned and percent complete to-date, excluding the current progress payment period.
 - 3. Total dollars earned and percent complete to-date.
 - 4. Total dollars remaining
 - Changes resulting from Change Orders
- D. The total value of the line items in the Schedule of Values plus any approved Change Orders shall be equal to the current approved contract price.
- E. The value of stored material shall be identified in the Schedule of Values with both a material-purchase activity and a separate corresponding installation activity in the Construction Schedule(s).
- F. Include as exhibits, drawings or sketches as necessary, to better define the limits of pay items that are in close proximity and that have no clear boundary in the Contract Drawings.

1.03 SUBMITTAL

- A. Submit preliminary Schedule of Values within 10 days of the effective date of the Notice to Proceed.
- B. Submit corrected Schedule of Values within 10 days upon receipt of reviewed Schedule of Values.
- C. At the Engineer's request, submit documentation substantiating the cost allocations for line items within the Schedule of Values.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION

3.01 SCHEDULE OF VALUES

A. Submit the Schedule of Values in a form acceptable to the Engineer.

B. Provide updated Schedule of Values as required by the Engineer and as indicated in the Contract Documents.

END OF SECTION

1.01 SCOPE

A. The purpose of this section is to provide the framework for communication between the Port and the Contractor by defining the types and timing of administrative tasks including meetings and other items related to communications.

1.02 NOTICE TO PROCEED

- A. Contract execution will be made per the requirements of the Contract Documents. Once the contract has been executed and all pre-work submittals have been received, the Engineer will issue a Notice to Proceed (NTP).
 - In certain instances, the Engineer may issue to the Contractor a Limited NTP for specified elements of the work described in these Contract Documents.
- B. The Contractor shall submit all pre-work submittals within 14 days of contract execution.
 - 1. A list of all pre-work submittals required for NTP is attached to this section.
 - 2. No contract time extension shall be granted for any delays in issuance of the NTP by the Engineer due to the Contractor's failure to provide acceptable submittals required by the Contract Documents.

1.03 SUBMITTALS

- A. List of Pre-Work Submittals that must be approved prior to issuance of a Limited Notice to Proceed
 - 1. List of Contractor and Subcontrator Personnel
 - 2. List of Emergency Contacts
 - 3. Submittal Log
 - 4. Construction Health an Safety Plan (HASP)
 - 5. Spill Prevention, Control and Countermeasures (SPCC)
 - 6. Construction Stormwater Pollution Prevention Plan (SWPPP)
 - 7. Demolition Mangement Plan
 - 8. Schedule of Values
- B. Submittals that must be submitted for Notice to Proceed
 - 1. Export Soil Management Plan
 - 2. Waste Management Plan
 - 3. Detailed Project Schedule but within 21 days of contract award
 - 4. Rail Construction Sequencing Plan

1.04 COORDINATION

- A. The Contractor shall coordinate all its activities through the Engineer.
- B. The Contractor shall coordinate construction operations as required to execute the Work efficiently, to obtain the best results where installation of one part of the Work depends on other potions.

1.05 PROJECT MEETINGS

- A. Pre-Construction Meeting
 - After execution of the contract but prior to commencement of any work at the site, a
 mandatory one time meeting will be scheduled by the Engineer to discuss and develop a
 mutual understanding relative to the administration of the safety program, preparation of
 the schedule of values, change orders, RFI's, submittals, scheduling prosecution of the
 work. Major subcontractors who will engage in the work shall attend.
 - 2. Location of the Pre-Construction Meeting will be held at the Port of Tacoma Administration Building located at One Sitcum Plaza.
- B. Weekly Progress Meetings Progress meetings include the Contractor, Engineer, consultants and others affected by decisions made.
 - 1. The Engineer will arrange meetings, prepare standard agenda with copies for participants, preside at meetings, record minutes and distribute copies within ten working days to the Contractor, meeting participants, and others affected by decisions made.
 - a. The Engineer will approve submitted meeting minutes in writing within 10 working days.
 - 2. Attendance is required for the Contractor's job superintendent, major subcontractors and suppliers, Engineer, and representatives of the Port as appropriate to the agenda topics for each meeting.
 - 3. Standard Agenda
 - Review minutes of previous meeting.
 - b. Review of work progress.
 - c. Field observations, problems, and decisions.
 - d. Identification of problems that impede planned progress.
 - e. Maintenance of Progress Schedule (3 weeks ahead; 1 week back).
 - f. Corrective measures to regain projected schedules.
 - g. Planned progress during succeeding work period.
 - h. Coordination of projected progress.
 - i. Maintenance of quality and work standards.
 - j. Effect of proposed changes on progress schedule and coordination.
 - k. Demonstration that the project record drawings are up-to-date.
 - I. Other business relating to the work.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.1 DESCRIPTION

- A. The Port and Contractor shall use the Port Contract Management application (eBuilder®) for electronic information exchange throughout the duration of the Contract as later described.
- B. eBuilder is a web-based application accessed through a web portal.
 - 1. The Contractor will receive up to two separate user accounts for access to eBuilder®.
- C. The joint use of this system is to facilitate and coordinate the electronic exchange of Requests for Information, Submittals, Change Order Proposals, Pay Estimates and project specific correspondence.

1.2 USER ACCESS LIMITATIONS

- A. Contractor's access to eBuilder® is granted and controlled by the Engineer.
 - The users assigned by the Contractor to use eBuilder shall be competent and experienced
 with the practices commonly employed in the industry for electronically submitting requests
 for information, submittals, product data, shop drawings and related items as required by
 the contract and the methods commonly used for project correspondence transmission and
 filing.
 - 2. Any users assigned by the Contractor whom the Engineer determines is incapable of performing the prescribed tasks in an accurate, competent and efficient manner will be removed upon request from the Engineer. The qualifications and identity of a replacement user shall be submitted within 24 hours for consideration by the Engineer. Once accepted by the Engineer, the user account will be modified accordingly.

1.3 CONTRACTOR COMPUTER HARDWARE REQUIREMENTS

- A. The Contractor is responsible for providing and maintaining the following:
 - 1. Hardware and integrated software capable of running one of the following personal computer operating systems; Microsoft Windows XP SP3 (or newer) or Mac OS X.

1.4 CONTRACTOR COMPUTER SOFTWARE REQUIREMENTS

- A. The Contractor is responsible for providing and maintaining the following:
 - 1. A personal computer OS such as Microsoft Windows XP SP3 (or newer) or Mac OS X.
 - 2. A web browser such as Internet Explorer[™] 8.0 11.0, Google Chrome[™] v29 (32 bit), Mozilla Firefox[™] 35.0.1, Safari[™] for Mac v6.0.4, Safari for iOS[™] mobile v6.1 for Windows XP, SP3+ and Mac OS X for gaining access to eBuilder®.
 - 3. An office suite that is Microsoft Office 2010 compatible for generation and manipulation of correspondence.
 - 4. A program capable of editing, annotating and manipulating Adobe pdf files for inserting the Contractor's review stamp, clouding and adding notation to the files as necessary for review by the Engineer.
 - 5. IT support capable of making, maintaining and troubleshooting connection to eBuilder.

1.5 CONTRACTOR RESPONSIBILITY

A. Provide all the equipment, internet connections, software, personnel and expertise required to support the use of eBuilder as described in the Contract documents.

1.6 PORT RESPONSIBILITY

- A. Provide the Contractor with all forms necessary for application to obtain permissions to access eBuilder® as described above.
- B. Provide information, basic user guides and requirements on methods for using eBuilder®.
- C. Provide training for the Contractor's staff utilizing eBuilder.
- D. Provide the Contractor with up to two (2) user accounts to access eBuilder.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION

3.1 UTILIZATION OF EBUILDER®

- A. The Contractor shall provide required information in a timely manner that also supports the project schedule and meets the requirements of the Contract.
- B. The Contractor shall provide and maintain competent and qualified personnel to perform the various tasks required to support the work within eBuilder®.
- C. The Port will not be liable for any delays associated from the usage of eBuilder® including, but not limited to: slow response time, Port maintenance and off-line periods, connectivity problems or loss of information. Under no circumstances shall the usage of eBuilder® software be grounds for a time extension or cost adjustment to the contract.

END OF SECTION

1.01 RELATED WORK DESCRIBED ELSEWHERE

- A. The provisions and intent of the Contract, including the General Conditions apply to this work as if specified in this section. Work related to this section is described throughout these Specifications
- B. Individual submittals required in accordance with the pertinent sections of these specifications. Other submittals may be required during the course of the project and are considered part of the normal work to be completed under the Contract.

1.02 SUBMITTAL LOG

- A. Contractor shall, within 14 days of Contract Execution prepare and submit for Engineer approval a detailed log of all the submittals required under this Contract, along with any other submittals identified by the Port or Contractor. The log shall include, but not be limited to, schedules, required construction work plans, equipment and material cut sheets, shop drawings, project record documents, test results, survey records, record drawings, results of QC testing, and all other items for which a submittal is required. The submittal log shall be organized by CSI Specification Division, and Section number and include the following information:
 - 1. Submittal Number
 - 2. Item identification.
 - 3. Scheduled submittal date, date returned, date approved.
 - 4. Date submittal or material is needed.
 - 5. After the submittal log is reviewed and approved by the Engineer, it shall become the basis for the submittal of all items by Contractor.

1.03 COMPLIANCE

A. Failure to comply with these requirements shall be deemed as the Contractor's agreement to furnish the exact materials specified or materials selected by the Engineer based on these specifications.

1.04 SHOP DRAWINGS AND MANUFACTURERS' LITERATURE

- A. The Port will not accept shop drawings that prohibit the Port from making copies for its own use.
- B. Shop drawings shall be prepared accurately and to a scale sufficiently large to indicate all pertinent features of the products and the method of fabrication, connection, erection, or assembly with respect to the work.
- C. All drawings submitted to the Engineer for approval shall be drawn to scale as ANSI D
- D. Required electronic formats for these drawings are as follows:
 - AutoCad DWG
 - 2. PDF Formatted to print to half-scale using 11x17 paper.
- E. Catalog cuts or brochures shall show the type, size, ratings, style, color, manufacturer, and catalog number of each item and be complete enough to provide for positive and rapid identification in the field. General catalogs or partial lists will not be accepted. Manufacturers' original electronic files are required for submitting.

1.05 SUBMITTAL REVIEW

- A. After review of each of Contractor's submittals, the submittal will be returned to Contractor with a form indicating one or more of the following:
 - 1. No Exceptions Taken Means, accepted subject to its compatibility with future submittals and additional partial submittals for portions of the work not covered in this submittal. But it does not constitute approval or deletion of specified or required items not shown in the partial submittal.
 - Make Corrections Noted Same as Item 1, except that minor corrections as noted shall be made by Contractor.
 - 3. Reviewed Submittal has been reviewed by the port. Does not constitute approval and The Contractor is responsible for requirements in submittal.
 - 4. Review as Noted Submittal has to be reviewed by the Port with comments as noted.
 - Revise and Resubmit Means, rejected because of major inconsistencies or errors.
 Resolve or correct before next submittal.

6.

- B. Submittals marked "No Exceptions Taken", "Make Corrections Noted" or "Reviewed as Noted" authorizes Contractor to proceed with construction covered by those data sheets or shop drawings with corrections, if any, incorporated.
- C. When submittals or prints of shop drawings have been marked "Revise and Resubmit" or "Rejected-," Contractor shall make the necessary corrections and submit required copies. Every revision shall be shown by number, date, and subject in a revision block, and each revised shop drawing shall have its latest revision numbers and items clearly indicated by clouding around the revised areas on the shop drawing.
- D. Submittals authorized by the Engineer do not in any case supersede the Contract Documents. The approval by the Engineer shall not relieve the Contractor from responsibility to conform to the Drawings or Specifications, or correct details when in error, or ensure the proper fit of parts when installed. A favorable review by the Port of shop drawings, method of work, or information regarding material and equipment Contractor proposes to furnish shall not relieve Contractor of its responsibility for errors therein and shall not be regarded as assumption of risk or liability by the Port or its officers, employees, or representatives. Contractor shall have no claim under the Contract on account of failure or partial failure, or inefficiency or insufficiency of any plan or method of work, or material and equipment so accepted. Favorable review means that the Port has no objection to Contractor using, upon its own full responsibility, the plan or method of work proposed, or furnishing the material and equipment proposed.
- E. It is considered reasonable that the Contractor's submittals shall be complete and acceptable by at least the second submission of each submittal. The Port reserves the right to deduct monies from payments due Contractor to cover additional costs for review beyond the second submission.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION

3.01 PREPARATION OF SUBMITTALS

A. The Contractor shall use the eBuilder software to submit all shop drawings, catalog cuts, brochures including samples which must be hand-delivered. Notes, clouding, arrows or other post document generation notations must be applied directly into the electronic file using

software designed for that purpose. Each submittal shall be accompanied by a transmittal developed within the eBuilder software.

- B. A separate submittal shall be prepared for each product or procedure and shall be further identified by referencing the Specification Section and paragraph number and each submittal shall be numbered consecutively. An example of the numbering protocol is given here for an Electrical Submittal "26 05 33-001 PVC Schedule 80 Conduit". If something is rejected and needs resubmitted it gets resubmitted with the same number adding an R for revised or .1, but the submittal number stays the same ALWAYS.
- C. Product submittals that cannot be accomplished electronically shall be accompanied by a printed version of the transmittal developed within eBuilder. These submittals will be hand delivered to the Port offices at One Sitcum Plaza, Attention: Engineering Department.
- D. Shop and detail drawings shall be submitted in related packages. All equipment or material details which are interdependent or are related in any way must be submitted indicating the complete installation. Submittals shall not be altered once marked "No Exceptions Taken" Revisions shall be clearly marked and dated. Major revisions must be submitted for approval.
- E. The Contractor shall thoroughly review all shop and detail drawings, prior to submittal, to assure coordination with other parts of the work.
- F. Components or materials which require shop drawings and which arrive at the job site prior to approval of shop drawings shall be considered as not being made for this project and shall be subject to rejection and removal from the premises.
- G. All submittal packages including (but not limited to) product data sheets, mix designs, shop drawings and other required information for submittal must be submitted, reviewed and approved before the relevant scheduled task may commence. It is the responsibility of the Contractor to provide the submittal information which may drive a task on the construction schedule to submit items well enough in advance as to provide adequate time for review and comment from the Engineer without adversely impacting the construction schedule.
- H. When completing the eBuilder submittal form, a Date Due field is required to be completed. This field is intended to inform the Port of the urgency of the submittal. Failure of the Port to return the submittal by the date provided by the Contractor will not be considered grounds for a contract time extension.

3.02 MAINTENANCE OF SUBMITTAL LOG

A. Prepare and submit for Port review a detailed submittal log conforming to the requirements of paragraph 1.02 of this section. When approved by the Engineer use the submittal log to track the transmittal of submittals to the Engineer, the receipt of submittal comments from the Engineer, and all subsequent action with respect to each submittal. Provide an updated copy of the submittal log to the Engineer during each weekly progress meeting, unless otherwise approved by the Engineer.

END OF SECTION

1.01 DESCRIPTION OF WORK

- A. The work includes the requirements for health and safety provisions necessary for all work at the site for this project. The work also includes compliance with all laws, regulations and ordinances with respect to safety, noise, dust, fire and police action, civil disobedience, security or traffic.
- B. Some of the work tasks may place workers in the potential position of coming into contact with regulated building materials, waste, or environmental media. Detailed information regarding the known nature and extent of refuse and regulated materials in the project area is included in Section 00 31 26 Existing Hazardous Material Information.
- C. The Contractor shall monitor site conditions for indications of identified and other potentially hazardous, dangerous, and/or regulated materials (suspicious material). Indicators of suspicious material include, but are not limited to, refuse, oily sheen or coloring on soil or water, or oily or chemical odors. If suspicious materials are encountered, the Contractor shall stop all work in that area and notify the Engineer immediately.
- D. The Contractor is alerted to the presence of odorous conditions during excavation and stockpiling of materials due to hydrogen sulfide and possibly other odorous gases. Section 00 31 26 Existing Hazardous Material Information describes odorous conditions encountered during site investigations. The Contractor shall take appropriate health and safety measures to assess concentrations of these gases, and mitigate as required. Mitigation measures shall include the use of personal protective equipment, if required.

1.02 SUBMITTALS

- A. Prior to the start of any Work, the Contractor shall provide a site specific Health and Safety Plan (HASP), which meets all the requirements of local, state and federal laws, rules and regulations. The HASP shall address all requirements for general health and safety and shall include but not be limited to:
 - 1. Description of work to be performed and anticipated chemical and/or physical hazards associated with the work.
 - 2. Map of the site(s) illustrating the location of the anticipated hazards and areas of control for those hazards (including containments, exclusion/work zones, and contaminant reduction/decontamination zones).
 - Hazardous material inventory and safety data sheets (SDSs) for all chemicals which will be brought on site.
 - 4. Signage appropriate to warn site personnel and visitors of anticipated site hazards.
 - 5. Documentation that the necessary workers have completed the required Hazardous Waste Operations and Emergency Response (HAZWOPER) training.
 - 6. Engineering controls/equipment to be used to protect against anticipated hazards.
 - 7. Personal protective equipment and clothing including head, foot, skin, eye, and respiratory protection.
 - 8. Procedures which will be used for:
 - a. Lockout/Tagout;
 - b. Suspicious materials and/or unidentified materials:

- c. Odorous conditions and toxic gases.
- 9. Site housekeeping procedures and personal hygiene practices.
- 10. Personnel and equipment decontamination plan.
- 11. Railroad safety procedures.
- 12. Administrative controls.
- 13. Emergency plan including locations of and route to nearest hospital.
- Medical surveillance program for site personnel before, during, and after completion of site work.
- 15. Recordkeeping including:
 - a. Documentation of appropriate employee training (e.g., Hazardous Waste Operations and Emergency Response [HAZWOPER] 40-hour training for staff involved with excavation and handling of soil)
- 16. Name and qualification of person preparing the HASP and person designated to implement and enforce the HASP.
- 17. Name and qualifications for Certified Safety Professional (CSP) or Certified Industrial Hygienist (CIH) and a copy of the CIH's or CSP's certification and resume.
- 18. Excavation, stockpiling, and truck loading procedures.
- 19. Lighting and sanitation.
- 20. Signatory page for site personnel to acknowledge receipt, understanding, and agreement to comply with the HASP.
- B. Prior to the start of any Work, the Contractor shall provide a site specific Spill Prevention, Control and Countermeasures (SPCC) Plan, which meets all the requirements of local, state and federal laws, rules and regulations.
- C. Contractor may submit the HASP and SPCC Plan as one comprehensive document or may submit the plans as separate documents.

1.03 POTENTIAL CHEMICAL HAZARDS

A. Site Contaminants

- 1. The Contractor must provide site workers with Hazard Communication standard information for potential site contaminants (in accordance with WAC 296-843). The Contractor shall ensure that all site workers are aware of and understand this information. Additional information shall also be provided by the Contractor, as necessary, to meet the Hazard Communication Standard and HASP requirements as noted in WAC 296-901-14010 and 296-843. Workers shall be instructed on basic methods or techniques to assist in detecting suspicious material.
- 2. The Project soils, in many areas, contain greater than 20 ppm of inorganic arsenic and the Contractor shall comply with all applicable requirements of Washington Department of Labor and Industries Division of Occupational Safety and Health (DOSH) Arsenic Standard, WAC 296-848 including but not limited to personal exposure monitoring, use of respirators and PPE, and worker training. Refer to WAC 296-848-100 Table 1 to determine applicable sections. Arsenic remnant soils are present throughout the site.
- B. Potential Exposures Routes

- 1. Inhalation: Airborne dusts, fibers, particulates, or vapors may be released during site activities. Inhalation of airborne inorganic arsenic may occur.
- Skin and Eye Contact: Dusts generated during site work activities may settle on the skin or clothing of site workers. Also, workers may contact potentially regulated sediments, or water, in the normal course of their work. Precautions to prevent skin or eye contact with hazardous materials will be included in the HASP. Arsenic exposure may cause skin irritation.
- Ingestion: Inadvertent transfer of site contaminants from hands or other objects to the
 mouth could occur if site workers eat, drink, smoke, chew tobacco, or engage in similar
 activities in work areas. This could result in ingestion of site contaminants. Precautions to
 prevent accidental or inadvertent ingestion of hazardous materials will be included in the
 HASP.
- C. Chemical hazards may also result from Contractor operations resulting in inadvertent release of fuel, oil, or other chemicals in a manner that would expose workers.

1.04 POTENTIAL PHYSICAL AND OTHER HAZARDS

- A. The Work of the Contractor is described elsewhere in these specifications. Precautions to prevent all anticipated physical and other hazards, including heavy equipment and vessels, shall be addressed in the HASP.
- B. Specific aspects of construction resulting in physical hazards anticipated for this project include, but are not limited to the following:
 - 1. Work over or adjacent to water, presenting hazards of falling into water, hypothermia from exposure to the elements, and drowning.
 - 2. Operation of marine equipment, including winches, dredges, and related equipment, entrapment, ensnarement, and being struck by moving parts hazards
 - 3. Completion of diver surveys with specific health and safety elements.
 - 4. Major hazards associated with earthwork impacts from moving construction vehicles and trucks, noise, thermal stress, contact with unguarded machines, excavation hazards (i.e., cave-in, utility, etc.), strains from heavy lifting, and reduced visibility and communications difficulties in work area.
 - 5. Operation of equipment, including excavators, loaders, and related equipment, presenting hazards of entrapment, ensnarement, and being struck by moving parts.

C. Other anticipated physical hazards:

- 1. Heat stress, such as that potentially caused by impermeable clothing (may reduce the cooling ability of the body due to evaporation reduction).
- 2. Cold stress, such as that potentially caused during times when temperatures are low, winds are high, especially when precipitation occurs during these conditions.
- 3. Biological hazards, such as mold, insect stings, or bites, poisonous plants (i.e., poison oak, sumac, etc.).
- 4. Trips and falls

PART 2 - PRODUCTS

2.01 SAFETY SIGNAGE

A. The Contractor shall provide signage at strategic locations within the project site to alert jobsite workers and visitors of the remediation work, associated hazards, and required precautions.

2.02 PRODUCTS SPECIFIED FOR HEALTH AND SAFETY

- A. Provide the equipment and supplies necessary to support the work as described in the sitespecific HASP. Equipment and supplies may include but are not limited to:
 - 1. All chemicals to be used on site;
 - 2. A hazardous materials inventory and SDSs for the chemicals brought on site;
 - 3. Fencing and barriers;
 - Warning signs and labels;
 - 5. Trenching equipment;
 - 6. Fire extinguishers;
 - 7. Equipment to support lockout/tagout procedures;
 - 8. Personal protective equipment (hard hats, foot gear, skin, eye, and respiratory protection);
 - 9. Demolition equipment and supplies;
 - 10. Decontamination equipment and supplies;
 - 11. First aid equipment;
 - 12. Spill response and spill prevention equipment; and
 - Field documentation logs/supplies

PART 3 - EXECUTION

3.01 WORK AREA PREPARATION

- A. Contractor shall comply with health and safety rules, regulations, ordinances promulgated by the local, state, and federal government, the various construction permits, and other sections of the Contract Documents. Such compliance shall include, but not be specifically limited to: any and all protective devices, equipment and clothing; guards; restraints; locks; latches; switches; and other safety provisions that may be required or necessitated by state and federal safety regulations. The Contractor shall determine the specific requirements for safety provisions and shall have inspections and reports by the appropriate safety authorities to be conducted to ensure compliance with the intent of the regulations.
- B. Contractor shall inform employees, subcontractors and their employees of the potential danger in working with any potentially regulated materials, equipment, soils and groundwater at the project site.
 - 1. The Contractor shall not proceed with jobsite activities that might result in exposure of employees to hazardous materials, including arsenic, until the HASP is reviewed by the Engineer.
- C. All Contractor employees expected to work at the jobsite or individuals entering the jobsite shall read the Contractor HASP before they enter the jobsite, and will sign a statement provided by the Contractor that they have read and understand the HASP. A copy of the Contractor's HASP shall be readily available at the site at all times the work is being performed.

- D. The Contractor's HASP shall be amended as needed by the CIH or CSP to include special work practices warranted by jobsite conditions actually encountered. Special practices could include provisions for decontamination of personnel and equipment, and the use of special equipment not covered in the initial plan.
- E. Contractor shall perform whatever work is necessary for safety and be solely and completely responsible for conditions of the job site, including safety of all persons (including employees of the Engineer, Engineer's Representative, and Contractor) and property during the Contract period. This requirement applies continuously and is not limited to normal working hours.
- F. The Engineer's review of the Contractor's performance does not include an opinion regarding the adequacy of, or approval of, the Contractor's safety supervisor, the site-specific HASP, safety program or safety measures taken in, on, or near the job site.
- G. Accidents causing death, injury, or damage must be reported immediately to the Engineer and the Port Security Department in person or by telephone or messenger. In addition, promptly report in writing to the Engineer all accidents whatsoever arising out of, or in connection with, the performance of the work whether on, or adjacent to, the site, giving full details and statements of witnesses.
- H. If a claim is made by anyone against the Contractor or any subcontractor on account of any accident, the Contractor shall promptly report the facts in writing within 24 hours after occurrence, to the Engineer, giving full details of the claim.

3.02 SITE SAFETY AND HEALTH OFFICER

- A. Contractor shall provide a person designated as the Site Safety and Health Officer, who is thoroughly trained in rescue procedures, has a minimum current 40-hour HAZWOPER certification (minimum), and trained to use all necessary safety equipment, air monitoring equipment, and gas detectors. The person must be available and/or present at all times while work is being performed, and conduct testing, as necessary.
- B. The Site Safety and Health Officer shall be empowered with the delegated authority to order any person or worker on the project site to follow the safety rules. Failure to observe these rules is sufficient cause for removal of the person or worker(s) from this project.
- C. The Site Safety and Health Officer is responsible for determining the extent to which any safety equipment must be utilized, depending on conditions encountered at the site.

3.03 SPILL PREVENTION AND CONTROL

- A. The Contractor shall be responsible for prevention, containment and cleanup of spilling petroleum and other chemicals/hazardous materials used in the Contractor's operations. All such prevention, containment and cleanup costs shall be borne by the Contractor.
- B. The Contractor is advised that discharge of oil, fuel, other petroleum, or any chemicals/hazardous materials from equipment or facilities into state waters or onto adjacent land is not permitted under state water quality regulations.
- C. In the event of a discharge of oil, fuel or chemicals/hazardous materials into waters, or onto land with a potential for entry into waters, containment and cleanup efforts shall begin immediately and be completed as soon as possible, taking precedence over normal work. Cleanup shall include proper disposal of all spilled material and used cleanup materials.
- D. The Contractor shall, at a minimum, take the following measures regarding spill prevention, containment and cleanup.

- Fuel hoses, lubrication equipment, hydraulically operated equipment, oil drums and other
 equipment and facilities shall be inspected regularly for drips, leaks or signs of damage,
 and shall be maintained and stored properly to prevent spills. Proper security shall be
 maintained to discourage vandalism.
- 2. All land-based chemical, oil and products' storage tanks shall be diked, contained and/or located so as to prevent spills from escaping into the water. Dikes and containment area surfaces shall be lined with impervious material to prevent chemicals or oil from seeping through the ground and dikes.
- 3. All visible floating sheen shall be immediately contained with booms, dikes or other appropriate means and removed from the water prior to discharge into state waters. All visible spills on land shall be immediately contained using dikes, straw bales or other appropriate means and removed using sand, sawdust or other absorbent material, which shall be properly disposed of by the Contractor. Waste materials shall be temporarily stored in drums or other leak-proof containers after cleanup and during transport to disposal. Waste materials shall be disposed offsite in accordance with applicable local, state and federal regulations.
- 4. In the event of any oil or product discharges into public waters, or onto land with a potential for entry into public waters, the Contractor shall immediately notify the Port Security at their listed 24-hour response number:
 - a. Port Security: 253-383-9472
- E. The Contractor shall maintain the following materials (as a minimum) at each of the project sites:
 - 1. Oil-absorbent booms: 100 feet.
 - 2. Oil-absorbent pads or bulk material, adequate for coverage of 200 square feet of surface area.
 - 3. Oil-skimming system.
 - 4. Oil dry-all, gloves and plastic bags.

END OF SECTION

1.01 SUMMARY

A. This Section discloses procedures to follow if unknown regulated materials are encountered.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. The provisions and intent of the Contract, including the General Conditions, Supplementary Conditions, and General Requirements, apply to this work as specified in this section. Work related to this Section is described in, but not limited to:
 - Section 01 35 29 Health, Safety, and Emergency Response Procedures
 - 2. Section 01 35 43.19 Export Soil Management
 - 3. Section 01 74 19 Waste Management

1.03 NOTIFICATION AND SUSPENSION

- A. In the event the Contractor detects the presence of potentially regulated materials not previously identified in this specification, the Contractor shall stop work and immediately notify the Port. Following such notification by the Contractor, the Port shall in turn notify the various governmental and regulatory agencies concerned with the presence of regulated materials, if warranted. Depending upon the type of materials identified, the Port may suspend work in the vicinity of the discovery under the provisions of General Conditions.
 - 1. Following completion of any further testing necessary to determine the nature of the materials involved, the Port will determine how the material shall be managed. Although the actual procedures used in resuming the work shall depend upon the nature and extent of the regulated material, the following alternate methods of operation are foreseen as possible:
 - a. Contractor to resume work as before the suspension.
 - b. Contractor to move its operations to another portion of the work until measures to eliminate any hazardous conditions can be developed and approved by the appropriate regulatory agencies.
 - c. The Port to direct the Contractor to dispose or treat the material in an approved manner.
 - d. The Port to terminate or modify the Contract accordingly, for unforeseen conditions.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 DESCRIPTION OF WORK

- A. Soils that cannot be reused onsite and are anticipated to be exported to an off-site facility must have a completed soil profile prior to export. Contractor is responsible for collecting the appropriate data that satisfies the requirements of the receiving facility.
- B. Soils excavated within the project area, contain regulated material; however, should the Contractor identify soil that cannot be reused as part of the project, the Contractor shall notify the Engineer to determine if the soil requires special handling.
 - Soil with unexpected regulated material, as identified by visual and/or olfactory methods, shall be segregated from other excavated material until such time as appropriate testing and analysis can be completed by the Port. Upon completion of the soil profile, the Engineer will inform the Contractor of any special handling requirements based on the results.
 - Soil beyond construction excavation limits will not require excavation unless free draining
 product is observed or other special conditions exist; in which case the Engineer will direct
 the Contractor in additional excavation. Soils determined to require special handling will be
 hauled and disposed of at an approved disposal facility.
- C. No soil shall be removed from the site without prior notification to the Engineer. The notification shall include:
 - 1. An estimate of the number of truck-trips, the haul destination, and the period in which these trips will be made (e.g., 20 truck-trips to the Waste Management Facility over the two-week period beginning on March 1, 2012).

1.02 DEFINITIONS

- A. Olfactory Indications (methods): Of or relating to the sense of smell. Soils containing petroleum and other volatile constituents typically exhibit characteristic odors that can be detected (and sometimes identified) by smell.
- B. Regulated Material: Any chemical, physical, biological, or radiological substance that does not occur naturally in the environment, or that occurs at concentrations higher than natural background levels, and is regulated by agencies as to the disposal/recycling facility(ies) the material can and cannot go (i.e., EPA, Department of Ecology, Tacoma-Pierce County Health Department).
- C. Soil (waste) Profile: A characterization of the chemical and physical properties of soil material designated for off-site disposal, including the presence of pollutants and their concentrations as measured by approved laboratory analytical methods. A profile is required by the receiving permitted disposal or recycling facility.
- D. Special Handling: Refers to hauling and disposal of soils that cannot be reused in place as backfill or as general fill at another (off-site) location due to the presence of pollutants in concentrations above allowable limits. Such soils must be hauled to and managed at a permitted disposal facility.
- E. Type A Regulated Soil: Soil that must be removed from the Project site and has been determined by the Engineer to contain pollutants in concentrations that exceed state or federal dangerous or hazardous designations (respectively), or other special Port-determined criteria. Type A Regulated Soil requires disposal at an approved Subtitle C hazardous waste landfill.

- F. Type B Regulated Soil: Soil that must be removed from the Project site and has been determined by the Engineer to contain pollutants in concentrations that are below dangerous or hazardous levels, but could negatively impact the quality of air, waters of the state, soils or sediments, or pose a threat to the health of humans or other living organisms, depending on where the soil is disposed. Type B Regulated Soil requires disposal an approved Subtitle D solid waste landfill.
- G. Type C Regulated Soil: Soil that must be removed from the Project site and has been determined by Engineer to contain unknown constituent(s) and/or in unknown concentration(s) and requires further analysis and characterization. Type C Regulated soil will require disposal at an approved Subtitle C hazardous waste landfill or Subtitle D solid waste landfill if additional soil characterization indicates special handling is required.
- H. Type D Soil: Soil determined by the Engineer not to require special handling with regard to this Contract. Classification of material as Type D Soil by the Port is not a certification nor does it release the Contractor of liability or obligation to meet any disposal or storage facility acceptance or testing requirements.
- Unexpected Regulated Material: Regulated material unexpectedly found in an excavation or in other locations where there is no prior knowledge, information, or history to indicate possible spills or releases of regulated material.
- J. Visual Indications (methods): A preliminary evaluation of the potential presence of contamination based on visual observation. For example, soils containing petroleum are frequently discolored or stained relative to non-petroleum impacted native soils or clean fill.

1.03 HEALTH AND SAFETY

A. The Contractor is required to implement all health and safety provisions as required by Specification 01 35 29 – Health, Safety and Emergency Response. These provisions include any special monitoring, personal protective equipment, or work plans to accommodate regulated soil or material special handling. Use of environmental characterization data may not be appropriate for health and safety purposes.

1.04 SUBMITTALS

- A. Prior to excavation of any subsurface materials, the Contractor shall submit a Soils Management Plan to the Engineer. The Soils Management Plan must be approved by the Engineer prior to any excavation of subsurface materials. The Soils Management Plan must include the following:
 - 1. Identification of all soil disposal facilities anticipated to be used for soils that are determined to be Type A or Type B Regulated Soil.
 - 2. Identification of all fill sites, disposal/recycling facilities and/or end uses anticipated to be used for soil determined to be Type D Soil in accordance with paragraph 3.02 of this section.
 - 3. Contingency for delivery and placement of Type C Regulated Soil at an on-site soil stockpile area.
 - 4. Contingency for managing soil/debris encountered during excavation that may disqualify soil for disposal or recycle at the anticipated facilities.
 - 5. General description of how equipment operators, safety staff and other applicable on-site personnel will identify and respond to soil containing potentially regulated material.

- 6. Contractor shall coordinate with the Engineer to facilitate handling of regulated soil in accordance with this specification.
- 7. Description of all haul routes to be used on the project.
- B. A completed soil profile prior to export to an off-site receiving facility.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION

3.01 EXCAVATION/TESTING

- A. The field-testing for soil to be exported offsite will be performed by the Port and will result in the following classification of material:
 - 1. Type A Regulated Soil as defined in 1.02(E) of this Section
 - 2. Type B Regulated Soil as defined in 1.02(F) of this Section
 - 3. Type C Regulated Soil as defined in 1.02(G) of this Section
 - 4. Type D Soil as defined in 1.02(H) of this Section
- B. Contractor shall give Port no less than one week notice to sample export soil prior to disposal offsite.
- C. Laboratory turnaround times may require additional time for analytical results; therefore, Contractor should coordinate with Engineer well in advance of anticipated disposal date. Samples that are required to have "rush" analysis performed due to the Contractor's failure to disclose the anticipated disposal date shall have the difference in service fees paid by the Contractor, or the Contractor may delay the disposal until the standard analysis turnaround time is complete, at no additional cost to the Port.

3.02 TRANSPORTATION AND OFF-SITE DISPOSAL OF SOILS

- A. The Contractor shall be responsible for handling, re-handling, loading, transporting, and legal off-site removal of all waste materials and excavated soils not reused onsite.
 - 1. Contractor shall ensure that transport truck gross weight meets federal and/or state Department of Transportation (DOT) requirements and the requirements of the receiving facility, whichever is more stringent.
 - Contractor shall take measures to prevent debris from being spilled from trucks or tracked from the site to local streets. Contractor shall sweep streets adjacent to the site as necessary or as directed by the Engineer.
 - 3. Contractor shall ensure that any vehicle transporting materials offsite are properly labeled and placarded in accordance with federal and state DOT requirements.
- B. Type A Regulated and Type B Regulated Soil shall be hauled to an approved facility by the Contractor for disposal.
- C. Type C Regulated Soil is of unknown origin or special circumstances. Type C Regulated Soil shall be hauled to an on-site segregated stockpile area. The Contractor shall protect the material from weather and other disturbances once stockpiled. The Port will inform the Contractor of the soil profile following additional analysis of the suspect material (as needed), and the soil will be categorized as either Type A Regulated, Type B Regulated or Type D Soil and disposed of accordingly.

D. Type D Soil that is not reused onsite shall be hauled by the Contractor to a site determined by the Contractor. If the receiving/disposal facility requires additional testing or certification of this soil, Contractor shall complete these requirements, at no additional cost to the Port. The Port will not certify or declare the material suitable for unrestricted use.

3.03 OTHER REQUIREMENTS

- A. Type A, Type B or Type C Regulated Soil may be, upon approval of the Engineer, temporarily stockpiled within the construction area. Contractor shall place an impervious liner beneath the soil and securely cover the stockpile with waterproof covering (e.g., plastic sheeting). Additional measures (e.g., berm, jersey barriers, silt fence, etc.) may be required to minimize soil runoff from the stockpile area. The soil shall be removed prior to completion of Work.
- B. Contractor shall provide the Engineer with all hauling receipts (or copies of receipts) from the disposal facility for all Type A, Type B or Type C Regulated Soil at least weekly.
- C. The Engineer may shut down excavation activities should unexpected regulated material be encountered during excavation.

END OF SECTION

1.01 DESCRIPTION OF WORK

A. The Work includes the requirements to provide air and noise control measures until Final Completion of the Work.

1.02 SUBMITTALS

A. Prior to Notice to Proceed, the Contractor shall submit of a list of equipment to be used on the project and certify in writing that all equipment on the list and any additional equipment, including Contractor's, subcontractors or supplier's equipment, shall meet the requirements of 3.01 below.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION

3.01 AIR POLLUTION CONTROL

- A. The Contractor shall meet or exceed EPA Tier 2 off-road diesel engine emission standards for off-road equipment >= 25hp and meet or exceed EPA 1994 on-road diesel engine emission standards for on-road equipment except as follows:
 - 1. Equipment being used in an emergency or public safety capacity
- B. The Contractor shall not discharge smoke, dust, and other hazardous materials into the atmosphere that violate local, state or federal regulations.
- C. No vehicles can idle for more than 5 consecutive minutes, except as follows:
 - 1. Idling is required to bring or maintain the equipment to operating temperature;
 - Engine idling is necessary to accomplish work for which the equipment was designed (i.e. operating a crane)
 - 3. Idling vehicles being used in an emergency or public safety capacity.
- D. The Contractor shall minimize nuisance dust by cleaning, sweeping, vacuum sweeping, sprinkling with water, or other means. Equipment for this operation shall be on the job site or available at all times.

3.02 NOISE CONTROL

- A. The Contractor shall comply with all local controls and noise level rules, regulations and ordinances which apply to work performed pursuant to the Contract.
- B. All internal combustion engines used on the job shall be equipped with a muffler of a type recommended by the manufacturer.

END OF SECTION

1.01 PERMITS, CODES AND REGULATIONS

- A. The following permits/approvals have been applied for (or are on file) and incorporated into the Contract:
 - 1. State Environmental Policy Act (SEPA) Compliance
 - 2. City of Tacoma Site Development Permits
 - 3. City of Tacoma Work Order Permits
 - a. Final permit will be issued once the Contractor has submitted a Traffic Control Plan (TCP) and Pedestrian Accessible Route (PAR) to the City and obtained approval. The Contractor shall submit the TCP and PAR to the City of Tacoma Traffic Engineering (5th floor of the Tacoma Municipal Building for approval. Signed and approved TCP & PAR shall then be submitted to the City review Engineer.
 - 4. Department of Ecology Construction Stormwater General Permit (CSGP)
 - a. See Section 01 57 13 TESC and SWPPP for more information.
- B. Conform with the requirements of listed permits and additional or other applicable permits, codes, and regulations as may govern the Work.
- C. Obtain and pay fees for licenses, permits, inspections, and approvals required by laws ordinances, and rules of appropriate governing or approving agencies necessary for proper completion of Work (other than those listed under item 1.01A above and Special Inspections called for by the International Building Code).
- D. Conform with current applicable codes, regulations and standards, which is the minimum standard of quality for material and workmanship. Provide labor, materials, and equipment necessary for compliance with code requirements or interpretations, although not specifically detailed in Drawings or specifications. Be familiar with applicable codes and standards prior to bidding.
- E. Process through Engineer, request to extend, modify, revise, or renew any of the permits (listed in 1.01.A above). Furnish requests in writing and include a narrative description and adequate Drawings to clearly describe and depict proposed action. Do not contact regulatory agency with requests for permit extensions, modifications, revisions, or renewals without the prior written consent of the Engineer.

1.02 VARIATIONS WITH CODES, REGULATIONS AND STANDARDS

- A. Nothing in the Drawings and specifications permits Work not conforming to codes, permits or regulations. Promptly submit written notice of the Engineer of observed variations or discrepancies between the Contract Documents and governing codes and regulations.
- B. Appropriate modifications to the Contract Documents will be made by Change Order to incorporate changes to Work resulting from code and/or regulatory requirements. Contractor assumes responsibility for Work contrary to such requirements if Work proceeds without notice.
- C. Contractor is not relieved from complying with requirements of Contract Documents which may exceed, but not conflict with requirements of governing codes.

1.03 COORDINATION WITH REGULATORY AGENCIES

A. Coordinate Work with appropriate governing or regulating authorities and agencies.

- B. Provide advance notification to proper officials of Project schedule and schedule revisions throughout Project duration, in order to allow proper scheduling of inspection visits at proper stages of Work completion.
- C. Regulation coordination is in addition to inspections conducted by Engineer. Notify Engineer at least 48 hours in advance of scheduled inspections involving outside regulating officials, to allow Engineer to be present for inspections.

PART 2 - PRODUCTS - NOT USED

PART 3 – EXECUTION - NOT USED

END OF SECTION

1.01 SECTION INCLUDES

A. Requirements relating to referenced standards.

1.02 QUALITY ASSURANCE

- A. For products or workmanship specified by reference to a document or documents not included in the Project Manual, also referred to as reference standards, comply with requirements of the standard, except when more rigid requirements are specified or are required by applicable codes.
- B. Conform to reference standard of date of issue specified in this section, except where a specific date is established by applicable code.
- C. Should specified reference standards conflict with Contract Documents, request clarification from the Engineer before proceeding.
- D. Neither the contractual relationships, duties, or responsibilities of the parties in Contract nor those of the Engineer shall be altered by the Contract Documents by mention or inference otherwise in any reference document.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 QUALITY CONTROL FOR COMPLIANCE:

- A. All work described in the Contract Documents must be fully tested in accordance with applicable sections of these Specifications. The provisions and intent of the Contract, including the General Conditions, Supplementary Conditions and General Requirements, apply to this work as if specified in this Section.
- B. The Contractor shall perform such detailed examination, inspection and quality control and assurance of the Work as to ensure that the Work is progressing and is being completed in strict accordance with the Contract Documents. The Contractor shall plan and lay out all Work in advance of operations so as to coordinate all Work without delay or revision. The Contractor shall be responsible for inspection of portions of the Work already performed to determine that such portions are in proper condition to receive subsequent Work. Under no conditions shall a portion of Work proceed prior to preparatory work having been satisfactorily completed. The Contractor shall ensure that the responsible Subcontractor has carefully examined all preparatory work and has notified the Contractor (who shall promptly notify the Port in writing) of any defects or imperfections in preparatory work that will, in any way, affect completion of the Work.

1.02 QUALITY ASSURANCE - CONTROL OF INSTALLATION

- A. Monitor quality control over suppliers, manufacturers, products, services, site conditions, and workmanship, to produce Work of specified quality.
- B. Comply with manufacturers' instructions, including each step in sequence.
- C. Should manufacturers' instructions conflict with Contract Documents, request clarification from Engineer before proceeding.
- D. Comply with specified standards as minimum quality for the Work except where more stringent tolerances, codes, or specified requirements indicate higher standards or more precise workmanship.
- E. Perform Work by persons qualified to produce required and specified quality.
- F. Verify that field measurements are as indicated on shop Drawings or as instructed by the manufacturer.
- G. Secure Products in place with positive anchorage devices designed and sized to withstand stresses, vibration, physical distortion, or disfigurement.

1.03 TOLERANCES

- A. Monitor fabrication and installation tolerance control of Products to produce acceptable Work. Do not permit tolerances to accumulate.
- B. Comply with manufacturers' tolerances. Should manufacturers' tolerances conflict with Contract Documents, request clarification from Engineer before proceeding.
- C. Adjust Products to appropriate dimensions; position before securing Products in place.

1.04 TESTING SERVICES

A. Tests, inspections and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules and regulations or lawful orders of public authorities.

- Neither observations by an inspector retained by the Port, the presence or absence of such inspector at the site, nor inspections, tests, or approvals by others, shall relieve the Contractor from any requirement of the Contract Documents, nor is any such inspector authorized to change any term or condition of the Contract Documents.
- B. Necessary materials testing shall be performed by an independent testing laboratory during the execution of the Work and paid for by the Port of Tacoma, unless otherwise specified. Access to the area necessary to perform the testing and/or to secure the material for testing, shall be provided by the Contractor.
- C. Testing does not relieve Contractor to perform work to contract requirements.
- D. Re-testing required because of non-conformance to specified requirements shall be performed by the same independent firm. Payment for re-testing will be charged to the Contractor by deducting testing charges from the Contract Sum.
- E. Material testing for initial material approval will be performed by an independent, certified laboratory and paid for by the Contractor. These tests must be dated within six (6) months of the submittal date.
- F. Subsequent sampling and testing, required as the work progresses to ensure continual control of materials and compliance with all requirements of the Contract documents, shall be the responsibility of the Port, except as required by other sections of these Specifications.

1.05 MANUFACTURER'S FIELD SERVICES

- A. When specified in individual specification sections, require material or Product suppliers or manufacturers to provide qualified staff personnel to observe site conditions, conditions of surfaces and installation, quality of workmanship, start-up equipment, test, and adjust and balance equipment as applicable, and to initiate instructions when necessary.
- B. Submit qualifications of observer to Engineer 30 days in advance of required observations. Observer subject to approval of Engineer.
- C. Report observations and site decisions or instructions given to applicators or installers that are supplemental or contrary to manufacturers' written instructions.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 SECTION INCLUDES

- A. Temporary utilities.
- B. Temporary sanitary facilities.
- C. Temporary Controls: Fencing.

1.02 TEMPORARY UTILITIES

- A. Provide and pay for all electrical power, lighting, water, heating and cooling, and ventilation required for construction purposes.
- B. Use trigger-operated nozzles for water hoses, to avoid waste of water.

1.03 TEMPORARY SANITARY FACILITIES

- A. Provide and maintain required facilities and enclosures. Provide at time of project mobilization.
- B. Maintain daily in clean and sanitary condition.
- C. At end of construction, return facilities to same or better condition as originally found.

1.04 FENCING

- A. Construction: Contractor's option.
- B. Protect non-owned vehicular traffic, stored materials, site, and structures from damage.

1.05 REMOVAL OF UTILITIES, FACILITIES, AND CONTROLS

- A. Remove temporary utilities, equipment, facilities, materials, prior to Final Inspection. inspection.
- B. Clean and repair damage caused by installation or use of temporary work.
- C. Restore existing facilities used during construction to original condition.
- D. Restore new permanent facilities used during construction to specified condition.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION - NOT USED

END OF SECTION

1.01 SECTION INCLUDES

- A. Access roads.
- B. Parking.
- C. Construction parking controls.
- D. Haul routes.
- E. Maintenance.
- F. Removal, repair.
- G. Mud from site vehicles.

PART 2 - PRODUCTS

PART 3 - EXECUTION

3.01 PREPARATION

A. Clear areas, provide surface and storm drainage of road, parking, area premises, and adjacent areas.

3.02 ACCESS TO SITE

- A. Contractor shall conduct all business through the gate assigned by the Engineer.
 - 1. The Contractor may be required to relocate entry and related work areas as required by Port Operations.
- B. Provide unimpeded access for emergency vehicles. Maintain 20 foot (6 m) width driveways with turning space between and around combustible materials.
- C. Provide and maintain access to fire hydrants free of obstructions.

3.03 PARKING

A. All Contractor's employee cars and work vehicles will be parked on-site as designated by the Engineer.

3.04 CONSTRUCTION PARKING CONTROL

- A. Control vehicular parking to prevent interference with public traffic and parking, access by emergency vehicles, and Port operations.
- B. Prevent parking on or adjacent to access roads or in non-designated areas.

3.05 FLARES AND LIGHTS

A. Use flares and lights during hours of low visibility to delineate traffic lanes and to guide traffic.

3.06 HAUL ROUTES

- A. Confine construction traffic to designated haul routes.
- B. Provide traffic control at critical areas of haul routes to regulate traffic, to minimize interference with public traffic.

3.07 MAINTENANCE

- A. Maintain traffic and parking areas in a sound condition free of excavated material, construction equipment, Products, mud, snow, and ice.
- B. Maintain existing paved areas used for construction; promptly repair breaks, potholes, low areas, standing water, and other deficiencies, to maintain paving and drainage in original, or specified, condition.

3.08 REMOVAL, REPAIR

- A. Repair existing facilities damaged by use, to original condition.
- B. Repair damage caused by installation.

3.09 PUBLIC STREET AND ONSITE ROADWAY CLEANING

- A. The Contractor shall be responsible for preventing dirt and dust escaping from trucks and other vehicles operating on or departing the project site by sweeping, covering dusty loads, washing truck tires and all other reasonable methods.
- B. When trucks and other equipment are operating on paved public streets and site roadways/paved surfaces, the Contractor will be required to clean said streets, roadways and other paved surfaces at least daily, and at other times if required by the Engineer.
- C. In the event that the above requirements are violated and no action is taken by the Contractor after notification of infraction by the Engineer, the Port reserves the right to have the streets, roadways and other paved surfaces in question cleaned by others and the expense of the operation charged to the Contractor.

END OF SECTION

1.01 WORK DESCRIPTION

- A. The Work shall consist of planning, installing, inspecting, maintaining and removing Temporary Erosion and Sediment Control (TESC) Best Management Practices (BMPs) to prevent pollution of air and water; and to control, respond to, and dispose of eroded sediment and turbid water during the term of the Contract.
- B. These TESC requirements shall apply to all areas associated with the Work, including but not limited to the following:
 - Work areas
 - 2. Equipment and material storage areas
 - 3. Staging areas
 - 4. Stockpiles
 - 5. Discharge points within or adjacent to the work areas that are impacted by stormwater runoff from the site.
- C. Acceptance of TESC plans does not constitute an approval of permanent Work or drainage design (e.g., size and location of roads, pipes, restrictors, channels, retention facilities, utilities, etc.).
- Contractor shall read and conform to all requirements set forth in Washington Department of Ecology's (Ecology) NPDES General Permit for Discharges Associated with Construction Activities (CSGP).

1.02 REFERENCES

- A. The rules, requirements, and regulations that apply to this Work include, but are not necessarily limited to the following:
 - 1. Washington Department of Ecology, "Stormwater Management Manual for Western Washington," 2012.
 - Department of Ecology NPDES General Permit for Discharges Associated with Construction Activities. 2010.
 - 3. Pierce County Stormwater and Site Development Manual, 2012.
 - 4. City of Tacoma, "Surface Water Management Manual," Tacoma Public Works, Environmental Services, February 2012.

1.03 SUBMITTALS

- A. A Construction Stormwater Pollution Prevention Plan (SWPPP), as required by NPDES permit.
 - 1. Contractor may elect to adopt and comply with a Port project SWPPP, or provide an alternative project SWPPP to the Engineer for approval.
 - Contractor shall be responsible for updating the project SWPPP during construction to reflect the required changes to BMPs, as needed, to comply with the CSGP at no additional cost to the Port.
- B. Safety Data Sheet (SDS) for any dust palliative product.
- C. A copy of all Contractor site inspection logs and monthly Discharge Monitoring Reports (DMRs).

D. Water Management Plan/Temporary Dewatering Plan.

1.04 AUTHORITY OF ENGINEER

- A. The Engineer has the authority to limit the surface area of erodible earth material exposed by clearing and grubbing, excavation, borrow and fill operations, as determined by analysis of project conditions; and to direct the Contractor to provide immediate permanent or temporary pollution control measures to minimize impacts to adjacent streams or other watercourses, lakes, ponds, and other areas of water impoundment.
- B. In the event that areas adjacent to the work area are suffering degradation due to erosion, sediment deposit, water flows, or other causes, the Engineer may stop construction activities until the Contractor rectifies the situation.

PART 2 - PRODUCTS

2.01 DUST CONTROL

A. Dust palliative for dust control proposed by the Contractor and approved by the Engineer.

PART 3 - EXECUTION

3.01 GENERAL

- A. The Port has obtained the CSGP. The permit shall be transferred to the Contractor prior to ground disturbing activities. The Contractor shall be the responsible Operator/Permittee for the duration of the project.
- B. In the event of conflict between these requirements and pollution control laws, rules, or regulations of other federal, state, or local agencies, the more restrictive laws, rules, or regulations shall apply as determined by the Engineer.
- C. No project discharge of water shall be allowed that exceeds the regulated pollutant levels in Ecology's CSGP and any CSGP-associated Administrative Orders.
- D. Contractor shall be solely responsible for all BMP modifications and upgrades to comply with the CSGP and the requirements of this Section, at no additional cost to the Port.
- E. Contractor shall be solely responsible for any damages and fines incurred because of Contractor, subcontractor, or supplier actions in implementing the requirements of this Section.
- F. The Contractor shall be solely responsible for schedule impacts incurred because of Contractor, subcontractor, or supplier actions in implementing the requirements of this Section.

3.02 TEMPORARY EROSION AND SEDIMENT CONTROL DEVELOPMENT

- A. The Port has prepared a project SWPPP that complies with the CSGP requirements. The Port project SWPPP is attached to the end of this Section.
 - 1. The SWPPP shall describe construction activities and sequencing, and the proposed Temporary and Permanent Erosion and Sediment Control measures.
 - 2. The SWPPP shall consist of planning, installing, inspecting, maintaining, and removing TESC BMPs per Volume II of the Stormwater Management Manual for Western Washington (2012) or equivalent. The BMPs shown in the Drawings are the minimum required to prevent pollution of air and water, to control peak volumetric flow rates and velocity of stormwater, and to control, respond to, and dispose of eroded sediment and turbid water during the term of the Contract.
 - 3. If Contractor chooses to write a SWPPP separate from the Port-provided SWPPP, it must comply with all of the requirements set forth by the CSGP.

- B. Contractor shall develop project-specific TESC BMPs and incorporate them into the SWPPP. Contractor shall address the following issues as part of developing and implementing the BMPs.
 - 1. TESC BMPs must meet the requirements in Ecology's Volume II of the Stormwater Management Manual for Western Washington (2012) or equivalent.
 - 2. TESC notes and details shown in the Drawings and the information in this Section form a basis of the minimum requirements for a TESC Plan. Contractor shall develop a TESC Plan specific to the construction schedule and proposed means and methods prior to commencing construction activities for the duration of the Project.

3.03 TEMPORARY EROSION AND SEDIMENT CONTROL IMPLEMENTATION

- A. Contractor is responsible for implementing and updating the SWPPP including TESC BMPs.
 - Contractor shall inspect the TESC measures daily and maintain these measures to ensure continued proper functioning for the duration of the Project.
 - 2. Contractor will be responsible for documenting TESC site inspections on a weekly basis in areas of active construction and on a monthly basis in areas that have undergone stabilization. Contractor shall keep records of the inspections on site.
 - 3. During the construction period the Contractor shall, at no additional cost to the Port, upgrade and/or maintain TESC measures as needed, based on Contractor means and methods, work sequencing, and changing site conditions (e.g., changes to impervious surface coverage, proximity of work to storm conveyance systems, storm events, etc.). Contractor shall modify these measures for changing site conditions and update the SWPPP to document all modifications made.
- B. Catch basins shall be cleaned when the depth of debris reaches 30% of the sump depth or the debris surface is six (6) inches below the outlet pipe. Contractor shall clean all catch basins, manholes, and conveyance lines, if present, prior to Work completion. The cleaning process shall not flush sediment-laden water into a downstream system.
- C. Contractor shall ensure that water, or a dust palliative and a dispensing subcontractor, if needed, is available for project use. It is the responsibility of the Contractor to develop and adhere to appropriate safety measures pertaining to the palliative use. This also includes ensuring the dispensing subcontractor develops and adheres to the appropriate safety measures, if a dispensing subcontractor is used. Water used for dust suppression shall not be applied at such a rate or in a location that it will generate runoff from the site.
- D. Areas of exposed soils, including embankments, which will not be disturbed for two days during the wet season (October 1 through April 30) or seven days during the dry season (May 1 through September 30), shall immediately be stabilized by the Contractor with an Ecologyapproved TESC measure (e.g., seeding, mulching, plastic covering, etc.).
- E. TESC measures in an inactive area shall be inspected and maintained by the Contractor until the area is permanently stabilized.
- F. In the event that additional temporary erosion and pollution control measures are required due to the Contractor's negligence, carelessness, or failure to install permanent controls as a part of the Work as scheduled or as ordered by the Engineer, such work shall be performed by the Contractor at its own expense.
- G. Contractor shall remove all TESC facilities, install permanent site surfacing improvements and permanent BMPs with minimal disturbance, and shall clean stormwater facilities prior to Work completion.

H. Contractor shall terminate the CSGP upon final stabilization of the site.

END OF SECTION

1.01 SUBMITTALS

- A. Product Data Submittals: Submit manufacturer's standard published data. Mark each copy to identify applicable products, models, options, and other data. Supplement manufacturers' standard data to provide information specific to this Project.
- B. Shop Drawing Submittals: Prepared specifically for this Project; indicate utility and electrical characteristics, utility connection requirements, and location of utility outlets for service for functional equipment and appliances.
- C. Sample Submittals: Illustrate functional and aesthetic characteristics of the product, with integral parts and attachment devices. Coordinate sample submittals for interfacing work.
 - 1. For selection from standard finishes, submit samples of the full range of the manufacturer's standard colors, textures, and patterns.

PART 2 - PRODUCTS

2.01 NEW PRODUCTS

A. Provide new products unless specifically required or permitted by the Contract Documents.

2.02 PRODUCT OPTIONS

- A. Products Specified by Reference Standards or by Description Only: Use any product meeting those standards or description.
- B. Products Specified by Naming One or More Manufacturers: Use a product of one of the manufacturers named and meeting specifications, no options or substitutions allowed.
- C. Products Specified by Naming One or More Manufacturers with a Provision for Substitutions: Submit a request for substitution for any manufacturer not named.

PART 3 - EXECUTION

3.01 TRANSPORTATION AND HANDLING

- A. Coordinate schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
- B. Transport and handle products in accordance with manufacturer's instructions.
- C. Promptly inspect shipments to ensure that products comply with requirements, quantities are correct, and products are undamaged.
- D. Provide equipment and personnel to handle products by methods to prevent soiling, disfigurement, or damage.
- E. Arrange for the return of packing materials, such as wood pallets, where economically feasible.

3.02 STORAGE AND PROTECTION

- A. Designate receiving/storage areas for incoming products so that they are delivered according to installation schedule and placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication.
- B. Store and protect products in accordance with manufacturers' instructions.
- C. Store with seals and labels intact and legible.

- D. Store sensitive products in weather tight, climate controlled, enclosures in an environment favorable to product.
- E. For exterior storage of fabricated products, place on sloped supports above ground.
- F. Cover products subject to deterioration with impervious sheet covering. Provide ventilation to prevent condensation and degradation of products.
- G. Prevent contact with material that may cause corrosion, discoloration, or staining.
- H. Provide equipment and personnel to store products by methods to prevent soiling, disfigurement, or damage.
- I. Arrange storage of products to permit access for inspection. Periodically inspect to verify products are undamaged and are maintained in acceptable condition.

END OF SECTION

1.01 SCOPE

A. The purpose of this section is to provide the framework for transferring Port provided special trackwork materials to the Contractor in a safe, timely and effective manner.

1.02 SUBMITTALS

A. Submit an inspection report or log to the Engineer of the inspection performed on the materials before acceptance by the Contractor. Flag any equipment or materials identified as being in unsatisfactory condition or quantity before moving or relocating it from the Location Area described below. Document unsatisfactory condition of equipment photographically, using digital media.

1.03 COORDINATION

- A. The materials will be made available to the Contractor on a mutually agreeable date.
- B. The Contractor shall be responsible for requesting and coordinating delivery from the Port through the Engineer and timely offloading of materials.

1.04 LOCATION

A. The materials are to be delivered to one of the Contractor's laydown areas as indicated on the Drawings. .

PART 2 - PRODUCTS

2.01 ITEMS

- A. Assume all items are in satisfactory condition. Report in writing to the Engineer materials found to be in unsatisfactory condition or quantity.
- B. Port Furnished Material
 - 1. Five (5) switch point guards will be supplied by the Port.
 - 2. Port furnished materials shall comply with product specifications of specifications sections 34 05 17 Railroad Work and 34 11 23 Special Trackwork.

PART 3 - EXECUTION

3.01 PROTECTION

- A. Tightly cover and protect products against dirt, moisture or impact, mechanical and chemical damage.
- B. Repair or replace Port provided property damaged by the Contractor.

3.02 FIELD QUALITY CONTROL

- A. Equipment Inspection
 - 1. Examine each piece or component for visual defects within 48 hours of delivery.

END OF SECTION

1.01 SECTION INCLUDES

- A. Examination, preparation, and general installation procedures.
- B. Cutting and patching.

1.02 SUBMITTALS

- A. See Section 01 33 00 Submittal Procedures
- B. Cutting and Patching: Submit written request in advance of cutting or alteration that affects:
 - 1. Structural integrity of any element of Project.
 - 2. Integrity of weather exposed or moisture resistant element.
 - 3. Efficiency, maintenance, or safety of any operational element.
 - 4. Visual qualities of sight exposed elements.
 - 5. Work of the Port or separate Contractor.
- C. Project As-Built Documents: Accurately record actual locations of capped and active utilities.

PART 2 - PRODUCTS

2.01 PATCHING MATERIALS

- A. New Materials: As specified in product sections; match existing products and work for patching and extending work.
- B. Type and Quality of Existing Products: Determine by inspecting and testing products where necessary, referring to existing work as a standard.

PART 3 - EXECUTION

3.01 EXAMINATION

- A. Verify that existing site conditions and substrate surfaces are acceptable for subsequent work. Start of work means acceptance of existing conditions.
- B. Verify that existing substrate is capable of structural support or attachment of new work being applied or attached.
- C. Examine and verify specific conditions described in individual specification sections.
- D. Take field measurements before confirming product orders or beginning fabrication, to minimize waste due to over-ordering or misfabrication.
- E. Verify that utility services are available, of the correct characteristics, and in the correct locations.
- F. Prior to Cutting: Examine existing conditions prior to commencing work, including elements subject to damage or movement during cutting and patching. After uncovering existing work, assess conditions affecting performance of work. Beginning of cutting or patching means acceptance of existing conditions.

3.02 PREPARATION

- A. Clean substrate surfaces prior to applying next material or substance.
- B. Seal cracks or openings of substrate prior to applying next material or substance.

C. Apply manufacturer required or recommended substrate primer, sealer, or conditioner prior to applying any new material or substance in contact or bond.

3.03 GENERAL INSTALLATION REQUIREMENTS

- A. Install products as specified in individual sections, in accordance with manufacturer's instructions and recommendations, and so as to avoid waste due to necessity for replacement.
- B. Make vertical elements plumb and horizontal elements level, unless otherwise indicated.
- C. Install equipment and fittings plumb and level, neatly aligned with adjacent vertical and horizontal lines, unless otherwise indicated.
- D. Make consistent texture on surfaces, with seamless transitions, unless otherwise indicated.
- E. Make neat transitions between different surfaces, maintaining texture and appearance.

3.04 CUTTING AND PATCHING

- A. Whenever possible, execute the work by methods that avoid cutting or patching.
- B. Perform whatever cutting and patching is necessary to:
 - Complete the work.
 - 2. Fit products together to integrate with other work.
 - 3. Provide openings for penetration of mechanical, electrical, and other services.
 - 4. Match work that has been cut to adjacent work.
 - 5. Repair areas adjacent to cuts to required condition.
 - 6. Repair new work damaged by subsequent work.
 - 7. Remove and replace defective and non-conforming work.
- C. Execute work by methods that avoid damage to other work and that will provide appropriate surfaces to receive patching and finishing. In existing work, minimize damage and restore to original condition.
- D. Cut rigid materials using masonry saw or core drill. Pneumatic tools not allowed without prior approval.
- E. Restore work with new products in accordance with requirements of Contract Documents.

3.05 PROTECTION OF INSTALLED WORK

- A. Protect installed work from damage by construction operations.
- B. Provide special protection where specified in individual specification sections.
- C. Provide temporary and removable protection for installed products. Control activity in immediate work area to prevent damage.

3.06 ADJUSTING

A. Adjust operating products and equipment to ensure smooth and unhindered operation.

END OF SECTION

1.01 SUMMARY

A. This section includes construction waste management requirements.

1.02 DEFINITIONS

- A. Co-mingled or Off-site Separation: Collecting all material types into a single bin or mixed collection system and separating the waste materials into recyclable material types at an off-site facility.
- B. Construction, Demolition and Land-Clearing (CDL) Waste: Includes all nonhazardous solid wastes resulting from construction, remodeling, alterations, repair, demolition, and land clearing. Includes material that is recycled, reused, salvaged or disposed as garbage. This also includes uncontaminated soils that are designated as geotechnically unsuitable or excess excavation.
- C. Hazardous/Dangerous Waste: As defined by Chapter 70.105.010 Revised Code of Washington and 40 Code of Federal Register 261 and by Washington Administrative Code 173-303.
- D. Proper Disposal: As defined by the jurisdiction receiving the waste.
- E. Recyclable Materials: Products and materials that can be recovered and remanufactured into new products.
- F. Recycling: The process of sorting, cleaning, treating and reconstituting materials for the purpose of using the material in the manufacture of a new product. Can be conducted on-site (as in the grinding of concrete).
- G. Recycling Facility: An operation that is permitted to accept materials for the purpose of processing the materials into an altered form for the manufacture of a new product.
- H. Salvage for Reuse: Existing usable product or material that can be saved and reused in some manner on the project site or other projects off-site.
- I. Salvage for Resale: Existing usable product or material that can be saved and removed intact (as is) from the project site to another site for resale to others without remanufacturing.
- J. Source-Separated Materials: Materials that are sorted at the site into separate containers for the purpose of reuse or recycling.
- K. Sources Separation: Sorting the recovered materials into specific material types with no, or a minimum amount of, contamination on site.
- L. Time-Based Separation: Collecting waste during each phase of construction or deconstruction that results in primarily one major type of recovered material. The material is removed before it becomes mixed with the material from the next phase of construction.
- M. Garbage: Product or material typically considered to be trash or debris that is unable to be salvaged for resale, salvaged and reused, returned, or recycled.

1.03 SUBMITTALS

- A. Waste Management Plan
- B. Waste Management Final Report

1.04 PERFORMANCE GOALS

- A. General: Divert CDL waste to the maximum extent practicable from the landfill by one or a combination of the following activities:
 - Salvage
 - 2. Reuse
 - 3. Source separated CDL recycling
 - 4. Co-mingled CDL recycling
- B. CDL waste materials that can be salvaged, resold, reused or recycled, include, but are not limited to the following:
 - 1. Clean dimensional wood, pallet wood, plywood, OSB, and particleboard
 - 2. Asphalt
 - 3. Concrete and concrete masonry units
 - Ferrous and non-ferrous metals
 - 5. Field office waste paper, aluminum cans, glass, plastic, and cardboard
 - 6. Relay, rail and turnouts
- C. Hazardous/Dangerous Wastes, contaminated soils and other hazardous materials such as paints, solvents, adhesives, batteries, and fluorescent light bulbs and ballasts shall be disposed of at applicable permitted facilities.

1.05 WASTE MANAGEMENT PLAN

- A. Submit to the Engineer a Waste Management Plan narrative in accordance with these specifications. Provide a Waste Management Plan in a format as approved by the Engineer.
- B. The Waste Management Plan shall include the following:
 - Name of designated Recycling Coordinator
 - A list of waste materials that will be salvaged for resale, salvaged for reuse, recycled, and disposed.
 - 3. Identify waste handling methods to be used, including one or more of the following:
 - a. Method 1 Contractor or subcontractor(s) hauls recyclable materials to an approved recycling facility.
 - b. Method 2 Contracting with diversion/recycling hauler to haul recyclable material to an approved recycling or material recovery facility.
 - c. Method 3 Recyclable material reuse on-site.
 - d. Method 4- Recyclable material salvage for resale.
 - 4. Identification of each recycling or material recovery facility to be utilized, including name, address and types of materials being recycled at each facility
 - 5. Description of the method to be employed in collecting, and handling, waste materials.
 - 6. Description of methods to communicate Waste Management Plan to personnel and subcontractors.

1.06 WASTE MANAGEMENT FINAL REPORT

- A. Provide a Waste Management Final Report, in a format approved by the Engineer. The Waste Management Final Report shall list the following for the project:
 - 1. A record of each waste material type and quantity recycled, reused, salvaged, or disposed from the Project. Include total quantity of waste material removed from the site and hauled to a landfill.
 - 2. Percentage of total waste material generated that was recycled, reused, or salvaged.
- B. Quantities shall be reported by weight (tons) unless otherwise approved by the Engineer.
- C. Submit copies of manifests, weight tickets, recycling/disposal receipts or invoices, which validate the calculations or a signed certification of completeness and accuracy of the final quantities reported.

1.07 QUALITY ASSURANCE

- A. Regulatory Requirements: The Contractor shall maintain compliance with all applicable Federal, State, or Local laws that apply to Construction Waste Management and material salvage, reuse, recycling and disposal.
- B. Disposal Sites, Recyclers and Waste Materials Processors: All facilities utilized for management of any materials covered under this specification must maintain all necessary permits as required by federal, state and local jurisdictions.

PART 2 - PRODUCTS - NOT USED

PART 3 - EXECUTION

3.01 SOURCE-SEPARATED CDL RECYCLING

A. Provide individual containers for separate types of CDL waste to be recycled, clearly labeled with a list of acceptable and unacceptable materials.

3.02 CO-MINGLED CDL RECYCLING

A. Provide containers for co-mingled CDL waste to be recycled, clearly labeled with a list of acceptable and unacceptable materials.

3.03 LANDFILL

A. Provide containers for CDL waste that is to be disposed of in a landfill clearly labeled as such.

3.04 REMOVAL OF CDL WASTE FROM PROJECT SITE

A. Transport CDL waste off Port's property and legally dispose of them.

END OF SECTION

1.01 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.02 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
 - 1. Substantial Completion procedures
 - Final completion procedures
 - 3. Warranties
 - 4. As-Built Drawings

1.03 ACTION SUBMITTALS

A. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.

1.04 PROJECT SUBMITTALS

- A. Submittal of Project Warranties
- B. Record Drawings
 - Miscellaneous Record Submittals: See other Specification Sections for miscellaneous recordkeeping requirements and submittals in connection with various construction activities.
- C. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

1.05 SUBSTANTIAL COMPLETION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list) indicating the value of each item on the list and reasons why the Work is incomplete.
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
 - Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
 - Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by the Contract Document or Engineer. Label with manufacturer's name and model number where applicable.
- C. Procedures Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
 - 1. Make final changeover of permanent locks and deliver keys to Port

- 2. Complete startup and testing of systems and equipment
- 3. Perform preventive maintenance on equipment used prior to Substantial Completion
- 4. Instruct Port's personnel in operation, adjustment, and maintenance of products, equipment, and systems
- 5. Terminate and remove temporary facilities from Project site
- 6. Complete final cleaning requirements
- D. Submit a written request for inspection to determine Substantial Completion a minimum of 5 days prior to days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Engineer will either proceed with inspection or notify Contractor of unfulfilled requirements. Engineer will prepare the Notice of Substantial Completion after inspection or will notify Contractor of items, either on the Contractor's list or additional items identified by the Engineer, that must be completed or corrected before notice will be issued.
 - 1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
 - 2. Results of completed inspection will form the basis of requirements for final completion.

1.06 PUNCH LIST (LIST OF INCOMPLETE ITEMS)

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of Construction.
 - Organize list of spaces in sequential order.
 - 2. Organize items applying to each space by major elements.

1.07 FINAL COMPLETION PROCEDURES

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete and submit the following:
 - Submittal of all remaining items, including as-built documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, surveys, and similar final record information and all other submittals defined in the Contract Documents.
 - List of Incomplete Items: Submit copy of Engineer's Substantial Completion inspection list
 of items to be completed or corrected (Punch List). Copy of the list shall state that each
 item has been completed or otherwise resolved for acceptance.
- B. Inspection: Submit a written request for final inspection to determine acceptance a minimum of 3 days prior to date the work will be complete and ready for final inspection and tests. On receipt of request, the Engineer will either proceed with inspection or notify contractor of unfulfilled requirements.
 - 1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

1.08 FINAL ACCEPTANCE PROCEDURES

- A. Submittals Prior to Final Acceptance:
 - 1. Receipt and approval of application for final payment; due within seven (7) days of receipt of Final Completion by the Engineer.

- 2. Execution of all Change Orders.
- 3. Contractor's signed waiver and release of claims on the Engineer provided form.
- 4. Contractor's submittal of list of all suppliers and subcontractors and the total amounts paid to each on the Engineer provided form;
- 5. Contractor's submittal of a list of all subcontractors and suppliers requiring Affidavits of Wages paid on the Contract and certify that each of companies will submit an approved Affidavit of Wages paid to the Port within 30 days.
- B. The Engineer will issue the Final Acceptance Memo upon receipt of the required submittals.

PART 2 - PRODUCTS

2.01 CONTRACTOR'S WARRANTY

- A. The Contractor warrants the labor, materials and equipment delivered under the contract to be free from defects in design, material, or workmanship, and against damage caused prior to final inspection. Unless otherwise specified, this warranty extends for a period of one (1) year from the date of Substantial Completion.
 - 1. Time of Submittal: Submit written warranties on request of Engineer for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated, or when delay in submittal of warranties might limit the Port's rights under warranty.
 - 2. Submit Warranties to the Engineer as a submittal, as described in 01 33 00 Submittal Procedures.
 - 3. Provide additional copies of each warranty in Operation and Maintenance Manuals as described in 01 78 23 Operation and Maintenance Manuals.
- B. In the event of equipment failure, during such time or in such a location that immediate repairs are mandatory, the Contractor shall respond promptly (within 48 hours), irrespective of day of the week. If the Contractor is not available, the Port will affect repairs. The Contractor shall then reimburse the Port for parts and labor necessary to correct deficiencies as defined within the warranty clause and time.

2.02 AS-BUILT DRAWINGS

- A. Project As-Built Drawings: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
- B. Project As-Built Drawings shall be compiled by the Contractor and submitted to the Engineer for translation to the Record Drawings on a monthly basis.
 - 1. The Project As-Built Drawings will be submitted on paper full-sized (ANSI D) copy.
 - Drawings shall be kept current and shall be done at the time the material and equipment is installed. Annotations to the record documents shall be made with an erasable colored pencil conforming to the following color code:
 - a. Additions Red
 - b. Deletions Green
 - c. Comments Blue
 - d. Dimensions Graphite

- 3. Project As-Built Drawings must be complete and accepted by the Engineer before Final Completion is issued.
- 4. As-Built Drawings shall be in accordance with horizontal and vertical control as shown on the drawings.

PART 3 – EXECUTION

3.01 MAINTENANCE OF AS-BUILT DRAWINGS

- A. The Contractor shall maintain at the Project site, in good order for ready reference by the Engineer, one complete copy of the Contract Documents, including Addenda, Change Orders, other documents issued by the Port, a current Progress Schedule, and approved Submittals. The Contractor shall also generate and keep on site all documents and reports required by applicable permits.
- B. The Contractor's As-Built Drawings shall be updated to record all changes made during construction. The location of all existing or new underground piping, valves and utilities, and obstructions located during the Work shall be appropriately marked until the Contractor incorporates the actual field dimensions and coordinates into the as-built drawings. The as-built drawings shall be updated at least weekly and before elements of the Work are covered or hidden from view. After the completion of the Work, the as-built drawings shall be provided to the Port.

END OF SECTION

1.01 SECTION INCLUDES

A. Operation and Maintenance Manual Submittal

1.02 SUBMITTALS

- A. Operation and Maintenance Data:
 - 1. For equipment, or component parts of equipment put into service during construction and operated by the Port, submit completed documents within ten days after acceptance.
 - 2. Submit 1 copy of completed documents 14 days prior to final inspection. This copy will be reviewed and returned after final inspection, with Engineer comments. Revise content of all document sets as required prior to final submission.
 - 3. Submit 2 sets of revised final documents in final form by Final Completion.

PART 2 - PRODUCTS

2.01 OPERATION AND MAINTENANCE MANUALS

- A. For large equipment (such as pumps, generators, machinery),the following information (minimum of 3 printed copies, plus one electronic copy on CD) shall be furnished for all items on the Project requiring operational and/or maintenance procedures and for any additional items indicated by the Engineer. Printed information shall be organized by the Contractor into appropriately sized 3-ring binders (no larger than 3"). The binders shall be sized for material approximately 8-1/2 by 11 inches, and the material in the binders shall not protrude beyond the covers. The binder(s) shall be divided with coversheets for each major item of equipment. The cover sheets shall be typewritten to indicate the name, type of equipment, and location(s) within the Project where installed. A neatly typewritten index shall be provided. Electronic information shall be in PDF format (additional formats where specified) and shall be organized with folders with appropriate file names so information is easily accessible:
 - 1. Equipment Maintenance Summary:
 - a. Provide the following information (as applicable, indicate 'N/A' where an item does not apply) in Excel spreadsheet format:
 - 1) Description
 - 2) Vendor
 - 3) Manufacturer
 - 4) Model Year
 - Serial Number
 - 6) Warranty Start Date; Finish Date
 - 7) Required Preventative Maintenance
 - 8) Make
 - 9) Model
 - 10) Capacity
 - 2. Lubrication Information: This shall consist of the manufacturer's recommendations regarding the lubricants to be used and the lubrication schedule to be followed. Lubricants

- shall be described in detail, including type, recommended manufacturer, and manufacturer's specific compound to be used.
- 3. Control Diagrams: Diagrams shall show internal and connection wiring and as-built wiring diagrams (where applicable).
- 4. Start-up Procedures: These instructions consist of equipment manufacturer's recommendations for installation, adjustment, calibration, and troubleshooting.
- 5. Operating Procedures: These instructions consist of the equipment manufacturer's recommended step-by-step procedures for starting, operating, stopping the equipment under specified modes of operation, and for long-term shut-down (moth-balling).
- 6. Preventative Maintenance Procedures: These instructions consist of the equipment manufacturer's recommended steps and schedules for maintaining the equipment.
- 7. Overhaul Instructions: These instructions consist of the manufacturer's directions for the disassembly, repair and reassembly of the equipment and any safety precautions that must be observed while performing the work.
- 8. Parts List: This list consists of the generic title and identification number of each component part of the equipment. This list shall include weights of individual components of each item of equipment weighing over 100 pounds.
- Spare Parts List: This list consists of the manufacturer's recommendations of number of parts which should be stored by the Owner and any special storage precautions which may be required.
- 10. Specific Information: Where items of information not included in the above list are required, they will be provided as described in the specifications for the equipment.
- 11. Complete identification, including model and serial numbers.
- 12. Submittal information, as specified in Section 01 33 00 Submittal Procedures.
- 13. Warranty Information: This information consists of the name, address, and telephone number of the manufacturer's representative to be contacted for warranty, parts, or service information.
- 14. All operation and maintenance information shall be comprehensive and detailed and shall contain information adequately covering all normal operation and maintenance procedures.
- 15. All information shall be specific for the items of equipment installed on the project. Material not directly applicable shall be removed, omitted, or clearly marked as inapplicable.
- 16. If manufacturer's standard brochures and manuals are used to describe operating and maintenance procedures, such brochures and manuals shall be modified to reflect only the model or series of equipment used on this project.
- 17. Extraneous material shall be crossed out neatly or otherwise annotated or eliminated. It shall be the responsibility of the Contractor to ensure that all operation and maintenance materials are obtained. Material submitted must meet the approval of the Engineer prior to project final acceptance.
- B. For small equipment and products (such as furnishings or equipment not requiring routine maintenance), the following information (minimum of 3 printed copies, plus one electronic copy on CD) shall be furnished for all items on the Project requiring operational and/or maintenance procedures and for any additional items indicated by the Engineer. Printed information shall be organized by the Contractor into appropriately sized 3-ring binders (no larger than 3"). The

binders shall be sized for material approximately 8-1/2 by 11 inches, and the material in the binders shall not protrude beyond the covers. The binder(s) shall be divided with coversheets for each major item of equipment. The cover sheets shall be typewritten to indicate the name, type of equipment, and location(s) within the Project where installed. A neatly typewritten index shall be provided. Electronic information shall be in PDF format (additional formats where specified) and shall be organized with folders and appropriate file names so as to make the information easily accessible:

- 1. Product Summary:
 - a. Provide the following information (as applicable, indicate 'N/A' where an item does not apply) in Excel spreadsheet format:
 - 1) Description
 - 2) Plan Sheet Number
 - 3) Parcel Number
 - 4) Vendor
 - 5) Manufacturer
 - 6) Model Year
 - 7) Serial Number
 - 8) Warranty Start Date; Finish Date
 - 9) Make
 - 10) Model
- 2. Operating Procedures: These instructions consist of the manufacturer's recommended step-by-step procedures for use of the product.
- 3. Maintenance Procedures: These instructions consist of the equipment manufacturer's recommended steps and schedules for maintaining the product.
- 4. Specific Information: Where items of information not included in the above list are required, they will be provided as described in the specifications for the equipment.
- 5. Complete identification, including model and serial numbers.
- 6. Submittal information, as specified in Section 01 33 00 Submittal Procedures.
- Warranty Information: This information consists of the name, address, and telephone number of the manufacturer's representative to be contacted for warranty, parts, or service information.
- 8. Provide DVDs, and audio-visual training materials utilized in the manufacturer's instruction program for the Owner.
- All operation and maintenance information shall be comprehensive and detailed and shall contain information adequately covering all normal operation and maintenance procedures.
- 10. All information shall be specific for the items of equipment installed on the project. Material not directly applicable shall be removed, omitted, or clearly marked as inapplicable.
- 11. If manufacturer's standard brochures and manuals are used to describe operating and maintenance procedures, such brochures and manuals shall be modified to reflect only the model or series of equipment used on this project.

Project No. 101081.01 Contract No. 070531 12. Extraneous material shall be crossed out neatly or otherwise annotated or eliminated. It shall be the responsibility of the Contractor to ensure that all operation and maintenance materials are obtained. Material submitted must meet the approval of the Engineer prior to project final acceptance.

PART 3 - EXECUTION - NOT USED

END OF SECTION

Project No. 101081.01 Contract No. 070531

PART 1 - GENERAL

1.01 RELATED WORK SPECIFIED ELSEWHERE

A. The provisions and intent of the Contract, including the General Conditions and General Requirements, apply to this work as if specified in this section.

1.02 DESCRIPTION OF WORK

- A. The extent and location of the demolition work is indicated on the Drawings and in the Specifications. The work includes, but is not limited to,
 - The requirements for the removal, wholly or in part, and satisfactory disposal of ballast, subgrade materials, pavement, special trackwork, trackwork, track lubricator, other track materials, miscellaneous site debris, and other obstructions which are designated to be demolished on the Drawings or within these Specifications.
 - 2. Salvaging items as indicated on the Drawings and in the Specifications.
 - 3. Payment of all costs required for disposal of items at legal disposal sites.
- B. All demolition items not identified for salvage by the Engineer shall become the property of the Contractor. Disposal of all demolition items shall be in accordance with the specifications, local, state and federal requirements.

1.03 SUBMITTALS

- A. Demolition Management Plan (DMP)
 - 1. The DMP shall provide the procedures proposed for the complete accomplishment of the demolition work and management of the demolition wastes and documentation.
 - a. The procedures shall provide for safe conduct of the work, careful removal and disposition of materials specified to be salvaged or disposed, protection of property to remain undisturbed, and coordination with other work in progress.
 - b. The procedures shall include a detailed description of the methods, staff, and equipment to be used for each operation, the sequence of operations, and quality control measures to ensure compliance with the Contract and regulatory requirements.
 - c. The plan shall reference the requirements and procedures defined in Sections 01 35 43.19 Export Soil Management and 01 74 19 Waste Management with respect to soil and ballast excavation, handling, testing, and disposal. Submittal requirements in Section 01 35 43.19 and 01 74 19 may be included as part of the DMP or submitted separately.
 - d. The plan shall acknowledge that the work is to take place in an active intermodal railyard and that the Contractor shall confine operations and staging to the laydown area(s) on the plans and the limits of the track and turnouts to be replaced and yield to railyard traffic at all times.

PART 2 - PRODUCTS

2.01 SALVAGE ITEMS FOR PORT OF TACOMA

- A. All material designated to be salvaged to the Port of Tacoma shall be placed within Contractor Laydown area(s) as indicated on the Drawings, where Port staff will load and haul the materials from the site. All salvaged material delivered to the Port shall be stacked on Contractor supplied pallets where practical, or stored by blocking larger items on Contractor supplied dunnage in a neat and orderly manner.
 - 1. All frogs, including frog tie plates.
 - 2. All joint bars and compromise joint bars.
 - 3. All hook twin tie plates.
 - 4. All adjustable brace plates at Turnout 602.
 - 5. Power switch machines. All associated wiring shall be pulled back to the nearest junction box and neatly stored. Junction box location shall be identified on the asbuilt drawings.
 - 6. Manual switch machines.
 - 7. Automatic Equipment Identification (AEI) System Wheel Sensors. All associated wiring shall be pulled back to the nearest junction box and neatly stored. Junction box location shall be identified on the as-built drawings.

2.02 ITEMS TO BE REMOVED

- A. All demolition items not identified for salvage by the Engineer shall become the property of the Contractor. Disposal of all demolition items shall be in accordance with the specifications, local, state and federal requirements.
 - 1. For removal of existing ballast and native material excavated from track section, see articles 3.03 and 3.04 and refer to Sections 01 35 43.19 and 01 74 19 for handling, testing, and disposal requirements.
 - 2. For removal of timber railroad ties within removal limits, refer to Sections 01 35 43.19 and 01 74 19 for handling, testing, and disposal requirements.
 - 3. For removal and demolition of rail material, see additional requirements in section 2.03 of this specification section.

2.03 RAIL MATERIALS FOR OFFSITE RELAY OR RECYCLE

- A. All rail material designated for demolition or removal, which are not salvaged for the Port, shall be shall be salvaged for offsite relay or recycle by the Contractor. All salvaged material shall be stacked on Contractor supplied pallets where practical, or stored by blocking larger items on Contractor supplied dunnage in a neat and orderly manner.
- B. The following materials shall be salvaged for offsite relay or recycle:
 - 1. All turnouts marked for removal, excluding turnout components salvaged for the Port.

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- 2. Salvaged rail conforming to AREMA specifications for Class I and Class II for relay rail.
- 3. Scrap rail, including all rail removed which does not conform to AREMA specifications for Class I and Class II for relay rail.

PART 3 - EXECUTION

3.01 PREPARATION

- A. Utility locates shall be performed prior to start of demolition. Coordinate and resolve with the Engineer to turn off or de-energize affected services before starting demolition.
- B. Verify all items for demolition, disposal, and salvage as early as practicable prior to start of the work. Notify the Engineer immediately if observed conditions differ from anticipated conditions.

3.02 REMOVAL OF RAIL

A. Unless otherwise noted, rail identified on the Drawings for removal shall be removed to the nearest joint at or beyond the length shown on the Drawings.

3.03 SOIL AND BALLAST MATERIAL AT LINCOLN AVENUE

A. Pre-construction soil characterization has not been performed in the area of the Lincoln Avenue overpass beneath the existing concrete panels. In this area, stockpile all removed soil and ballast material on site within the limits of the nearby Contractor Laydown area for material testing. The Contractor is responsible for adhering to the holding, testing, and handling procedures described in Section 01 35 43.19 and 01 74 19 and to stockpiling requirements of Section 01 57 13 Temporary Erosion and Sediment Control for during construction soil testing.

3.04 SOIL AND BALLAST MATERIAL AT ALL OTHER LOCATIONS

A. Pre-construction soil characterization has been performed by the Port at the Transfer Yard and the Bubble Track locations. Test results indicate the presence of Type B Regulated Soil. As a result all material shall be disposed of at an approved Subtitle D solid waste landfill. See Section 01 35 43.19 Export Soil Management.

3.05 DISPOSAL AND DISPOSITION OF MATERIALS

A. Disposition of Materials

- 1. All materials and equipment removed, and not reused or salvaged, shall become the property of the Contractor and shall be removed from Port property.
- The Contractor assumes full responsibility for the proper disposal of all demolition materials under this Contract in a manner that meets the requirements of federal, state and local regulations for protecting the health and safety of employees, the public, and for protecting the environment.
- 3. Existing ballast, excavated base course and excavated soil shall be handled, tested, and disposed of in accordance with Sections 01 35 43.19 and 01 74 19.

B. Cleanup:

1. Haul route and paved site areas will be swept to remove any construction debris or soil tracked out by construction equipment and vehicles.

2. There shall be no debris, rubble or litter left at the site from any of the demolition operations and the site shall be clean.

END OF SECTION

PART 1 - GENERAL

1.01 DESCRIPTION OF WORK

- A. The work includes excavation, subgrade preparation, backfilling, grading, and compaction.
- B. Excess soil and aggregate generated as a result of the work may be re-used onsite if the material meets the requirements for Fill and Backfill. If the material does not meet the aggregate gradation for Fill and Backfill, the material may be exported off-site and disposed of, or may be blended with additional aggregates to meet the grading requirements for Fill and Backfill. Use of on-site material as Fill and Backfill is subject to approval by the Engineer as described in these specifications.
- C. Export of excess soil shall be in accordance with Section 01 35 43.19 Export Soil Management.

1.02 QUALITY ASSURANCE

A. The Port will provide testing and inspection services to the satisfaction of the Engineer unless otherwise specified. Sampling and testing for compliance with the Contract provisions shall be in accordance with Section 01 45 00 of these specifications. The Contractor may obtain copies of results of tests performed by the Port at no cost. Tests conducted for the sole benefit of the Contractor shall be at the Contractor's expense.

1.03 SUBMITTALS

A. The Contractor shall perform and pay for and submit test reports for all imported materials as specified in Paragraphs 2.03, 2.04, and 2.05. Submit test reports for all field tests to determine in-place density as specified in Paragraph 3.05.

1.04 SITE CONDITIONS

A. Existing Utilities: The Contractor shall verify the location of existing utilities at the site as described in Section 02 41 13 Selective Site Demolition. Those utilities which are to remain shall be protected from damage. Damage to utilities which are to remain shall be repaired by the Contractor at no cost to the Port.

PART 2 – PRODUCTS

2.01 FILL AND BACKFILL

A. Material used for fill and backfill shall be clean, free-draining, sandy gravel or gravelly sand obtained from natural deposits or from excess soils generated during site construction activities. Individual particles shall be free from all objectionable coating. The material shall contain no organic matter or soft friable particles considered objectionable by the Engineer.

- B. Material used for backfill shall be one of the following:
 - 1. Material from track excavation or other on-site borrow soils generated during construction at the site, as approved by the Engineer in accordance with paragraph 2.04, free from organic matter, demolition debris, or other deleterious substances, and containing no rocks or lumps over 6 inches in greatest dimension, except where otherwise approved by the Engineer. "Nesting" of rock pieces that will create voids will not be permitted. Characterization of on-site common borrow materials shall be completed by the Port as directed by the Engineer.
 - 2. Imported fill material consisting of bank run gravel for trenches meeting the following requirements:
 - a. Gravel shall consist of granular material, either naturally occurring or processed. It shall be essentially free from various types of wood water or other extraneous or objectionable materials. It shall have such characteristics of size and shape that it will compact readily, and the maximum particles size shall not exceed 2/3 of the depth of the layer being placed.
 - b. The amount of fines shall not exceed 5 percent based on the minus ³/₄-inch fraction.
 - c. Off-site borrow materials shall be characterized as specified in paragraphs 2.03 and 2.05 at the Contractor's expense.
 - d. Material shall be graded between the limits specified below:

Sieve Size	Percent Passing (by weight)
8-inch	100
2 1/2-inch	95-100
3/4-inch	60-90
U.S. No. 10	25-65
U.S. No. 40	10-40
U.S. No. 200	0-4

- e. The moisture content of fill material shall be within minus 2 percent to plus 1 percent of the optimum moisture content at the time of compaction.
- f. Gravel material retained on a No. 4 sieve shall contain not more than 0.20 percent by weight of wood waste.

2.02 BASE COURSE

Base course shall meet the requirements of Specification 32 11 23 – Aggregate Base Courses". Imported gravel base shall be characterized as specified in paragraphs 2.03 and 2.05 at the Contractor's expense.

2.03 OFF-SITE BORROW SOURCE CHARACTERIZATION

Off-site borrow source characterization shall be performed by the Contractor as specified in Section 2.05 to assure that imported materials are natural, native, virgin materials, free of contaminants, including debris or recycled materials, and meet the requirements of the contract documents.

Each source of off-site borrow material shall be tested once per year for physical properties.

Each source of off-site borrow for sands and gravels shall be tested once per calendar year for metals.

Each source of off-site borrow for soils, including materials to be used for fill and backfill, shall be tested for metals, chemical compounds and hydrocarbons once for every 500 cubic yards of material to be imported.

The Engineer maintains the right to reject any materials that have been determined to be substandard for any reason. In the event of rejection, it shall be the responsibility of the contractor to remove all stockpiles of rejected material from the site.

A. General

Materials shall be of the quality, size, shape, gradation, or equal to that manufacture as specified herein. The Contractor shall submit a characterization of any and all imported material prior to any on-site placement. The characterization will include source identification, analyses of a material source sample, and a source inspection report. The material shall not be imported to the site until approved by the Engineer. Once approved and imported to the site, the Contractor shall perform an on-site inspection of the material to verify that it is the material sampled for characterization and approval.

B. Source Identification

The Contractor shall provide documentation of the origin of imported materials and maps identifying specific location(s) of material source(s). Physical and chemical characterization reports available from the material supplier shall be provided to the Engineer.

C. Inspection of Source

The Contractor shall inspect all material sources. During such inspection, the Contractor shall assure that materials to be delivered to the jobsite are likely to meet the appropriate specifications. The Contractor shall provide the Engineer two weeks notice of such inspections. The Engineer or a designated representative may accompany the Contractor to witness such inspections. This witnessing shall in no way release the Contractor from complying with the specifications and in no way shall be construed as approval of any particular source of material.

D. Testing, Reporting, and Certification

Off-site borrow materials shall be in accordance with the requirements of Section 2.05 unless waived by the Engineer.

E. Inspection of Materials at the Jobsite

The Contractor shall visually inspect import material upon delivery. Materials shall be inspected for presence of foreign, recycled, or reprocessed material. The Engineer may at any and all times perform an independent inspection. Material may be tested according to Section 2.05 at the Engineer's discretion. Material may be rejected due to the presence of deleterious substances or as a result of substandard test results.

2.04 ON-SITE BORROW SOURCE CHARACTERIZATION

Excess soils generated during site activities may be used as on-site common borrow for backfill and other fills associated with the work, as approved by the Engineer. Characterization of excess materials generated during site activities and proposed for reuse as on-site common borrow material will be performed by the Port of Tacoma as determined by the Engineer to assure that on-site borrow materials are free of contaminants, including debris and meet the requirements of the Contract Documents. The Engineer maintains the right to reject any materials that have been determined to be substandard for any reason. One or more of the physical properties tests listed in paragraph 2.05 of these specifications will be required by the Engineer for characterization prior to acceptance. The Contractor shall provide representative sample(s) of the material if requested.

A. General

Materials shall be of the quality, size, shape, gradation, or equal to that manufacture as specified herein or as approved by the Engineer. The Contractor shall submit a written request for approval for use of on-site common borrow materials at least 1 week prior to any on-site placement. The request shall identify the source of the material, proposed onsite use and quantity of material to be used. The Engineer may request that the Contractor provide samples of the material for physical characterization. The material shall not be reused at the site until approved by the Engineer. Once approved for site use, the Contractor shall perform an on-site inspection of the material to verify that it is the material sampled for characterization and approval.

B. Inspection of Source

The Contractor shall visually inspect excess materials generated from on-site construction proposed to be reused. Materials shall be inspected for presence of foreign, recycled, or reprocessed material. The Engineer may at any and all times perform an independent inspection. Material may be tested according to paragraph 2.05 at the Engineer's discretion. Material may be rejected due to the presence of deleterious substances or as a result of substandard test results.

2.05 CHARACTERIZATION TESTING, REPORTING, AND CERTIFICATION OF OFF-SITE MATERIAL

- A. The Contractor shall provide characterization and testing as described below for off-site borrow materials. Testing results shall meet the Port of Tacoma Import Material Screening Criteria to be considered acceptable.
- B. The Contractor shall provide test sample(s) of excess materials to be reused and/or exported. The sample data shall be provided at least one week before proposed use or export of the materials.
- C. The Contractor is responsible for all testing costs associated with characterization of off-site borrow materials. The Port is responsible for testing costs associated with on-site borrow materials and excess materials to be exported.
- D. The Contractor shall provide the following information with each sample submitted:
 - 1. Material Source
 - 2. Proposed On-site Use
 - 3. Sampling dates
 - 4. Chain of custody
 - 5. Sampling locations
 - 6. Contractor's certification that the samples submitted are representative of the materials that shall be used at the site.
- E. Characterization Testing shall include:
 - 1. Physical Properties:
 - a. Grain Size Distribution (ASTM D 422-63)
 - b. Maximum Dry Density (ASTM D1557)
 - 2. Metals and Chemicals:
 - a. Import Material Screening Criteria as indicated in Table 31 00 00 1 Import Material Screening Criteria
 - b. Petroleum Hydrocarbons (NWTPH-Gx (Gasoline) and -Dx (Diesel/Oil))

Table 31 00 00 - 1 - Import Material Screening Criteria

Chemical / Metal Name	Gravel/Rock Criteria (mg/kg)	Soil Criteria (mg/kg)
Volatile Organic Compounds (EPA	Method 8260)	
Benzene	-	0.03
Ethylbenzene	-	6.0
Toluene	-	7.0
Xylenes	-	9.0
Tetrachloroethylene (PCE)	-	0.05
Semi-Volatile Organic Compounds	(EPA Method 8270)	
acenaphthene		99.8
anthracene		2,284
benzo[a]anthracene		0.9
benzo[a]pyrene		0.1
benzo[b]fluoranthene		1.4
benzo[k]fluoranthene		13.7
benzoic acid	-	385
benzyl alcohol	-	8,000
bis(2-ethylhexyl)	-	13.9
phthalate	-	12.9
butyl benzyl phthalate	-	95.5
cresol;o-	-	3.1
cresol;p-	-	8,000
dibenzo[a,h]anthracene	-	0.1
dibenzofuran	-	80
di-butyl phthalate	-	59.7
dichlorobenzene;1,2-	-	9.9
dichlorobenzene;1,4-	-	0.2
diethyl phthalate	-	97.8
dimethylphenol;2,4-	-	1.6
di-n-octyl phthalate	-	800
fluoranthene	-	632

fluorene	-	102
hexachlorobenzene	-	0.09
hexachlorobutadiene	-	0.6
indeno[1,2,3-cd]pyrene	-	1.4
methyl naphthalene;2-	-	320
naphthalene	-	5.0
nitrosodiphenylamine;N-	-	0.6
pentachlorophenol	-	0.004
phenol	-	15.8
pyrene	-	656
trichlorobenzene;1,2,4-	-	0.06
Pesticides / PCBs (EPA Method 8081/808	2)	
ddd	-	0.3
dde	-	0.4
ddt	-	3.0
Polychlorinated biphenyls (PCBs)	-	1.0
Metals (EPA Method 6010/6020/7041)		
Arsenic	13.8	13.8
Cadmium	2.0	2.0
Chromium (total)	2,000	2,000
Chromium (VI)	-	19
Copper	143	143
Lead	250	250
Mercury	2.0	2.0
Nickel	418	418
Zinc	5,981	5,981

PART 3 – EXECUTION

Excavating and grading which is part of this Contract, shall be completed within the tolerances established or within reasonably close conformity with the alignment grade and cross sections indicated on the drawings or as established within these specifications. Care shall be taken to confine all excavations to the trackbed and ballast areas unless otherwise indicated on the Drawings.

3.01 EXCAVATION AND GRADING

- A. Excavation: Shall be the naturally occurring earth, sand, gravel, clays, or mixtures of the above, required to be moved for the construction of roadways, slopes, approaches, driveways and associated work. Excavation material shall be moved with the use of mechanical equipment, such as shovels, loaders, bulldozers, graders, rippers, etc., but shall not require drilling and blasting or drilling and line breaking. Excavation by sluicing method will not be permitted unless specifically approved by the Engineer. In general, excavation shall be removed in horizontal layers in such a way that the resulting material will be a reasonable blend of the naturally occurring materials.
- B. Embankment Compaction (Filling): Place material used for the construction of embankment in horizontal layers upon earth which has been stabilized or otherwise approved by the Engineer for embankment construction.

Irrespective of the method of compaction specified, at the time of compaction the moisture content of that portion of the embankment material passing a U.S. No. 4 sieve shall be not more than three (3) percentage points above or below the optimum moisture content at 100% density as determined by Compaction Control Density Tests, described in Article "Compaction Control Tests" these specifications.

Construct earth embankment in compacted layers of uniform thickness. Carry the layers up full width from the bottom of the embankment. Compact the slopes of all embankments to the required density as part of the embankment compaction work. The embankment shall be compacted with modern, efficient compacting units satisfactory to the Engineer. The compacting units may be of any type, provided they are capable of compacting each lift of the material to the specified density. The right is reserved for the Engineer to order the use of any particular compacting unit discontinued if it is not capable of compacting the material to the required density within a reasonable time, or if the equipment may damage underlying or adjacent soils or structures.

Construct earth embankments in successive horizontal layers not exceeding 4 inches in loose thickness except that the layers in the top 2-feet shall not exceed 2-inches in loose thickness. Compact each layer of the top 2-feet of embankment to 95% and each layer of embankment below the top 2-feet to 90% of the maximum density as determined by compaction control tests. Use small mechanical or vibratory compactor units to compact the layers adjacent to structures that are inaccessible to the loaded haul equipment or other compaction rollers.

3.02 EXCAVATION FOR TRACK AND STRUCTURES, AND TRENCHING FOR UTILITIES

- A. Excavate as necessary for track to lines and grades indicated on the drawings.
- B. Excavation below the designed depth, except as directed by the Port, shall be backfilled with quarry spalls, or other suitable backfill material as approved by the Engineer and compacted as specified, at no extra cost to the Port.

- C. Brace and shore sides of excavations. Comply with all federal, state, and local regulations regarding shoring, bracing, and other protection requirements.
- D. Keep water out of excavated pits and trenches by pumping or other means of dewatering. Water level shall be kept below the bottom of concrete pours before, during, and for a minimum of three days thereafter.
- E. Protect excavated material, stockpiled for use as backfill, from contamination by other materials and from damage by weather by covering with waterproof sheeting or other suitable means.
- F. Unsuitable Excavation: Shall consist of unstable materials, such as peat, muck, water-impregnated clays, swampy or other undesirable materials, including buried logs, stumps, or trash. Unsuitable excavation materials shall be removed to the depth designated by the Engineer.

Unsuitable material excavated shall be replaced with Railway Ballast per Section 34 05 17 – Railroad Work, as directed by the Engineer.

Unsuitable materials, excess material and excavated material not approved by the Engineer for use as fill or backfill shall be transported off-site by the Contractor in accordance with Section 01 35 43.19, Export Soil Management.

3.03 FILL AND BACKFILL FOR STRUCTURES AND UTILITIES

- A. Place backfill to lines and grades indicated on the Drawings.
- B. Remove water from excavated areas, by pumping or other means, before placing any fill material.
- C. Compact subgrade, as specified in paragraph 3.04, before placing any fill or backfill material.
- D. Do not place any fill against concrete walls/structures until the concrete has attained its specified design strength and/or certain other construction sequence criteria, if noted on the drawings, are met, or as specifically approved by the Port.
- E. Place fill in layers not exceeding 12 inches (loose thickness) and compact to at least 95% of dry density (ASTM D 1557).

3.04 COMPACTION

Compaction shall be performed with approved compaction equipment suited to the soil and the area being compacted. Moisten or aerate material as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Each lift of material placed shall be uniformly compacted to the density indicated for the specific material and use set forth in these Specifications. The percent of density required is in relation to the maximum density obtainable at optimum moisture content (Compaction Control Density) as determined in paragraph 3.05 "Compaction Control Tests."

3.05 COMPACTION CONTROL TESTS

Laboratory and field tests shall be performed in accordance with the applicable provisions of these Specifications.

- A. Compaction control density shall be the maximum density at optimum moisture content as determined by ASTM D-1557, Standard Methods for Moisture-Density Relationships of Soil and Soil Aggregates, Methods B, C or D as applicable.
- B. Field tests to determine in-place compliance with required densities as specified, shall be performed in accordance with ASTM D1556, D2167, or D2922.

3.08 SUBGRADE PREPARATION:

A. Preparation of Subgrade: Immediately prior to placement of surfacing materials, clean the entire width of the area of all debris and dispose of as directed by the Engineer. All depressions or ruts which contain storm water shall be drained.

Shape the entire subgrade to a smooth uniform surface, true to line, grade, and cross section as defined in the Drawings. Compact the roadbed material for a depth of six-inches below the subgrade to 95% of the maximum density as determined by compaction tests ASTM Designation D1557. If soft or spongy material underlying the upper six inches of the area being prepared precludes satisfactory compaction of the upper six inches, loosen, aerate, or excavate, replace and compact to the required density as directed by the Engineer.

Remove and dispose of excess material which cannot be disposed of by normal drifting to low spots during blading and shaping operations or by placing in subgrade areas deficient in materials or by wasting, all as directed by the Engineer. Subgrade areas deficient in materials shall be brought to grade by importing suitable materials from other subgrade areas or other sources as directed by the Engineer which meet the requirements of Article 2.01 of this specification. Materials added to subgrade areas deficient in materials shall be watered and compacted as necessary to yield a true finished subgrade as described above.

Once it is prepared, maintain the subgrade for surfacing in the finished condition until the first course of surfacing has been placed.

- B. Finishing Subgrades: Before any paving or ballast material is placed, the subgrade shall be brought to the proper line, grade and cross section and shall be so maintained until the ballast, base course or paving is placed.
 - Compact the subgrade for pavement to 95% of maximum density as defined for Compaction Control Density, Article "Compaction Control Tests" of these Specifications, to a minimum depth of six inches.
- C. Subgrade Protection: Take all precautions necessary to protect the subgrade from damage; hauling over the finished subgrade shall be limited to that which is essential for construction purposes. Equipment used for hauling over the prepared

subgrade which, in the opinion of the Engineer, is causing undue damage to the prepared subgrade or to the underlying materials, shall be removed from the work at the request of the Engineer. Repair at the Contractor's expense all cuts, ruts and breaks in the surface of the subgrade prior to placing surfacing, treated base, or paving materials. Protect the prepared subgrade from both the Contractor's traffic and public traffic and maintain the subgrade by blading and rolling as frequently as may be necessary to preserve the subgrade in a completely satisfactory condition.

END OF SECTION

PART 1 - GENERAL

1.01 RELATED WORK SPECIFIED ELSEWHERE

A. The provisions and intent of the Contract, including the General Conditions and General Requirements, apply to this work as if specified in this section.

1.02 DESCRIPTION OF WORK

A. The extent of "Aggregate Base Courses" work is indicated on the Drawings. The work includes the requirements for furnishing and installing imported aggregate base. Work includes transporting, placing, shaping and compacting base courses in conformance with these Specifications and the dimensions and sections indicated on the Drawings or within the lines and grades established by the Engineer.

1.03 SUBMITTALS

The Contractor shall submit test reports in accordance with Section 01 33 00 for Contractor furnished import aggregate base as follows:

- A. Sieve analyses for all materials specified in accordance with ASTM C 136.
- B. Maximum Dry Density (ASTM D1557)
- C. Material characterization as specified in Section 31 00 00 paragraphs 2.03 and 2.05.

PART 2 - PRODUCTS

2.01 AGGREGATE BASE COURSE

- A. Material used for base course shall be the following:
 - 1. Base course shall be manufactured from ledge rock, talus, or gravel. The materials shall be uniform in quality and substantially free from wood, roots bark, and other extraneous material and shall meeting the following quality test requirements:

a.	Los Angeles Wear, 500 rev.	35% max.
b.	Degradation Factor – Top Course	25 min.
C.	Degradation Factor – Base Course	15 min.

B. Base course of the various classes shall meet the following requirements for grading and quality when placed in hauling vehicles for delivery to the roadway, or during

manufacture and placement into temporary stockpile. The exact point of acceptance will be determined by the Engineer.

	Base Course	Top Course or Keystone
Sieve Size	Percent	
1-1/4"	99-100	
1"	80-100	
3/4"		99-100
5/8"	50-80	
1/2"		80-100
No. 4	25-45	46-66
No. 40	3-18	8-24
No. 200	7.5 max.	10.0 max
% Fracture	75 min.	75 min.
Sand Equivalent	40 min.	40 min.

- C. The fracture requirement shall be at least one fractured face and will apply to the combined aggregate retained on the No. 4 sieve in accordance with FOP (Field Operating Procedure) for AASHTO T 335.
- D. The Portion of base course retaining on a No. 4 sieve shall not contain more than 0.15 percent wood waste.

PART 3 - EXECUTION

3.01 EQUIPMENT

- A. All equipment necessary for the satisfactory performance of these specifications shall be at the project site and approved by the Engineer prior to beginning work. If central mix plant methods are used, the central mixing plant shall comply with the following requirements:
 - The cold aggregate feeder shall be mechanically operated and adjustable to the extent necessary to provide a uniform and continuous flow of materials. These materials shall be deposited in an approved mixer with a sufficient amount of water being added to obtain the required density when spread and compacted.
 - 2. The water shall be weighed or metered, and dispensed through a device providing uniform dispersion across the mixer.
 - 3. The mixing plant shall be provided with weighing or calibrating devices, feeders, provisions for sampling, and other devices and equipment so designed, coordinated,

- and operated to produce a uniform mixture, and to permit the sampling of the materials before and after mixing.
- 4. The mixer shall be kept in good condition, and mixing blades or paddles shall be of proper size, adjustment, and clearance to provide positive and uniform mixing of the mixture at all times.
- 5. The capacity of the plant and equipment furnished for the Work shall be adequate at all times to provide for efficient and continuous operations insofar as practical.
- B. Equip grading machines or trimmers with a spirit level or other type slope indicator which will continuously indicate the average, transverse slope of the screed. Bubble or indicator movement should be no less than 1/8 inch for each 0.1 percent change in transverse slope.

3.02 PREPARATION OF SUBGRADE

A. Prepare subgrade as specified in Section 31 00 00 and obtain approval of the Engineer before placing base course materials.

3.03 PLACEMENT OF BASE COURSE AGGREGATES

- A. Prior to placement Contractor shall blend the various source materials to create a homogenous, well graded, mixture.
- B. Equipment necessary for the satisfactory performance of this construction shall be on the project and approved by the Engineer prior to beginning work, see paragraph 3.01.
- C. Prepare subgrades as specified above and obtain approval of the Engineer before placing base course, ballast or surfacing materials.
- D. Mixing: After each layer of material is placed, mix the material by motor graders or other approved equipment until the mixture is uniform throughout. Add water as directed by the Engineer to facilitate mixing and compacting.
- E. Placing and Spreading: Spread each layer of material by means of approved spreading equipment. Such equipment may be bottom-dump hauling equipment with transverse spreading facilities; self-propelled spreading and leveling machines; or spreader boxes equipped with wheels or so constructed as to preclude damage to the subgrade or underlying courses. Spreading in small areas of less than 2,000 square yards or in areas irregular in shape may be accomplished by other means as directed by the Engineer. Material shall be placed in layers not exceeding 6 inches.
- F. Shaping and Compacting: Immediately following spreading and shaping, compact each layer to at least ninety five percent (95%) of the maximum dry density determined in accordance with ASTM D-1557 before the next succeeding layer is placed thereon. When the thickness of the base course is less than 0.15 feet, density testing may not be required and the Engineer will determine the number of coverage's required for the particular compaction equipment available.

Vibratory compactors or rollers shall be adequate in design and number to provide compaction and obtain the specified density for each layer while still moist. Apply a mist spray of water as needed to replace moisture lost by evaporation. The completed layer

shall have a smooth, tight, uniform surface true to the line, grade and cross section indicated on the Drawings.

Variations in the surface of the top course shall be a maximum of 1/4 inch in 10 feet. Shave off or fill in variations greater than the allowable and recompact that area.

- G. Surface Maintenance: Maintain the surface of each layer of material true to line, grade and cross section by blading, watering and rolling until placing the succeeding course. Place the first course of material on all available subgrade before placing the succeeding course unless otherwise authorized by the Engineer. Should irregularities develop in any surface during or after compaction, remedy by loosening the surface and correcting the defects, then thoroughly recompact the entire area, including the surrounding surface. In the event that additional materials are necessary to make the repairs, they shall be provided at no additional cost to the Port.
- H. Route hauling equipment over the roadway in such a manner as to be most effective in the compacting of the material. Hauling over the surfacing in the process of construction will not be permitted when, in the opinion of the Engineer, the effect will be detrimental.

3.04 COMPACTION

A. See Section 31 00 00 - Earthwork.

3.05 COMPACTION CONTROL TESTS

A. See Section 31 00 00 - Earthwork.

END OF SECTION

PART 1 – GENERAL

1.01 DESCRIPTION OF WORK

The extent of Hot Mix Asphalt (HMA) Pavement Class ½" PG 64-22 "Asphalt Paving" work is indicated on the Drawings. The work includes the requirements for producing, transporting, placing, shaping and compacting of one or more courses of materials in conformance with these specifications and the dimensions and sections indicated on the Drawings.

1.02 QUALITY ASSURANCE

The Port will provide necessary inspection services. Sampling and testing for compliance with the Contract provisions shall be in accordance with Section 01 33 00 of these Specifications. The Contractor may obtain copies of results of tests performed by the Port from the office of the Port, at no cost. Tests conducted for the sole benefit of the Contractor, shall be at the Contractor's expense.

1.03 SUBMITTALS

The Contractor shall also submit certificates of Specification compliance for materials to be used.

- A. Hot mix asphalt mix design taking into account the specific plan and equipment to be used.
- B. Material data sheets for asphalt materials, certificates of compliance for asphalt materials and aggregates.
- C. Gradation and material test results for aggregates used for HMA, including Los Angeles Wear, Degradation Factor, and fracture requirements.
- D. Name, contact information and current accreditation documentation for AASHTO accredited independent testing agency.
- E. Acceptance testing results.

1.04 ACCEPTANCE TESTING REQUIREMENTS

- A. Acceptance sampling and testing of Hot Mix Asphalt shall be performed at the Contractor's expense by an independent AASHTO accredited testing and inspection agency. Testing agency shall be accredited by AASHTO for the specific testing methods to be performed. Acceptance testing shall be provided under nonstatistical evaluation on up to three samples when ordered by the Engineer.
- B. Sampling shall be performed in accordance with Washington State Department of Transportation Test Method T 716.

- C. The Contractor shall perform sampling in the presence of the Engineer and in accordance with WSDOT FOP for WAQTC/AASHTO T 168.
- D. Testing Methods:
 - 1. Testing of HMA for compliance of asphalt binder content shall be by WSDOT FOP for AASHTO T308.
 - 2. Testing of HMA for compliance of gradation shall be by WAQTC FOP for AASHTO T27/T11.
- E. The results of all acceptance testing shall be provided to the Engineer.

PART 2 - PRODUCTS

- 2.01 ASPHALT CONCRETE PAVEMENT
 - A. Asphalt concrete pavement shall be Hot Mix Asphalt Class 1/2" PG 64-22.
 - B. The Performance Graded Asphalt Binder (PGAB) shall meet the requirements of AASHTO M320 Table 1. Additionally, all performance grade (PG) asphalt binders shall meet the following requirements:

		Additional Requirements by Performance Grade (PG) Asphalt Binders							
Property	Test Method	PG 58-22	PG 64-22	PG 64-28	PG 70-22	PG 70-28	PG 76-28		
RTFO Residue: Elastic Recovery ¹	AASHTO T301 ²			60% Min.	60% Min.	60% Min.	60% Min.		

¹Elastic Recovery @ 25°C±0.5°C

C. The materials of which HMA is composed shall be of such sizes, grading, and quantity that, when proportioned and mixed together, they will produce a well graded mixture within the requirements listed below. The aggregate percentage refers to completed dry mix, and includes mineral filler when used.

Aggregate Gradation Control Points									
Sieve Sizes Percent 3/8 Inch 1/2 Inch 3/4 Inch 1 Inch									
1-1/2"				99-100					
1"			99-100	90-100					
3/4"		99-100	90-100	90 Maximum					

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²Specimen conditioned in accordance with AASHTO T240 – RTFO

1/2"	99-100	90-100	90 Maximum	
3/8"	90-100	90 Maximum		
No. 4	90 Maximum			
No. 8	32-67	28-58	23-49	19-45
No. 200	2.0-7.0	2.0-7.0	2.0-7.0	1.0-7.0

2.02 ASPHALT MATERIALS

A. Aggregate

1. General Requirements

Preliminary testing of aggregates for source approval shall meet the following test requirement:

Los Angeles Wear, 500 Rev. 30% max.

Degradation Factor, Wearing Course30 min.

Degradation Factor, Other Course 20 min.

Sand Equivalent 45 min.

Aggregate sources that have 100 percent of the mineral material passing the No. 4 sieve shall be limited to no more than 5 percent of the total weight of aggregate.

Aggregates shall be uniform in quality, substantially free from wood, roots, bark, extraneous materials, and adherent coatings. The presence of a thin, firmly adhering film of weathered rock will not be considered as coating unless it exists on more than 50 percent of the surface area of any size between consecutive laboratory sieves.

Aggregate removed form deposits contaminated with various types of wood waste shall be washed, processed, selected, or otherwise treated to remove sufficient wood waste so that the oven dried material retained on a No. 4 sieve shall not contain more than 0.1 percent by weight of material with a specific gravity less than 1.0.

Gradation

The Contractor may furnish aggregates for use on the same contract from multiple stockpiles. The gradation of the aggregates shall be such that the completed mixture complies in all respects with the pertinent requirements paragraph 2.01.C.

Acceptance of the aggregate gradation shall be based on samples taken from the final mix.

3. Mineral Filler

Mineral filler, when used in HMA mix, shall conform to the requirements of AASHTO M 17.

4. Test Methods for Aggregates

The properties enumerated in these Specifications shall be determined in accordance with the following methods of test:

Title	Test Method		
FOP for AASHTO T 2 for Standard Practice for Sampling Aggregates	FOP for AASHTO T 2		
Organic Impurities in fine Aggregates for Concrete	AASHTO T 21		
Clay Lumps and Friable Particles in Aggregates	AASHTO T 112		
Resistance to Degradation of Small Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine	AASHTO T 96		
Material Finer than 0.075mm (No. 200) Sieve in Mineral Aggregates by Washing	AASHTO T 11		
FOP for AASHTO for Determining the Percentage of Fracture in Coarse Aggregates	FOP for AASHTO T 335		
FOP for WAQTC/AASHTO for Sieve Analysis of Fine and Coarse Aggregates	FOP for WAQTC T 27/T 11		
FOP for AASHTO T 176 for Plastic Fines in Graded Aggregates and Soils by Use of the Sand Equivalent Test	FOP for WAQTC T 176		
Method of Test of Determination of Degradation Value	WSDOT T 113		
Particle Size Analysis of Soils	WSDOT T 88		

B. Asphalt shall be PG 64-22 conforming to AASHTO Specification M 320.

C. Joint sealer shall be paving asphalt 64-22 conforming to AASHTO Specification M 320

D. Tack coat shall be emulsified asphalt, CSS-1, conforming to following table:

D. Tack coat s	i ali be e	Rapid Setting							Madium Setting					Slow Setting			
	Туре	R	apid	Settir	g	Medium Setting					8	Slow S	Settin	g			
Grade	AASHT	CR	S-1	CR	S-1	CMS	S-2S	CM	S-2	CMS	S-2h	CS	S-1	CSS	S-1h		
	O Test Method	Mi n	Ma x	Mi n	Ma x	Mi n	Ma x	Mi n	Ma x	Mi n	Ma x	Mi n	Ma x	Mi n	Ma x		
Tests on Emulsified Aspha	Tests on Emulsified Asphalts:																
Viscosity SFS @ 77°F (25°C)	T 59											20	10 0	20	10 0		
Viscosity SFS @ 122°F (50°C)	T 59	20	10 0	15 0	40 0	50	45 0	50	45 0	50	45 0						
Storage stability test 1 day %	T 59		1		1		1		1		1		1		1		
Demulsibility 35 ml																	
0.8% sodium dioctyl																	
Sulfosuccinate, %ª	T 59	40		40													
Particle charge test	T 59	Po s		Po s		Po s		Po s		Po s		Po s ^b		Po s ^b			
Sieve Test, %	T 59		0.1 0		0.1 0		0.1 0		0.1 0		0.1 0		0.1 0		0.1 0		
Cement mixing test, %	T 59												2.0		2.0		
Distillation																	
Oil distillate by vol. of emulsions %	T 59		3	1.5	3		20		12		12						
Residue, %	T 59	60		65		60		65		65		57		57			
Tests on Residue From Dis	Tests on Residue From Distillation Tests:																
Penetration, 77°F (25°C)	T 49	10 0	25 0	10 0	25 0	10 0	25 0	10 0	25 0	40	90	10 0	25 0	40	90		
Ductility, 77°F (25°C) 5 cm/min., cm	T 51	40		40		40		40		40		40		40			
Solubility in trichloroethylene, %	T 44	97. 5		97. 5		97. 5		97. 5		97. 5		97. 5		97. 5			

2.03 ASPHALT MIXING

Plants used for the preparation of HMA shall conform to the following requirements:

- A. Equipment for Preparation of Asphalt Binder: Tanks for the storage of asphalt binder shall be equipped to heat and hold the material at the required temperatures. The heating shall be accomplished by steam coils, electricity, or other approved means so that no flame shall be in contact with the storage tank. The circulating system for the asphalt binder shall be designed to ensure proper and continuous circulation during the operating period. A valve for the purpose of sampling the asphalt binder shall be placed in either the storage tank on in the supply line to the mixer.
- B. Thermometric Equipment: An armored thermometer, capable of detecting temperature ranges expected in the HMA mix, shall be fixed in the asphalt binder feed line at a location near the charging valve at the mixer unit. The thermometer location shall be convenient and safe for access by Inspectors. The plant shall also be equipped with an approved dial-scale thermometer, a mercury actuated thermometer, an electric pyrometer, or another approved thermometric instrument placed at the discharge chute of the drier to automatically register or indicate the temperature of the heated aggregates. This device shall be in full view of the plant operator.
- C. Heating of Asphalt Binder: The temperature of the asphalt binder shall not exceed the maximum recommended by the asphalt binder manufacturer. The asphalt binder shall be heated in a manner that will avoid local variations in heating. The heating method shall provide a continuous supply of asphalt binder to the mixer at a uniform average temperature with no individual variations exceeding 25°F. Also, when a warm mix asphalt (WMA) additive is included in the asphalt binder, the temperature of the asphalt binder shall not exceed the maximum recommended by the manufacturer of the WMA additive.
- D. Sampling and Testing of Mineral Materials: The HMA plant shall be equipped with a mechanical sampler for the sampling of the mineral materials.
- E. Sampling HMA: The HMA plant shall provide for sampling HMA by one of the following methods:
 - 1. A mechanical sampling device attached to the HMA plant.
 - 2. Platforms or devices to enable sampling from the hauling vehicle without entering the hauling vehicle.

PART 3 — EXECUTION

3.01 HAULING AND DELIVERY

A. Hauling Equipment: Trucks used for hauling HMA shall have tight, clean, smooth metal beds and shall have a cover of canvas or other suitable material of sufficient size to protect the mixture from adverse weather. Whenever the weather conditions during the work shift include, or are forecast to include,

precipitation or an air temperature less than 45°F, the cover shall be securely attached to protect the HMA.

In order to prevent the HMA mixture from adhering to the hauling equipment, truck beds are to be sprayed with an environmentally benign release agent. Excess release agent shall be drained prior to filling hauling equipment with HMA. Petroleum derivatives or other coating material that contaminate or alter the characteristics of the HMA shall not be used. For hopper trucks, the conveyer shall be in operation during the process of applying the release agent.

3.02 ASPHALT HANDLING EQUIPMENT

A. Hot Mix Asphalt Pavers: HMA pavers shall be self-contained, power-propelled units, provided with an internally heated vibratory screed and shall be capable of spreading and finishing courses of HMA plant mix material in lane widths required by the paving section shown in the Plans.

Prior to the use of any HMA paver, the Contractor shall certify the paver is equipped with the most current equipment available from the manufacturer for the prevention of the segregation of the coarse aggregate particles. The certification shall list the make, model, and year of the paver and any equipment that has been retrofitted to the paver.

The screed shall be operated in accordance with the manufacturer's recommendations and shall effectively produce a finished surface of the required evenness and texture without tearing, shoving, segregating, or gouging the mixture. A copy of the manufacturer's recommendations shall be provided upon request by the Port. Extensions will be allowed provided they produce the same results, including ride, density, and surface texture as obtained by the primary screed. Extensions without augers and an internally heated vibratory screed shall not be used.

The paver shall be equipped with automatic screed controls with sensors for either or both sides of the paver. The controls shall be capable of sensing grade from an outside reference line, sensing the transverse slope of the screed, and providing automatic signals that operate the screed to maintain the desired grade and transverse slope. The sensor shall be constructed so it will operate from a reference line or a mat referencing device.

The transverse slope controller shall be capable of maintaining the screed at the desired slope within plus or minus 0.1 percent. The paver shall be equipped with automatic feeder controls, properly adjusted to maintain a uniform depth of material ahead of the screed.

Manual operation of the screed will be permitted in the construction of irregularly shaped and minor areas.

The Contractor shall furnish and install all pins, brackets, tensioning devices, wire, and accessories necessary for satisfactory operation of the automatic control equipment.

- Any cleaning or solvent type liquids spilled on the pavement shall be thoroughly removed before paving proceeds.
- B. Material Transfer Device/Vehicle: Direct transfer of HMA from the hauling equipment to the paving machine will not be allowed in the top 0.30 feet of the pavement section of hot mix asphalt (HMA) used in traffic lanes with a depth of 0.08 feet or greater. A material transfer device or vehicle (MTD/V) shall be used to deliver the HMA from the hauling equipment to the paving machine. HMA for prelevel, pavement repair, or HMA placed in irregularly shaped and minor areas are excluded from this requirement. At the Contractor's request the Engineer may approve paving without an MTD/V; the Engineer will determine if an equitable adjustment in cost or time is due.

3.03 PLACING ASPHALT CONCRETE

- A. The asphalt concrete shall be prepared from materials as previously described and by plants and methods conforming to these specifications.
- B. Bituminous courses shall be placed when the crushed surfacing is dry and weather is not rainy. No mix shall be placed at atmospheric temperature below 40°F unless otherwise approved by the Engineer. Paving shall be placed using an approved type of paving machine. Workers shall not be allowed to walk or stand on the finished mixture before it has been rolled.
- C. Asphalt concrete shall be placed in two lifts of equal thickness over the crushed surfacing course as shown in the plans. Lifts shall not exceed 3 inches and a tack coat shall be applied as needed in between.
- C. The mixture shall be laid upon an approved surface, spread, and struck off to the grade and elevation established. HMA pavers complying with Section 3.02 shall be used to distribute the mixture.
- D. On areas where irregularities or unavoidable obstacles make the use of mechanical spreading and finishing equipment impractical, the paving may be done with other equipment or by hand.
- E. Paved slopes and paved ditch sections shall be shaped and thoroughly compacted to avoid spalling at the edges.

3.04 TACK COAT

Tack coat of emulsified asphalt shall be applied to all surfaces on which any course of HMA is to be placed or abutted, including pavement, catch basins, manholes and other structures. Rate of application shall be 0.10 gal/sq. yd. Tack coat requirement between lifts may be waived by the Engineer if the base course surface is kept thoroughly clean and the time lag between placement of base and wearing course is small.

3.05 COMPACTION

- A. Immediately after the HMA has been spread and struck off, and after surface irregularities have been adjusted, the mix shall be thoroughly and uniformly compacted. The completed course shall be free from ridges, ruts, humps, depressions, objectionable marks, and irregularities and shall conform to the line, grade, and cross-section shown in the Plans.
- B. Compaction shall take place when the mixture is in the proper condition so that no undue displacement, cracking, or shoving occurs. Areas inaccessible to large compaction equipment shall be compacted by mechanical or hand tampers. Any HMA that becomes loose, broken, contaminated, shows an excess or deficiency of asphalt, or is in any way defective, shall be removed and replaced with new hot mix that shall be immediately compacted to conform to the surrounding area.
- C. The type of rollers to be used and their relative position in the compaction sequence shall generally be the Contractor's option, provided the specified densities are attained. An exception shall be that pneumatic tired rollers shall be used for compaction of the wearing course beginning October 1st of any year through March 31st of the following year. Coverage with a steel wheel roller may precede pneumatic tired rolling. Unless the Engineer has approved otherwise, rollers shall only be operated in the static mode when the internal temperature of the mix is less than 175°F. Regardless of mix temperature, a roller shall not be operated in a mode that results in checking or cracking of the mat.
- D. Density of the pavement in place shall be a minimum of 91% or the reference maximum density as determined by WSDOT Test Method 716. The reference maximum density shall be determined as the moving average of the most recent five determinations for the lot of asphalt concrete being placed.

3.04 JOINT SEAL

Apply joint sealer to the edges of new paving joints, catch basins, manholes, at the meet lines to concrete structures and as directed by the Engineer.

3.05 SURFACE SMOOTHNESS

- A. The completed surface of all courses shall be of uniform texture, smooth, uniform as to crown and grade, and free from defects of all kinds. The completed surface of the wearing course shall not vary more than 1/8 inch from the lower edge of a 10-foot straightedge placed on the surface parallel to the centerline. The transverse slope of the completed surface of the wearing course shall vary not more than 1/4 inch in 10 feet from the rate of transverse slope shown in the Plans.
- B. When deviations in excess of the above tolerances are found that result from a high place in the HMA, the pavement surface shall be corrected by one of the following methods:

- 1. Removal of material from high places by grinding with an approved grinding machine, or
- 2. Removal and replacement of the wearing course of HMA, or
- 3. By other method approved by the Engineer.

Correction of defects shall be carried out until there are no deviations anywhere greater than the allowable tolerances.

- C. Deviations in excess of the above tolerances that result from a low place in the HMA and deviations resulting from a high place where corrective action, in the opinion of the Engineer, will not produce satisfactory results will be accepted with a price adjustment.
- D. When utility appurtenances such as manhole covers and valve boxes are located in the pavement, the Roadway shall be paved before the utility appurtenances are adjusted to the finished grade.

END OF SECTION

PART 1 - GENERAL

1.01 RELATED WORK SPECIFIED ELSEWHERE

A. The provisions and intent of the Contract, including the General Conditions and General Requirements, apply to this work as if specified in this section.

1.02 DESCRIPTION OF WORK

- A. This Section specifies the material requirements and performance criteria for trackwork and special trackwork to be furnished by the Contractor and installed in accordance with the Contract Drawings. See Section 34 11 23 for additional special trackwork specifications.
- B. Except as modified herein, special trackwork shall be designed, manufactured, tested, assembled, inspected, handled and shipped in accordance with the current edition of the American Railway Engineering and Maintenance-of-Way Association (AREMA) Portfolio of Trackwork Plans, and the AREMA Manual of Railway Engineering.
- C. The extent and location of railroad work is indicated on the drawings. The work includes the requirements for providing railroad track and special trackwork complete with rail, ties and all appurtenances necessary for a complete, operable railway system.

1.03 REFERENCED STANDARDS:

- A. American Railway Engineering and Maintenance-of-Way Association Manual for Railway Engineering (AREMA).
- B. American Railway Engineering and Maintenance-of-Way Association Portfolio of Trackwork Plans (AREMA PORTFOLIO) 2014.
- C. Code of Federal Regulations Title 49 Transportation, Chapter II Federal Railroad Administration, Department of Transportation, Part 213 Track Safety Standards.
- D. Code of Federal Regulations Title 49 Transportation, Chapter II Federal Railroad Administration, Department of Transportation, Part 214 Railroad Workplace Safety.

1.04 CONTRACTOR FURNISHED MATERIAL:

A. Contractor shall provide all materials required for completion of the Work, except those materials identified on the Drawings and in the Specifications as Port Furnished Material.

1.05 SUBMITTALS:

A. Rail Construction Sequencing Plans as described in Section 01 14 00, Work Restrictions

B. Certification of Rail

 Contractor to provide Certifications of compliance from suppliers or manufacturers that Rail delivered to the site is in conformance with AREMA Specifications Chapter 4, Part 1 Design of Rail and Part 2 Manufacture of Rail.

- 2. The chemical analysis of the rails listed by heat number, and the specified chemical analysis elements.
- 3. The Brinell hardness of the rails shipped by heat numbers.

C. Certification of Other Track Material

 Contractor to provide Certifications of compliance from suppliers or manufacturers that Joint Bars, Compromise Joints, Track Bolts, Nuts and Washers delivered to the site are in conformance with AREMA Specifications Chapter 4, Part 3 Joining of Rail.

D. Certification of Tie Plates

- 1. Contractor to provide Certifications of compliance from suppliers or manufacturers that Tie Plates delivered to the site are in conformance with AREMA Specifications Chapter 5, Part 1 Tie Plates and these specifications.
- 2. Contractor to provide shop drawing detailing all tie plates using elastic fasteners.

E. Certification of Elastic Fasteners on Timber Ties

- Contractor to provide Certifications of compliance from suppliers or manufacturers that Elastic Fasteners delivered to the site are in conformance with AREMA Specifications Chapter 5, Part 9, Design Qualification Specifications for Elastic Fasteners of Timber Cross Ties.
- 2. Contractor to provide shop drawing detailing elastic fasteners and clamping force.

F. Certification of Screw Spikes

- Contractor to provide Certifications of compliance from suppliers or manufacturers that Screw Spikes delivered to the site are in conformance with AREMA Specifications Chapter 5, Part 10, Section 10.1 Steel Screw Spikes.
- G. Gage Rods: Provide catalog cut sheet.

H. Ballast

- 1. The Contractor shall provide laboratory certification that the railroad ballast meets the Specifications of this Section.
- Offsite borrow source characterization in accordance with Section 31 00 00, Earthwork.
- I. Vertical and Horizontal Alignment Verification: Vertical and horizontal control survey of finished top of rail. Submittal to consist of a table comparing proposed top of rail elevations to as constructed top of rail elevations at 50 foot intervals along the centerline of alignment. Submittal to be reviewed and approved by Engineer. Where no proposed top of rail is given, the submittal is to consist of documented measurements of the vertical and horizontal alignment of the new trackwork to verify consistency with the FRA Safety Standards for Track Geometry Gage and Alignment for Class 3 track, except where more stringent tolerances are required as specified on the Drawings and in these Specifications.
- J. Flangeway detail. Shop drawing detailing method of providing flange way block out in asphalt placed around the rail. Plan to be approved by the Engineer before paving around rail begins.

K. Manufacturer's literature on track lubricator.

1.06 QUALITY ASSURANCE:

- A. The Contractor performing railroad work shall be regularly engaged in the furnishing and installation of railroad trackwork, and shall employ at least one (1) supervisory person who is thoroughly trained and experienced in trackwork construction. The supervisor shall be completely familiar with the design and application of the work described in this Section and shall direct all work performed under this Section.
- B. The Contractor shall own a copy of the American Railway Engineering and Maintenance-of- Way Association Manual for Railway Engineering (AREMA), Chapters 1, 4, 5, and 30.

PART 2 - PRODUCTS

2.01 GENERAL:

- A. Furnish and install all track materials and products to complete the railroad track and special trackwork, as shown on the Drawings. Completed railroad track shall conform in all regards to the AREMA Manual of Railway Engineering.
- B. Trackwork will use a resilient fastening system, Pandrol type E, or approved equal.

2.02 RAIL:

- A. The Contractor shall provide new rail. All rail shall be 136 RE, as shown on the Drawings, meeting the requirements of AREMA Manual, Chapter 4, Part 2, Specification Section "Specifications for Steel Rails". The Contractor shall provide high strength head hardened rail. High strength head hardened rail shall have a minimum surface Brinell Hardness number of 370.
- B. The rail for this track to generally be constructed using lengths of 39 feet or 80 feet.
- C. Bolt holes within the rail are not acceptable, except at the ends of the rail at locations where joint bars are used.
- D. The rail section shall conform to the dimensions shown in AREMA Manual, Chapter 4, Part 1 for 136 RE.

2.03 RAILWAY BALLAST AND WALKWAY ROCK:

- A. Railway ballast shall conform to the material requirements and be manufactured in accordance with AREMA Chapter 1, Part 2 Ballast. Ballast shall be manufactured by mechanical crushing from ledge rock, talus, or quarry rock and 100% of the material shall have at least one fractured face and 95% of the material retained on a 3/4-inch screen shall have three (3) fractured faces.
- B. Railway Ballast material shall not contain more than a total of 1% by weight of wood wastes, clay lumps, dust, or other extraneous material. Carbonate rock and slag is prohibited for use as ballast.
- C. The material from which railway ballast is manufactured shall meet the following test requirements:
 - 1. Los Angeles, Wear, 500 Rev. 35% max.

Project No. 101081.01 Contract No. 070531 2. Railway Ballast shall conform to AREMA No. 4 gradation requirements when sampled from stock pile to be loaded for shipment:

Table 34 05 17-A, AREMA No. 4 Gradation					
Sieve Size	Passing % by Weight				
2 inch	100				
1 1/2 inch	90-100				
1 inch	20-55				
¾ inch	0-15				
½ inch	-				
3/8 inch	0-5				

3. Walkway rock shall be railway ballast conforming to AREMA No. 5 gradation requirements when sampled from stock pile to be loaded for shipment:

Table 34 05 17–B, AREMA No. 5 Gradation					
Sieve Size	Passing % by Weight				
1 1/2 inch	100				
1 inch	90-100				
¾ inch	40-75				
½ inch	15-35				
3/8 inch	0-15				
No. 4	0-5				

- 4. Gradation test shall be determined in accordance to ASTM C-136, utilizing square opening sieves conforming to ASTM Specifications E-11.
- 5. Material qualities shall be as follows:

Table 34 05 17–C, Ballast Material Qualities				
Property	Minimum	Maximum	Test Method	
Percent Passing No. 200 Sieve	-	1%	ASTM C117	

Bulk Specific Gravity - Rock	2.6	-	ASTM C127
Absorption – Rock	-	1.3 %	ASTM C127
Clay Lumps and Friable Particles	-	0.5 %	ASTM C142
Degradation	-	35%	ASTM C535 ASTM C131
Flat and Elongated Particles	-	5%	ASTM D 4791 Test C, Length > 3 times avg thickness

2.04 JOINT BARS AND COMPROMISE JOINTS:

- A. Joint bars shall conform to the AREMA Manual, Chapter 4, Part 3 "Joining of Rail", Section 3.1 and 3.2. Joint Bars shall be 6-hole, 36 inches long, conforming to the AREMA Manual for Railway Engineering, Section 3.2 "Joint Bars and Assemblies."
- B. The bars shall be smoothly rolled, or forged, true to template and shall accurately fit the rails for which they are intended and shall provide a true alignment of the gage and running surfaces of the two rails being connected. A variation of ±1/32 inch from the specified size of holes, or ±1/16 inch from the specified location of holes, and of ±1/8 inch from the specified length of joint bar will be permitted.
- C. Where compromise joints are shown, the Contractor shall field verify the size of existing rail to select the proper compromise joint bars.
- D. Each compromise joint bar shall also have the rail sections shown at each end along with the word "Gage" or "Out" to indicate on which side of the rail the bar is to be used. (If the compromise joint bars are interchangeable, the words gage and out will be omitted.)

2.05 TIE PLATES:

- A. Tie plates shall conform to AREMA Manual Chapter 5, Part 1, "Specifications for Steel Tie Plates".
- B. Either low carbon or high carbon steel tie plates may be furnished.
- C. Tie plates shall accommodate two elastic spring clips and at least four screw spikes to secure the plates to the timber ties. Tie plates to have a minimum length of 16". Tie plates shall have minimum width of 7-3/4" and minimum thickness of 5/8" under the rail in base section.
- D. Tie plates to have 1" diameter holes to accommodate 15/16" diameter screw spikes.
- E. Tie plate section to be canted 1:40,+/-5, toward the center line of track.
- F. Tie plates shall have smooth flat bases with no ridges or indentations.

2.06 TRACK BOLTS, NUTS, AND SPRING WASHERS:

A. Track bolts and square nuts shall be new, conforming to the current AREMA Manual, Chapter 4, Part 3, "Specifications for Heated Treated Carbon Steel Track Bolts and Carbon Steel Nuts". Spring washers shall be new conforming to the current AREMA manual Chapter 4, Part 2, "Specification for Spring Washers". For each track bolt, provide a square nut and spring washer of proper size for each bolt.

2.07 ELASTIC RAIL CLIPS:

- A. The elastic rail clips to be used shall be one piece, threadless fasteners of spring steel Pandrol e-2055 Rail or approved equal, which must meet all the following requirements:
- B. An easy to install one piece elastic spring steel rail clip without threaded elements which can be easily removed from its housing without any possible damage to or the loss of the lateral support provided by the shoulder. The design and configuration of the clips, their housing and their area in contact with the rail should be such that a nominal rail seat clamping force of 2500 pounds per clip is provided and frequent rail slippage can be allowed without stressing, bending, twisting or damaging the clips or their housing.

2.08 SCREW SPIKES:

- A. Screw spikes shall be new, conforming to the current AREMA Manual, Chapter 5, Part 10, Section 10.1.
- B. Screw spikes used to fasten the plates to the timber ties shall be one piece with reinforced throat, 3/4" square head, 15/16-inch diameter, 6-inches long per AREMA plan 1S-12 AREMA Rectangle Head Screw Spike.
- C. The head shall be concentric with and firmly joined to the body of the screw. The material shall be free from injurious defects and shall have a workmanlike finish. Screws shall be provided with plain finish.
- D. Finished screws shall conform to the following minimum requirements for tensile properties:
 - 1. High Strength
 - a. Tensile Strength, psi 120,000 Min
 - b. Yield Strength, psi 80,000 Min
 - c. Elongation, % 18 Min
- E. Except for heat-treated screws, steel mill cert data may be used for tensile strength with approval of the Port.
- F. A letter or brand indicating the manufacturer shall be located on the top of the washer of each screw.

2.09 GAGE RODS:

A. Gage rods shall be manufactured to fit the specified rail, shall be manufactured from 1-1/4-inch diameter steel bar with double adjustable clamps at both ends to grip both sides of the rail, and shall be set for standard gage track. Gage rods to be installed on 13' centers within the curves.

2.10 TRACK LUBRICATOR:

- A. Track lubricators shall an assembly of lubricant tank, pumping components, hoses, applicators, controls, sensors, protective mats, foundation and all associated hardware designed for the delivery of friction management lubricants to the gauge face of rail and designed for freight rail applications. Track lubricators shall be a proven effective design with a minimum of 2,500 units currently in service.
- B. Track lubricators shall deliver lubricants at a controllable rate, delivering grease at a rate of 0.75 pounds per 1000 axle passages, and deliver other lubricants at a rate of 0.5 liters per 1000 axle passages. Wheel sensors shall be non-contact and shall activate lubricant delivery upon bi-directional passage of train rolling stock.
- C. Lubricator pumps, hoses and applicators shall deliver consistent and balanced amounts of lubricant to each rail. Lubricator pump shall be gear type and shall be suitable for delivering greases and oils. Lubricant applicators shall apply lubricant to the gauge face of each rail and shall be of aluminum construction. Each applicator shall be a minimum of 48 inches in length with a minimum of 16 ports for the distribution of lubricant across the gauge face of the rail. Applicators shall be designed for installation without grinding or cutting of rail. Each track lubricator shall be furnished with ten applicators, two applicators for each rail and two spares, and lubricant hoses and fittings conforming to the manufacturers recommendations.
- D. Lubricator shall be designed for use DC Solar power and all DC solar power components for a fully functional power system shall be furnished with each track lubricator. Solar panels shall include vandal resistant shielding and aluminum frame and support. Battery shall be non-spillable, deep cycle battery.
- E. Control systems shall be enclosed in a watertight enclosure on the exterior of the lubricant tank and shall provide fuse and surge protection, and manual test function. Controls shall be solid state and shall allow for adjustment of lubricant pump activation interval and duration.
- F. Lubricant tank shall provide capacity for 800 pounds of grease and a minimum volume of 100 gallons. Lubricant tank shall be sloped to drain to pump intake and shall have a watertight locking lid.
- G. Protective mats shall be puncture and solvent resistant fabric manufactured from recycled materials and resistant to UV deterioration. Mats shall contain dripping lubricants while allowing water to pass through. Protective mats shall cover the full width of the track ties at the lubricator installation site and shall extend a minimum of 30 feet along the track beyond each end of the applicators, a total minimum length of 60 feet.
- H. Lubricator tank, pump and controls shall be mounted on timber ties in accordance with manufacturers recommendations.

2.11 LUBRICANT:

A. Lubricant shall be a petroleum based, high performance grease conforming to the following characteristics:

Operating Temperature Range (°C)	-34 to 121

NLGI Grade	1
Drop Point (°C)	177
Base Oil Viscosity, cSt (@40°C)	168

2.12 SPIKES:

A. Track spikes shall be as specified in Chapter 5, Part 2 Track Spikes of the Arema Manual. Spikes shall be new 5/8" by 6" cut track spikes.

PART 3 - EXECUTION

3.01 GENERAL:

- A. The track will be constructed using timber ties and bolted rail. In general, the track is to be constructed using 39-foot or 80-foot rail lengths. Burned or sheared rail will not be accepted. Tie spacing will be 21 inches on center for tangent track and 19-1/2 inches on center for curved track.
- B. Track construction shall be in conformance with the standards of the American Railway Engineering and Maintenance-of-Way Association and the requirements set forth below.
- C. Track construction shall be performed in conformance with CFR 49 Chapter II, Part 214

3.02 RAILWAY BALLAST:

- A. Ballast sections shall conform to typical cross section shown in the Drawings.
- B. Ballast shall be unloaded at required locations in a manner to minimize redistribution and handling.
- C. The ballast shall be placed before the ties are laid. Raise both rails uniformly to the designed grade.
- D. Care shall be taken when distributing materials from trucks and off-track equipment to prevent forming of ruts that would impair proper drainage of subgrade surface.
- E. Ballast shall only be installed over subgrade which has been prepared in accordance with this Specification and has been approved by the Engineer.
- F. Place ballast in lifts not more than 6 inches in thickness before compaction. Layers shall extend beyond the edge of the ties as shown on the Contract Drawings before compaction. Compact ballast thoroughly to form a stable section able to support the subsequent layers and loads.
- G. Compaction of ballast shall be by means of vibratory compaction equipment or specifically manufactured for compaction purposes. Self-propelled, pneumatic-tired roller shall have a gross weight of 10 to 15 tons, and the vibratory compactor shall have a weight of not less than 10 tons and shall be capable of applying a dynamic load of not less than 18,000 pounds at 1300 to 1500 cycles per minute. Proposed compaction equipment shall be approved by Engineer.

- H. Engineer will approve the compacted ballast prior to installation of track and appurtenant work over ballast. Each lift of ballast within initial layer shall be uniformly spread and compacted with not less than four passes of either a self propelled, pneumatic-tired roller or vibratory compactor until no waving or creeping occurs.
- I. Track shall be assembled on compacted ballast to permit placement of additional ballast for subsequent raising and tamping and to provide full depth under ties.
- J. Final track raise shall not exceed 2 inches, and ballast shall be compacted with a 16 tool vibrating squeeze-type mechanical tamper making one full tamping insertion per tie for each inch of raise. Ballast in crib areas shall be compacted by a means approved by Engineer. Track shall be raised, aligned and tamped to within the specified tolerances.
- K. Ballast shall be thoroughly tamped within a space from 15 inches inside each rail to ends of ties. In tamping ties within above described limits, simultaneous tamping shall be performed under each rail. Tamping is not permitted at center of tie except within limits of turnouts and crossings.
- L. Pneumatic or electric tamping tools, either hand held or machine mounted, shall be used. Hand tamping with shovels or picks is not permitted.
- M. Two tamping tools shall always be used opposite each other on same tie. Tamping tools shall be started from a nearly vertical position and worked downward past bottom of tie, after which tool should be slanted downward to force ballast under tie. Double tamp every joint tie.
- N. Ballast shall be mechanically dressed to provide proper section as shown on Drawings.
- O. Excess ballast shall be removed, or may, at Contractor's option, be placed as directed by Engineer. Payment will not be made for ballast in excess of dimensions shown on Drawings.
- P. Overworked and excessively tamped ballast shall be removed and replaced at Contractor's expense.

3.03 TRACK CONSTRUCTION:

- A. Trackwork: Lay rails on timber tie track with staggered joints such that joints in opposite rails shall be staggered not less than 12 feet apart. Use temporary shims to secure proper spacing between the ends of rails. The rail temperature, at the time of laying, shall determine the number and thickness of shims required. Shim thickness shall be in accordance with table 5.2 in AREMA Section 5.1.4.
- B. Space timber ties 21 inches on center for tangent track and 19-1/2 inches on center for curved track, unless otherwise noted. Any deviation from the specified spacing shall be approved by the Engineer prior to installation of spikes or hold down devices.
- C. Care shall be taken in handling or spacing ties to not damage them with picks or spiking hammers. Ties shall be lifted and supported during storage, transportation, and placed in such a manner as to prevent damage. Ties shall not be dropped to the

- roadbed. Tie tongs, lining bars, other suitable tools or tie spacing equipment shall be used.
- D. Place wood ties with heartwood face down and square to the rail, except as otherwise shown in the Drawings.
- E. Ties shall be placed within 0.5 inches of perpendicular to the opposite rail.
- F. Cribs shall be filled to full height unless otherwise directed by the Engineer.
- G. Tie Plates: Set tie plates in correct position on the ties, true to gage, and with shoulders in full contact with the rail. Place one tie plate under each rail at each tie.
- H. Joint Bars: Secure joint bars in place with the full number of bolts, nuts and lockwashers. Stagger bolts, with heads placed inside and outside alternately, and draw tight before fastening rail to tie.
 - 1. A lubricant shall be applied on the rail within the area of the joint bar at time of installation.
 - 2. Rail joints shall be applied so that bars are not cocked between base and head of rail. Bars are to be properly seated in rail.
 - 3. Rail joints are not to be placed in limits of paving on asphalt crossing.
- I. Screw Spikes: Two screw spikes to be provided each side of rail for a total of four screw spikes per plate.
- J. Gage Rods: Gage rods shall be provided in all curves and spaced at 13-foot centers along the centerline of track.

3.04 TRACK LAYING:

- A. The Contractor shall construct the track in conformance with the approximate alignment and profile data (at select locations where available) shown on the Drawings. Alignment is based on the center line of track, equidistant between gage sides of the rails. The Contractor shall match into existing track horizontal and vertical alignment on all legs of new turnouts, unless otherwise noted.
- B. Where track alignment and profile data is not provided, the Contractor shall match existing track alignment and profile and make any necessary adjustments to meet the FRA Safety Standards for Track Geometry Gage and Alignment for Class 3 track, except where more stringent tolerances are required as specified on the Drawings and in these Specifications.
- C. The Contractor shall perform final surfacing and tamping following all other track construction items affecting the track structure. The ballast is to conform to the ballast section shown on the Drawings.
- D. The Contractor shall place the track in good alignment before the final ballast lift is made. The maximum throw for final lining shall not exceed 2 inches. Contractor shall set hubs for the alignment before the final lift is made and final alignment shall conform to the hubs.
- E. Gage of Track:

1. Gage of track is the inside dimension between running rails, measured at right angles to the alignment of the track 5/8" below top of rail. The standard gage of track is 4'-8 1/2".

F. Track Tolerances:

- 1. The final gage, cross level, and horizontal and vertical alignment of all track shall be within the tolerance shown below:
- 2. Gage variation:
 - a. Gage variation shall not exceed 1/8"(+/-) in new track construction.
 - b. New track will be laid to 4'-8 1/2" gage.
- Cross Level:
 - a. Deviation from cross level: No reverse cross level on curves will be allowed. A maximum deviation of minus 1/2 inch cross level on inside rail of curve will be allowed. A maximum of 1/4" cross level deviation will be allowed on tangent track.
- 4. Horizontal Track Alignment:
 - a. Maximum allowable deviation of the middle ordinate from a 62-foot chord,

(a) On curves: 3/8 inch

(b) On tangents: 1/4 inch

G. Vertical Track Profile:

- 1. The maximum permissible variation from profile elevation detailed on profile drawings shall be + 1/2 inch, -0 inch
- H. Maximum permissible runoff per 40 feet in any interim raise shall not exceed: 1 inch
- I. The maximum permissible variation from a uniform profile on either rail at the midordinate of a 62-foot chord shall not exceed: 1/4 inch

3.05 ASSEMBLE AND INSTALL TURNOUTS:

- A. Install turnouts as shown on Drawings.
- B. Installation of frog plates, switch plates, and plates under closure rails shall conform with AREMA trackwork standards, and Shop Drawings.
- C. Following installation of special trackwork on initial layer of ballast, special trackwork shall be lifted, aligned and supported prior to placement of final ballast.
- D. Ballast shall be uniformly placed and spread. Turnout shall then be raised and ballast tamped under both sides of each tie for the full length of tie. Tamp ballast thoroughly throughout length of all ties in turnout. Hand-held power tamping tools shall be used where workheads of tampers cannot reach tie cribs in Special Trackwork. Final top of ballast shall conform to the ballast section as indicated except in cribs between point of switch and heel of switch where it shall be three inches below base of rail to allow clearance for switch rods.
- E. When installing various components of Special Trackwork, particular attention shall be given to the following:

- 1. Check alignment, gage, and surface through turnout.
- 2. See that bolts, nuts, cotter pins, and other fastenings are in place, in good condition, and properly tightened.
- 3. See that switch points fit snugly against rail when switch is thrown in either position.
- 4. See that connecting rod and switch rod bolts are equipped with cotter pins properly spread.
- F. Test operate switches for lost motion and loose connections and adjust as necessary.
- G. Examine rod and fastenings which connect switch point to switch stand to see that they are in place and in good condition.
- H. Switch stands shall be so installed as to hold switch point tightly against the stock rail when stand is in normal position. Switch rods shall be adjusted to hold opposite point tightly against rail when stand is in reverse position.
- I. Switch stands shall be kept securely spiked to switch ties. Switch ties shall be set square with track and kept firmly tamped.
- J. At time of installation, all sliding surfaces of special trackwork assemblies shall be lubricated with a dry film graphite lubricant in accordance with manufacturer's recommendations.
- K. Tamping shall be as per Article 3.08.D of this Section.
- L. No closed point switch point shall be installed in the main track unless it has the proper point protection in place and tested.

3.06 DRILLING:

- A. Rail ends for bolted joints shall be drilled in accordance with AREMA standards. Any additional holes in rail will be sufficient cause for rejection.
- B. Holes in rail shall be drilled to proper size and not punched, slotted, or cut with a torch, and holes shall be chamfered to remove sharp edges.
- C. A variation of 1/32 inch in size and location of bolt holes shall be allowed.
- D. Holes shall be located with proper size rail drilling template and marked with a center punch prior to drilling. Drilling through joint bars is prohibited.

3.07 RAIL ENDS:

- A. Rail shall be cut with rail saw to a tolerance of 1/32 inch from square. All burrs shall be removed and ends made smooth. Torch cut rails will be rejected.
- B. Battered or mismatched ends shall be built up or ground off

3.08 SURFACE, LINE AND GRADE:

- A. Contractor shall perform all surfacing within the stated project limits as specified to bring line and surface into compliance within track geometry tolerances specified in this section.
- B. Contractor shall surface track to zero crosslevel.

- C. Ballast shall be spread and track raised in a series of lifts. No single lift shall be higher than 2 inches. In raising track, jacks or equipment shall be regulated to avoid bending of angle bars or straining of joints. When jacks are used they shall be simultaneously used and properly spaced at not more than quarter points of rail to avoid breaks or bends in rail when track is raised. Both rails shall be raised simultaneously and to proper crosslevel by raising jacks.
- D. Each tie shall be tamped from 15 inches inside rail to end of tie. Tamping shall not be permitted at middle of tie. Both ends of a tie shall be tamped simultaneously and tamping inside and outside rail shall be done at same time. Equipment used for surfacing truck shall be subject to approval by Engineer.
- E. Ties that become loose during track raising shall be unfastened realigned, and refastened before tamping. During each track raise, track is to be uniformly tamped.
- F. After ballasting is completed and track is surfaced and lined, according to tolerances, ballast shall be trimmed neatly and surplus material shall be spread evenly along ballast shoulder.
- G. Contractor shall perform necessary operations to assure that all ties are at right angles to track.
- H. Contractor shall perform two tamping squeezes per tie up to 1-1/2 inches of raise with one additional insertion and squeeze for each additional 1 inch of raise. Joint ties shall be given one additional squeeze than other ties. The maximum allowable raise per surfacing pass shall be 2 inches.
- I. In locations where squeeze tampers cannot fill and compact ballast, such as at frogs, guard rails, switch points of turnouts and headblocks, etc., mechanically tamp with air tools or other hand-held power tamping tools.
- J. On curves, high rail shall be used as line rail and low rail shall be used as grade rail.
- K. When surfacing turnouts, the straight side of turnout shall be used as the line rail.
- L. After ballast regulating in turnouts, Contractor shall immediately clean excess ballast from switch point area, including switch points, switch rods, connecting rods, and guardrail and frog area.
- M. After ballast is regulated and dressed, Contractor shall ensure that resilient fasteners, track bolts and rail anchors are tight and in proper alignment.
- N. Contractor caused damage to signal equipment, shall be repaired at Contractor's sole expense.

3.09 TIE REPLACEMENT:

A. In the area of the Lincoln Ave overpass which calls for tie replacement, the contractor shall remove and dispose of ballast as necessary to remove all existing ties. Existing rail, tie plates, joint bars, anchors, and all other track material including but not limited to bolts, nuts and washers shall be protected and salvaged for reuse. The contractor shall provide new spikes to connect all salvaged material to new ties. New ballast shall be provided by the contractor to fill track cribs and void on either side of the track resulting from tie replacement work. Track shall be surfaced, lined, and dressed according to the specifications.

3.10 TESTING:

A. Before final acceptance of trackwork, the Port will provide for a suitable test locomotive to be run over the entire length of new trackage in the presence of the Engineer. There shall be no noticeable settlement or deflection of ties and rail during the test. The Contractor shall re-line, surface, tamp, or otherwise correct any and all deficiencies as directed by the Engineer.

3.11 TRACK LUBRICATORS:

A. Install track lubricators in accordance with manufacturer's recommendations.

PART 1 - GENERAL

1.01 RELATED WORK SPECIFIED ELSEWHERE

A. The provisions and intent of the Contract, including the General Conditions and General Requirements, apply to this work as if specified in this section.

1.02 DESCRIPTION

A. This section specifies the material requirements and performance criteria for connection of rail segments by aluminothermic (thermite) welding.

1.03 SUBMITTALS

- A. Submit under provisions of Section 01 33 00, "Submittal Procedures", the following information:
 - Procedure: Submit proposed materials, methods and procedures to be used for thermite welding of rail. Include procedure, materials and methods and include the following items:
 - a. Manufacturer's trade name for welding process.
 - b. Method used for cutting and cleaning of rail ends.
 - c. Minimum and maximum spacing between rail ends.
 - d. Method used for maintaining rails in alignment during welding.
 - e. Method used for preheating including time and temperature.
 - f. Tapping procedure including minimum time required to cool weld under mold insulation.
 - g. Method used, including a description of special tools and equipment for removing gates and risers and finishing weld to the final contour.
 - h. Details for compromise welds for different rail sections to be joined.
 - i. Test Reports.
 - j. Welding Records.

1.04 QUALIFICATION

1. Welding shall be performed under direct supervision of an experienced welding foreman or supervisor with a minimum of three years of experience in thermite welding, working on a Class I Railroad.

PART 2 - PRODUCTS

2.01 MATERIALS

A. For thermite welding, materials and equipment shall be as manufactured by "Boutet", "Orgotherm", or other approved equivalent for standard rail.

PART 3 - EXECUTION

3.01 GENERAL

- A. For thermite welding, methods and procedures shall comply with AREMA Manual, Chapter 4, specification for "Thermite Welding-Rail Joints-1980," and with welding kit manufacturer's recommendations and as specified herein.
- B. Rail ends for thermite welding shall be prepared in accordance with recommendations of welding kit manufacturer.
- C. For thermite welding, rail ends shall be preheated prior to welding to a sufficient temperature and for sufficient time to ensure full fusion of weld metal to rail ends without cracking of rail or weld.
- D. Completed weld shall be finished by mechanically controlled grinding to conform to same requirements specified for shop welding.
- E. Welds shall not be made within six (6) inches of bolt holes, or pin holes, or within 3 feet of plant weld.
- F. Follow recommendations of rail manufacturer for welding heat-treated or high strength rails.
- G. Welds must be in cribs between ties and located no closer than four (4) inches to nearest tie.

3.02 FIELD WELDING RECORD

- A. Field welding record shall be continuously maintained and furnished weekly to Engineer. Record shall include the following details:
 - 1. Date and time of weld(s)
 - 2. Location by subdivision, stating track and rail
 - Contractor 's foreman
 - 4. Air and rail temperature
 - 5. Anchorage and rail stress
- B. Rail shall be painted in legible characters at least 1-1/2 inches high at each field weld with the following information:
 - 1. Date of Weld (MO/DAY/YR)
 - 2. Initials of welder performing weld
 - 3. Air temperature at time of weld (AT XXX)
 - 4. Rail temperature at time of weld (RT XXX)
 - 5. Example: 5/5/93 ABC AT90 RT120

3.03 TOLERANCES OF FIELD WELDS

- A. Maximum dimensional tolerance variations, based upon use of 36-inch straight edge, are as follows:
 - 1. Rail Head:

a. Vertical Offset 0. 020 inches

b. Horizontal Offset 0.040 inches

c. Vertical Crown 0.030 - 0.045 inches

d. Horizontal Kink 0.020 inches

2. Rail Base:

a. Horizontal Offsetb. Offset Bending0.060 inches0.010 per inch

3.04 FINISHING OF FIELD WELDS

- A. Sharp edges and burrs are to be removed, including chimneys from welds. All welds shall be ground smooth.
- B. Weld joints shall be smooth on top and sides and straight in line. No overgrinding is permitted.
- C. Weld joints shall be smooth on sides and bottom. Offset blending permitted at rate of 0.010 per inch.
- D. Weld joints shall be smooth on both sides to within 1/8 inch of original contour. Width of remaining upset will be between 1/2 inch and 5/8 inch.

3.05 FIELD WELD TESTING

- A. Rail welds shall be tested by the Contractor through use of a testing agency using Ultrasonic testing method in accordance with ASTM E 164. The results of testing shall be provided to the Engineer.
- B. Each completed weld shall have full penetration and complete fusion and be entirely free of cracks. Total area of internal defects such as porosity and slag inclusions shall not exceed 0.060 square inch and largest single porosity or slag defect permitted shall not exceed 1 /8 inch in diameter.
- C. Other causes for rejection of welds shall be:
 - 1. Cracks that show in finished weld are cause to reject weld.
 - 2. Pit holes that show in web and base of weld after finish grinding are cause to reject weld. Pit holes in head not exceeding 1/4 inch in depth may, if approved by Engineer, be repaired by gas welding.
 - 3. Welded joints not meeting these specifications and tolerances shall be replaced by Contractor at no additional cost to the Port. Defective weld shall be cut out, and a new section of rail not less than 13 feet long shall be inserted, welded and retested at no additional cost to the Port.

PART 1 - GENERAL

1.01 RELATED WORK SPECIFIED ELSEWHERE

A. The provisions and intent of the Contract, including the General Conditions and General Requirements, apply to this work as if specified in this section.

1.02 DESCRIPTION OF WORK

- A. This Section specifies the material requirements and performance criteria for complete special trackwork including turnouts to be furnished by the Contractor in accordance with the Contract Drawings.
- B. Except as modified herein, special trackwork shall be designed, manufactured, tested, assembled, inspected, handled and shipped in accordance with the current edition of the American Railway Engineering and Maintenance-of-Way Association (AREMA) Portfolio of Trackwork Plans, and the AREMA Manual of Railway Engineering.

1.03 REFERENCE STANDARDS

- A. Comply with all applicable local, State and Federal codes provisions of most recent edition, including all addenda, of following codes, specifications, standards, and recommended practices, except as otherwise indicated:
 - 1. AREMA MANUAL American Railway Engineering and Maintenance-of-Way Association, Manual for Railway Engineering
 - 2. AREMA PORTFOLIO American Railway Engineering and Maintenance-of-Way Association, Portfolio of Trackwork Plans
 - 3. FRA-DOT Federal Railroad Administration, Department of Transportation

1.04 QUALITY ASSURANCE

- A. All special trackwork specified shall be standardized throughout the project trackage. Mixing and matching of different materials from different suppliers shall not be permitted. All Contractor-furnished special trackwork assemblies shall be furnish from a single supplier.
- B. Testing and inspection shall conform to the AREMA Manual, AREMA Portfolio and these specifications.

1.05 CONTRACTOR FURNISHED MATERIAL:

A. The Contractor shall provide all materials required for completion of the Work, except those materials identified on the Drawings and in these Specifications as Port Furnished Material.

1.06 PORT FURNISHED MATERIAL:

- A. The Port will provide the materials identified on the Drawings and in these Specifications as Port Furnished Material. Quantity of Port Furnished Material items will be as indicated in the Specifications.
- B. Port Furnished Material will comply with product specifications of this Section.
- C. Port Furnished Materials will be delivered to the Contractor Laydown Areas identified on the Drawings by the Port.

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- D. The Contractor shall receive products at the site and give written receipt for materials at the time of delivery, noting visible defects and omissions. If such declaration is not given, the Contractor shall assume responsibility for such defects and omissions.
- E. The Contractor shall store materials until ready for installation and protect from loss and damage.

1.07 SUBMITTALS

- A. The Contractor shall submit, under the provisions of Section 01 33 00, "SUBMITTALS PROCEDURES", the following information:
 - Compliance: Supplier's certification that the material delivered to the site is in compliance with the Specifications. Include all test results and submittals stipulated in the references sections of AREMA Manual and Portfolio.
 - 2. Shop Drawings: Submit shop drawings for turnouts detailing trackwork layout and interconnection with other special trackwork, and showing switches, frogs, tie spacing, fasteners, switch stands, point of switch and appurtenant geometric relationships, dimensions and information. All shop drawings shall be approved by the Engineer prior to beginning manufacture or fabrication of special trackwork or production of switch ties.

PART 2 - PRODUCTS

2.01 TURNOUTS

- A. Rail and frog casting shall be new, fabricated in the United States or Canada. Rail shall be 136RE as shown on the Drawings. There is not a Buy America contract requirement.
- B. Turnouts shall have 16'-6" switch points with graduated risers and shall conform to AREMA Portfolio Plan No. 112-08. Turnouts shall be furnished with appurtenant hardware for hand throw switches as indicated in these Specifications and as directed by the Engineer. Switch points shall be Samson undercut type and be manufactured per AREMA Specifications for Special Trackwork and with AREMA Plan No. 221-12. Samson points shall be head hardened and double reinforced with transit style clips. Turnouts shall include curved, straight, closure rails utilizing 136 RE rail with screw spikes and elastic fasteners. Switches shall be manganese tips (AREMA Plan No. 220-08)
- C. Guardrails shall be 13'-0" long, new or relay, and conform to AREMA Plan No. 504-03 and fastened with screw spike plates and elastic fasteners.
- D. The special trackwork components shall be designed to be hand thrown capable of providing 300 pounds of force at mid-stroke and 500 pounds of force at the end of the throw.
- E. Frogs shall be one piece, rail-bound manganese, heavy walled, explosion depth hardened per AREMA M2.7 Depth Hardening. Frogs shall be radiographic tested per AREMA Portfolio Specification M2.5 Workmanship. Contractor shall use resilient fastening system for all frog base plates and gage plates.

- F. Frogs shall conform to AREMA Portfolio of Trackwork Plans, Plan No. 623-03, 16' rail bound manganese steel frog for 136 RE rail with screw spike plates and elastic fasteners. Frogs shall be drilled for three (3) bolts to match the specified rail.
- G. The arm ends of the frogs shall be beveled as per AREMA Portfolio Plan No. 1005-03 "Beveling of Rail Ends for Special Trackwork". Rail bending shall be done with great care to avoid stress build up and injury to the rails. Rail shall be bent cold whenever possible. If heating the rail should be necessary, the surface temperature of the rail shall not exceed 800° F and the surface of the remainder of the rail section shall not exceed 1100° F. Heating shall be done in a manner so as to have a minimal adverse effect on the metal.
- H. All switch ties shall be provided by the Contractor.
- Switch points shall be in accordance with AREMA Plan No. 221-12, Detail 5100 and as indicated.
- J. Adjustable rail braces, switch plates and plates under the closure rails shall conform to details for plates in AREMA Portfolio of Trackwork Plans Plan No. 224-08. Switch plates shall use screw spikes. Turnout plates shall conform to Plan No. 112-08 and as indicated.
- K. Switch point guards shall be furnished for all switches. Switch point guards shall be boltless adjustable manganese steel switch point guard 5'-0" in length mounted along the gage face of the rail and ahead of the point of switch. Switch point guards shall be furnished with two gage plates on both ends, a brace plate interior to the gage plates and appropriate mounting hardware. Switch point guards shall be Progress Rail Model U69 or approved equal. See Section 01 64 00 Owner Furnished Products for switch point guards provided by the Port.
- L. Switch stands shall be Racor Model 22-E (or approved equal) trailable, adjustable switch stands with low banner, "Backsaver" handle, adjustable connecting rod and bolts with lock washers and cotter pins. The bolt hole in the switch stands, connecting rods and switch rods (42-inches) shall all be the same matching diameter with matching size bolts. Mismatch of bolts and bolt holes will be cause for rejection.
- M. Turnout sizes shall be as indicated in the Contract Drawings.
- N. Turnouts shall be of bolted design. All switch bolts shall be designed for use with cotter pins and shall be installed with lock washers for cotter pins.
- O. Switch rods and clips shall be insulated. Switch rods shall be horizontal and conform to AREMA Plan No. 222-08. Switch rods shall conform to AREMA Specification Section M6. The Contractor shall furnish switch rods with all associated slide and runoff plates.
- P. Switch rods shall conform to the AAR Signal Manual, Part 14.5.3, Signal Specifications, "Recommended Developmental Criteria for Insulating Material".
 - Fiber angles, plates and end posts shall be fabricated of fiberglass mat reinforced polyester, 3/16-inch thick, GPO- I sheet stock, NEMA Class B.
 - 2. Fiber bushings shall be fabricated of NEMA Grade 10 epoxy glass fabric.
 - 3. All cut edges of fiberglass shall be sealed with Sherwin Williams Polane, 2-part coatings or an accepted equal.

4. Prior to assembly, all contact metal surfaces shall be painted with General Electric Insulating Enamel, Red Glyptol No. 1202 or equal.

Q. Gage Plates

- Gage plate shall be at least 3/4-inch thick and the width shall be 8 inches. Gage
 plates and switch plates within the turnout shall be manufactured in accordance
 with the AREMA Portfolio of Trackwork Plans No. 223 and shall be modified to suit
 elastic fasteners.
- 2. Plates shall conform to the AREMA "Specifications for Special Trackwork", Section M7, rolled "Mild Steel".
- 3. Insulation shall conform to the AAR Signal Manual, Part 116, Signal Specifications, "Assembly and Test of Insulated Track Fittings".
- 4. Insulated gage plates shall be provided for all turnouts and crossovers.

2.02 RAIL

A. Rail shall be new 136 RE as shown on the Drawings, head hardened rail for turnouts conforming to AREMA Chapter 4.

2.03 SWITCH TIES

A. Switch ties shall conform to Section 34 11 32, Timber Ties.

2.04 OTHER TRACK MATERIAL

A. Other track material, including, but not limited to, tie plates, joint bars, compromise joint bars, bolts, nuts, washers, elastic clips and screw spikes, shall conform to Section 34 05 17, Railroad Work.

2.05 SWITCH DESIGNATION PLATES

- A. Plates shall be sheet aluminum conforming to ASTM B209 with at least the strength and durability properties of Alloy 5005-H32.
- B. Plate shall be 1/8" thick.
- C. Apply Type 1 Engineer Grade silver reflective sheeting conforming to ASTM D4956 16b.
- D. Apply 3" high letters and numbers to sheeting. Letters and numbers shall be black vinyl, cut with clear laminate UV and weather protection manufactured International Design & Marketing, Inc., Huntington Beach, CA, 800-687-7446 or approved equal.
- E. Contractor shall apply plates to wood ties using 3/8" X 2", 316 SS or 18-8 SS lag bolts, each bolt 1" $\pm 1/16$ " from both edges of each corner.

PART 3 - EXECUTION

3.01 GENERAL

A. Install Special Trackwork in accordance with Section 34 05 17, Railroad Work.

PART 1 - GENERAL

1.01 RELATED WORK SPECIFIED ELSEWHERE

A. The provisions and intent of the Contract, including the General Conditions and General Requirements, apply to this work as if specified in this section.

1.02 DESCRIPTION OF WORK

A. The Work of this Section consists of the furnishing, handling, and installation of timber cross ties for use in railroad track construction.

1.03 REFERENCES:

- A. AMERICAN RAILWAY ENGINEERING AND MAINTENANCE OF WAY ASSOCIATION (AREMA) Chapter 30 Ties
- B. AMERICAN WOOD-PRESERVERS' ASSOCIATION (AWPA)
 - 1. A1 Analysis of Creosote and Oil-Type Preservatives
 - 2. M2 Standard for Inspection of Treated Timber Products

1.04 SUBMITTALS:

- A. Submit the name, address and phone number of the timber tie supplier.
- B. Submit the completed inspectors report form as described by AWPA M2 Standard for Inspection of Treated Timber Products, including step by step work sheets of preservative analysis and retention analysis. Submit to the Port prior to shipment of the ties from the treatment plant.
- C. Submit Certificates of Compliance that ties comply with these specifications, AREMA specifications and AWPA standards prior to shipping timber ties.

PART 2 - PRODUCTS

2.01 TIMBER CROSS TIES:

- A. General:
 - 1. Crossties shall meet the requirements of AREMA Chapter 30 Part 3.
- B. Material:
 - 1. The following woods can be used for crossties:
 - a. Mixed hardwood consisting of black or honey locust, red or white oak.
- C. Physical Requirements:
 - 1. Except as hereinafter provided, all ties shall be free from any defects that may impair their strength or durability as cross ties, such as decay, large splits, large shakes, slanting grain, or large or numerous holes or knots.
- D. Design:

- 1. Standard track ties shall be 7" x 9" x 8'-6". Track ties under paved crossing shall be 7" x 9" x 10'-0". Thickness, width, and length specified are minimum dimensions for green ties. Dry or treated ties may be 1/4 inch thinner or narrower than the specified sizes. Ties exceeding these dimensions by more than 1 inch shall be rejected. The grade of each tie shall be determined at the point of most wane on the top face of the tie within the rail-bearing areas. The rail-bearing areas are those sections between 20 inches and 40 inches from the center of the tie. The top of the tie shall be the narrowest face and/or the horizontal face farthest from the heart or pith center.
- 2. All rail-bearing areas shall measure as follows: 7-inch grade cross ties shall be 7" x 9" in cross section with a maximum of 1 inch of wane (uncut edge) in the top rail-bearing areas. A maximum of 20% of the ties in any given quantity may be square-sawn 7" x 8" in cross section with no wane in the rail-bearing areas. Wane shall be permitted on the bottom face so long as it does not exceed 1 inch at any given point.

E. Inspection:

- 1. Place: Ties shall be inspected when delivered on site.
- 2. Decay: Decay is the disintegration of the wood substance due to the action of wood destroying fungi. "Blue stain" is not decay and is permissible in any wood.
- 3. Holes: A large hole is one more than 1/2 inch in diameter and 3 inches deep within, or more than one-fourth the width of the surface on which it appears and 3 inches deep outside, the sections of the tie between 20 inches and 40 inches from its middle. Numerous holes are any number equaling a large hole in damaging effect. Such holes may be caused in manufacture or otherwise.
- 4. Knots: Within the rail bearing areas, a large knot is one having an average diameter more than 1/3 the width of the surface on which it appears; but such a knot will be allowed if it is located outside the rail bearing areas. Numerous knots are any number equaling a large knot in damaging effect.
- 5. Shake: A shake is a separation along the grain, most of which occurs between the rings of annual growth. One which is not more than 1/3 the width of the tie will be allowed, provided it does not extend nearer than I inch to any surface.
- 6. Split: A split is a separation of the wood extending from one surface to an opposite or adjacent surface. Do not count the end as a surface when measuring the length of a split. In unseasoned cross ties, a split no more than 1/8 inch wide and/or 4 inches long is acceptable. In a seasoned cross tie, a split no more than 1/4 inch wide and/or longer than the width of the face across which it occurs is acceptable. In seasoned cross ties, a

- split exceeding the limit is acceptable, provided split limitations and antisplitting devices are approved by the buyer and properly applied.
- 7. Checks: A check is a separation of the wood due to seasoning which appears on one surface only. Do not count the end as a surface. Ties with continuous checks whose depth in a fully seasoned and/or treated tie is greater than 1/4 the thickness and longer than 1/2 the length of the tie will be rejected.
- 8. Slope of Grain: Except in woods with interlocking grain a slope in grain in excess of 1 in 15 will not be permitted.
- 9. Bark Seams: A bark seam or pocket is a patch of bark partially or wholly enclosed in the wood. Bark seams will be allowed provided they are not more than 2 inches below the surface and/or 10 inches long.
- 10. Manufacturing Defects: All ties must be straight, square-sawn, cut square at the ends, have top and bottom parallel, and have bark entirely removed. Any ties which do not meet the following characteristics of good manufacture will be rejected:
 - a. A tie will be considered straight when a straight line from a point on one end to a corresponding point on the other end is no more than 1-1/2 inches from the surface at all points.
 - b. A tie is not well-sawn when its surfaces are cut into with scoremarks more than 1/2 inch deep, or when its surfaces are not even.
 - c. The top and bottom of a tie will be considered parallel if any difference at the sides or ends does not exceed 1/2 inch.
 - d. For proper seating of nail plates, tie ends must be flat, and will be considered square with a sloped end of up to 1/2 inch, which equals a 1 in 20 cant.

2.02 ANTI-SPLITTING DEVICES:

- A. Timber cross ties shall be equipped with anti-splitting devices of the type specified regardless of whether or not the wood has shown any tendency to split. Products used shall conform to the AREMA Manual, Chapter 30, Part 1, Section 3.1.6, "Specifications for Devices to Control the Splitting of Wood Ties".
- B. Timber cross ties shall be equipped on each end with gang nails (steel nail plates).
- C. Anti-splitting devices shall be applied in accordance with the AREMA Manual, Chapter 30, Part 3, Section 3.1.7, "Application of Anti-splitting Devices".

2.03 INCISING:

A. Timber cross ties and switch ties shall be incised on all four sides in the pattern specified in the AREMA Manual, Chapter 3, Part 6, "Wood Preserving".

2.04 TIE PRESERVATIVE TREATMENT:

- A. Timber cross ties and switch ties shall be pressure treated in accordance with AREMA Chapter 30 Part 3 Section 3.7.2 "Treatment" by the empty cell process. Process and preservative to be used on material and retention required shall be as follows:
 - 1. Wood 50% Creosote / 50% Oil Process
 - 2. Doug Fir 8 lb. or Refusal L&R
 - 3. Hardwood (non oak) 7-1/2 lb. or Refusal L&R
 - 4. Oak 7-1/2 lb. or Refusal Bethel or L&R
- B. Ties will be accepted by the Engineer based on the Manufacturer's Certification of Compliance and Treatment Inspection Reports.
- C. Ties shall be free of excess preservative. Ties exuding a minor amount of preservative will be permitted.

2.05 TIE PRESERVATIVE FOR FIELD REPAIR OF DAMAGED TIES:

A. Tie preservative will be a greased based preservative compound such as Osmose Cop-R Plastic II or Tenino Copper Naphthenate or approved equal.

PART 3 - EXECUTION

3.01 HANDLING:

A. Timber ties shall be carefully handled to avoid damage in accordance with the AREMA Manual, Volume 1, Chapter 30, Part 3, Section 3.5.

3.02 PROTECTION:

A. Protection of timber ties from loss or damage shall be the responsibility of the Contractor. Ties shall be protected against surface damage caused by metal banding or other aspects of handling following tie treatment. Any damage done to a tie that exposes any white (untreated) wood shall be painted with tie preservative specified in this Section to the satisfaction of the Engineer.